

An intensive three-and-a-half-day program focusing on subject areas at the heart of healthcare compliance practice, designed for participants with a basic knowledge of compliance concepts and some professional experience in a compliance function.

Questions: jennifer.parrucci@corporatecompliance.org

Want to become Certified in Healthcare Compliance (CHC)®?

Take the optional CHC exam on the last day of the Academy.

CLE APPROVED

2016 Basic Compliance Academies

from the Health Care Compliance Association

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Limited to 75 at each Academy

SOLD OUT September 12–15 • Chicago, IL

SOLD OUT October 3–6 • Las Vegas, NV

SOLD OUT October 24–27 • Nashville, TN

SOLD OUT November 14–17 • Orlando, FL

SOLD OUT December 5–8 • San Diego, CA

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Learn more and register at www.hcca-info.org/academies



MONDAY

7:30–8:00 AM

Registration and Continental Breakfast (provided)

8:00–8:15 AM

Welcome/Introduction

8:15–10:15 AM

Introduction to Health Care Compliance



Sheryl Vacca, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC, SVP/Chief Compliance & Audit Officer, University of California

Introduction to Compliance Practice provides a basic introduction to health care compliance and compliance programs. It covers the seven essential elements of a compliance program and reviews the organizational steps necessary to implement a successful compliance program. The laws, rules and regulations pertinent to compliance programs are introduced. Challenges confronting compliance officers are discussed.

10:30 AM–12:15 PM

Policies, Procedures and Infrastructure



Debbie Troklus, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC, Managing Director, Aegis Compliance and Ethics Center

This course is designed to help compliance professionals understand the components of an effective compliance infrastructure. Discussed is implementation of a compliance program, selection of qualified staff, budgeting for compliance and the overall structure of the office. Time is spent discussing the various positions needed and the qualifications for each. The auditing position along with qualifications (coding credentials) is discussed. A compliance professional should be able to use what is taught in this course to design an adequate structure to facilitate an effective compliance program.

12:15–1:15 PM

Lunch (provided)

1:15–3:00 PM

Risk Assessment & Internal Controls



John Falcetano, CHC-F, CIA, CCEP-F, CHRC, CHPC, Managing Director, Action Compliance Services

If there were an eighth key element of compliance program guidance, risk assessment would be it. In this session, participants will learn what risk is and how it can be assessed, who should be involved in risk assessments, ways to identify risk areas, and what to do with the results of a risk assessment.

3:15–5:00 PM

Organizational Ethics



Dwight Claustre, CHC-F, CHRC, Director, Aegis Compliance and Ethics Center

The HCCA Code of Ethics sets an important standard for those doing compliance and ethics work in the healthcare field. In this class you will learn about such points as:

- What are the duties we, as professionals, owe to the public, our clients and the profession?
- What do we do when confronted with misconduct?
- What is our duty to keep the board informed?
- How do we deal with limits placed on our professional judgment?

TUESDAY

7:30–8:00 AM

Continental Breakfast (provided)

8:00–8:15 AM

CHC Certification Discussion

8:15–10:00 AM

Enterprise-Wide Billing & Reimbursement



John Falcetano, CHC-F, CIA, CCEP-F, CHRC, CHPC, Managing Director, Action Compliance Services

The healthcare business continues to become more complex with its many rules and regulations as health care costs continue to climb. The enterprise-wide billing reimbursement across the continuum curriculum provides compliance officers with a basic understanding of Medicare and Medicaid services and health care claims submission. The class covers basic compliance terminology as it relates to Evaluation and Management (E & M) code selection and billing terms; presents information on compliance billing risk areas that have been identified by the Office of Inspector General (OIG); and provides an overview of government activities to combat health care fraud and abuse.

10:15 AM–12:00 PM

Routine Auditing and Monitoring



Sheryl Vacca, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC, SVP/Chief Compliance & Audit Officer, University of California

Auditing and Monitoring is a critical element in any compliance program. In this session, participants will learn about the basic components of Auditing and Monitoring including different considerations for developing an audit, examples of reporting formats to communicate auditing and monitoring results and developing a compliance audit plan and soliciting management's involvement in that plan.

12:00–1:00 PM

Lunch (provided)

1:00–2:45 PM

Education and Training



Debbie Troklus, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC, Managing Director, Aegis Compliance and Ethics Center

This course is designed to aid the participant in understanding adult learning techniques, effective teaching styles, topics of interest, and evaluation of the educational programs. An in-depth discussion centers on adult learning and how to design the classroom for retention. Also discussed is the adequate length of sessions, who should be included, physicians working with coders, and how often training should be held. The class designs and participates in skits demonstrating effective training techniques focusing on compliance-related topics.

3:00–5:00 PM

HIPAA Privacy & Security



Darrell Contreras, Esq.,
LHRM, CHC-F, CHRC, CHPC,
Chief Compliance Officer,
Millennium Health

This session is a detailed review of the HIPAA Privacy and Security regulations. The discussion is focused on the primary components of each set of regulations so the audience will have a basic understanding of these regulations and be able to apply this knowledge to their daily compliance activities.

5:30 PM

Networking Dinner (optional)

WEDNESDAY

8:00–8:30 AM

Continental Breakfast (provided)

8:30–10:00 AM

Conflicts, Discipline & Incentives



John Falcatano, CHC-F, CIA,
CCEP-F, CHRC, CHPC,
Managing Director,
Action Compliance Services

The discussion will focus on the nature of conflicts of interest and the various healthcare areas where conflicts of interest are of particular interest, including regulatory oversight, industry guidance, and other documents that impact compliance professionals' oversight of this area.

10:15 AM–12:00 PM

Stark and Anti-Kickback



Ryan Meade, CHRC, CHC-F,
Director of Regulatory Compliance
Studies, Loyola University Chicago
School of Law

The Anti-Kickback and Stark laws are at the heart of every health care organization's compliance program—severe civil and even criminal penalties can result from compliance failures involving these important health care legal requirements. This session provides an overview of each law including discussion of recent enforcement actions, discusses similarities and differences in the two laws, and discusses strategies that can be implemented to promote compliance.

12:00–1:00 PM

Lunch (provided)

1:00–2:45 PM

Corporate Responsibility: Role of Board of Directors



Lynda Hilliard, CHC, CCEP,
Healthcare Compliance Professional,
Hilliard Consulting

This session covers the governing body's role in the oversight of the organization's compliance program as well as a review of the kinds of questions boards should be asking about the compliance program's operations. There is a discussion of the role of the Compliance Officer in providing the board with reports on operational activities and how to address the necessary ongoing educational needs of the board about compliance issues and regulatory changes. In addition, there is a review and discussion of the responsibilities of senior management, department director, and supervisors with regard to compliance within their individual areas of responsibility. Sample Board Agendas, report forms and other related documents are discussed in the session.

3:00–4:45 PM

Internal & External Investigations



Gabe Imperato, CHC,
Partner,
Broad and Cassel

This session identifies the many government agencies that can be involved in an investigation of a healthcare provider. It also provides in-depth discussion of the many factors that compliance professionals and their organizations must consider before, during, and after an internal investigation. It addresses the use of experts and consultants, and provides details about the attorney-client privilege and attorney work product doctrine. It offers practical advice on how to conduct investigations.

THURSDAY

7:30–8:00 AM

Continental Breakfast (provided)

8:00–9:30 AM

Legal Issues, Risk Factors and Disclosure Issues



Gabe Imperato, CHC,
Partner,
Broad and Cassel

This session focuses on the legal risks involved in compliance functions (in the circumstances of doing the right thing, and not doing so). It also addresses voluntary disclosures and the government's expectations when providers identify instances of non-compliance. The session is practical and oriented toward addressing the many considerations that compliance professionals must address when designing, implementing, and practicing compliance functions, policies, and procedures.

9:45–11:30 AM

Effectiveness and Evaluation



Lynda Hilliard, CHC, CCEP,
Healthcare Compliance Professional,
Hilliard Consulting

Since the early 1990s government agencies have indicated that credit would be given in the context of criminal and civil law enforcement actions to organizations that operate effective compliance programs. This session provides a historical review of what various government agencies consider when determining what makes a compliance program effective, reviews industry research and best practices related to effectiveness and evaluation, and discusses methodologies for evaluating and measuring the effectiveness of a compliance program.

**1:45 PM Exam Check-In
2:30–5:30 PM Exam Time**

Certified in Healthcare Compliance (CHC)[®] Examination

The CHC exam is optional. You must be preregistered to sit for the exam. The cost of the exam is not included in the Academy registration fee. To register for the exam, complete the date-specific exam application and mail or fax as directed on the application. For a link to the exam application, visit www.hcca-info.org/academies and look for information on the Academy you are attending.

PLEASE NOTE: *If you are not present at the specified "Exam Time" as listed above and as determined by the exam proctor, you will not be allowed to sit for the exam. Actual exam duration is 120 minutes per the Candidate Handbook. The time range above includes mandatory exam procedures and proctor instructions.*

PLEASE NOTE: Agenda and/or speakers may vary slightly for each Academy.

Want to become **Certified in Healthcare Compliance (CHC)[®]?**

BE RECOGNIZED for your experience and knowledge!

The Certified in Healthcare Compliance (CHC)[®] designation demonstrates expertise in the healthcare compliance field. Earn yours today:

- Meet eligibility requirements in both work experience and continuing education
- Pass the CHC exam
- Maintain your designation by earning approved continuing education units

For more details on earning and maintaining this designation, please find the CHC Candidate Handbook or other information at www.compliancecertification.org under the "CHC" tab.



Questions? Contact
ccb@compliancecertification.org



Visit www.hcca-info.org/academies for updated CEU information on the Academy you are attending.

QUESTIONS?

Contact us at 888-580-8373 or ccb@compliancecertification.org.

HCCA is in the process of applying for additional credits. If you do not see information on your specific accreditation and would like to make a request, please contact us at 952-988-0141 or 888-580-8373. Visit www.hcca-info.org/academies for up-to-date information.

AAPC: This program has the prior approval of the American Academy of Professional Coders (AAPC) for 24.5 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor. 

ACHE: The Health Care Compliance Association is authorized to award 24 hours of pre-approved Category II (non-ACHE) continuing education credit for this program toward advancement, or recertification in the America College of Healthcare Executives. Participants in this program wishing to have the continuing education hours applied toward Category II credit should indicate on their attendance when submitting application to the American College of Healthcare Executives for advancement or recertification.

AHIMA: This program has been approved for 24 continuing education unit(s) for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

Compliance Certification Board (CCB): CCB has awarded a maximum of 29.4 CEUs for these accreditations: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance–Fellow (CHC-F)[™], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional–Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional–International (CCEP-I)[™].

CLE: The Health Care Compliance Association is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and a Texas Accredited Sponsor. An approximate maximum of 24.5 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit and may not accept one-half credits. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. Website: www.nasba.org. A recommended maximum of 29.0 credits based on a 50-minute hour will be granted for the entire learning activity. This program addresses topics that are of a current concern in the compliance environment. This is an update, group-live activity. For more information regarding administrative policies such as complaints or refunds, call 888-580-8373 or 952-988-0141.

Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 12990, for a maximum of 29.4 contact hour(s). *The following states will not accept CA Board of Nursing contact hours:* Delaware, Florida, New Jersey, and Utah. Massachusetts and Mississippi nurses may submit CA Board of Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology Nurses who are certified by ONCC may request CA Nursing Credit (check box or indicate “Nursing” on the CEU form).

Contact information (please type or print)

Mr. Mrs. Ms. Dr.

Member ID (if applicable)

First MI

Last

Credentials

Job title

Name of employer

Street address (no P.O. Boxes)

City/Town

State/Province Zip/Postal code

Country

Phone Fax

Email (required for registration confirmation)

Group discounts

5 or more: \$200 discount for each registrant
10 or more: \$400 discount for each registrant

Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Registration terms

Please make your check payable to HCCA. Enclose payment with your registration and return it to the HCCA office, or fax your credit card payment to 952-988-0146. Payment must be sent in at least two weeks prior to the start date or your space may be released. If your total is miscalculated, HCCA will charge your card the correct amount. For information on group discounts, please see the box on the registration form. The cost of any exams and the hotel accommodations are not included in the registration fee. Breakfast and lunch are included in the tuition amount where indicated in the agenda. The CHC exam application form must be faxed or mailed as indicated on the form.

Cancellations/Substitutions: You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any HCCA service or product, except *The Healthcare Compliance Professional's Manual*. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at helpteam@hcca-info.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

Recording/Electronics: Unauthorized audio or video recording of HCCA conferences is not allowed. Personal laptops may not be used during conference sessions.

I plan to attend (please check)

- September 12–15 • Chicago, IL **SOLD OUT**
- October 3–6 • Las Vegas, NV **SOLD OUT**
- October 24–27 • Nashville, TN **SOLD OUT**
- November 14–17 • Orlando, FL **SOLD OUT**
- December 5–8 • San Diego, CA **SOLD OUT**
- December 12–15 • Orlando, FL **SOLD OUT**

Choose your registration fee (Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.)

- HCCA Member** \$2,500
- Non-Member** \$3,000
- Registration plus HCCA membership** \$2,700
Save by joining HCCA today (new members only; dues regularly \$295 annually)
- Group Discount** subtract \$_____ from my registration fee (see info at left)

TOTAL _____

Special request for dietary accommodation

- Gluten Free Kosher Vegetarian Vegan
- Other _____

Payment options

- Invoice me Check enclosed
- I authorize HCCA to charge my credit card (choose below)
- Credit card:** American Express MasterCard Visa Discover

Due to PCI compliance, **please do not provide any credit card information via email.** You may email this application (without credit card information) to helpteam@hcca-info.org and call HCCA at 888-580-8373 or 952-988-0141 with your credit card information.

Credit Card Account Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

Ways to register

- MAIL** HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358
- ONLINE** www.hcca-info.org/academies
- FAX** 952-988-0146 (include completed registration form with payment information)
- EMAIL** helpteam@hcca-info.org (without credit card information)

CAA0916 / CA01016 / CA022016 / CAF1116 / CAD1216 / CAD22016

Resources provided

Participants will receive a binder on-site that includes all presenters' presentations. These materials are included in the registration fee.

CHC exams

The cost of the Certified in Healthcare Compliance (CHC)[®] exam is not included in the Academy registration fee. To register for the exam, complete the date-specific exam application mail or fax as directed on the application. For a link to the exam application, visit www.hcca-info.org/academies and look for information on the Academy you are attending. All CHC exams will be held on the final day of the Academy.

Hotel accommodations

The cost of exams and hotel accommodations is not included in your conference registration fee. Hotel room rates listed are good until the cutoff date indicated or until the group block sells out, whichever comes first. Reservations received after the cutoff date or after the group room block is filled will be accepted based on space and rate availability. Please note that parking and Internet service charges are set by the hotel and may be subject to change.

September 12–15 • Chicago, IL

DoubleTree Chicago – Magnificent Mile 300 East Ohio Street, Chicago, IL 60611

A reduced rate of \$279 single/double occupancy per night plus applicable taxes (currently 16.4%, subject to change) has been arranged. Basic guest room Internet is included in the group rate. To make reservations, call 1-800-222-8733 and ask for the HCCA Basic Compliance Academy group rate. The cutoff date to receive this discounted rate is Monday, August 22, 2016 or once the group block is full, whichever comes first. Parking at hotel is available at a discounted rate of \$42 for overnight parking and \$16 daytime parking for local attendees.

October 3–6 • Las Vegas, NV

The Cosmopolitan of Las Vegas 3708 Las Vegas Boulevard South, Las Vegas, NV 89109

A reduced rate of \$225 single/double per night has been arranged. In addition there is a mandatory resort fee of \$30 per day (exclusive of tax). This fee includes high-speed Internet access in your guest room; 24-Hour fitness center access; unlimited local, toll free, and domestic long-distance telephone calls (all 50 states); and tennis court access (based upon availability). To make reservations, visit <https://resweb.passkey.com/go/SHCAS6> or call 855-435-0005 and reference the group code SHCAS6 (Health Care Compliance Association / HCCA). This rate is valid until Friday, September 2, 2016 or until the group room block is full, whichever comes first. Please note that a credit card is required at the time of booking and a deposit equal to one night's room and tax will be charged. A 72-hour cancellation notice is required prior to your arrival date to receive a refund of your deposit.

October 24–27 • Nashville, TN

Hilton Nashville Downtown 121 Fourth Avenue South, Nashville, TN 37201

A reduced rate of \$249 single/double occupancy per night plus applicable taxes (currently 15.25% with a \$2.50 occupancy tax per occupied room, per night) has been arranged. Room rate includes access to fitness center, business center, all HHonors members will also receive complimentary in-room Internet access. To make reservations, call 615-620-1000 (Monday through Friday from 8am-5pm) or 1-800-HILTONS and ask for the Healthcare Compliance Association group rate. All reservations must be guaranteed and accompanied by a first night room deposit or guaranteed with a major credit card. The cutoff date to receive this discounted rate is Friday, September 23, 2016 or once the group block is full, whichever comes first.

November 14–17 • Orlando, FL

Disney's Yacht & Beach Club Resort 1700 Epcot Resorts Boulevard, Lake Buena Vista, FL 32830

A reduced rate of \$205 single/double occupancy per night plus applicable taxes (currently 12.5%) has been arranged. To make reservations, call 407-939-4686 and ask for the HCCA Basic Compliance Academy 2016 at Disney's Yacht & Beach Club Resort or Group Booking Code G0695736. The hotel requires that each individual room reservation be confirmed and guaranteed with a deposit equal to the full price of the room for one night, the deposit will be forfeited if you cancel your confirmed reservation less than five days prior to your scheduled arrival. The cutoff date for the group rate is Monday, October 24, 2016 or once the group room block is full. By booking at the HCCA group rate you can also take advantage of the following at no additional charge: Disney's Magical Express (Airport transportation to/from Orlando International Airport), Complimentary Wi-Fi in your guest rooms, Complimentary Health Club Admission and Complimentary Self-parking at the hotel as well as the Disney Theme Parks.

December 5–8 • San Diego, CA

Hyatt Regency La Jolla 3777 La Jolla Village Drive, San Diego, CA 92122

A reduced rate of \$209 single/double occupancy per night plus applicable taxes (currently 10.5% occupancy, 2.0% San Diego Tourism and Marketing District Assessment Fee, \$1.41 California Tourism Fee, subject to change) has been arranged. Basic guest room Internet is included in the group rate. To make reservations, visit <http://bit.ly/hcca-2016-basic-academy-sandiego> or call 1-888-421-1442 and ask for the Health Care Compliance Association group rate / HCCA Basic Compliance Academy. The cutoff date to receive the discounted rate is Tuesday, November 15, 2016 or once the group block is full, whichever comes first.

December 12–15 • Orlando, FL

Loews Royal Pacific Resort 6300 Hollywood Way, Orlando, FL 32819

A reduced rate of \$169 single/double occupancy per night plus applicable taxes (currently 12.5%) have been arranged. To make reservations visit <http://bit.ly/hcca-2016-basic-academy-orlando-dec> or call 1-866-360-7395 and ask for the Healthcare Compliance Association group rate. The hotel requires that each individual room reservation be confirmed and guaranteed with a deposit equal to the full price of the room for one night. The deposit will be forfeited if you cancel your confirmed reservation less than five days prior to your scheduled arrival. The cutoff date for the group rate is Friday, November 25, 2016 or once the group room block is full.



6500 Barrie Road, Suite 250
 Minneapolis, MN 55435
www.hcca-info.org



2016 Basic Compliance Academies

Plan now to attend HCCA's three-and-a-half day intensive program focusing on subject areas at the heart of healthcare compliance practice.

2016 Basic Compliance Academies

from the Health Care Compliance Association

"Why didn't I come to the HCCA Basic Compliance Academy when I first started my job? It all makes sense now."

—Eden M. Abdulkadir, Senior Consultant, Kaiser Permanente

"The Academy was awesome and one of the BEST out-of-the-office conferences that I have attended. The speakers were all very good and the information was super."

—Linda Shoemaker, RN, CCO, Sidney Regional Medical Center



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