

**Catholic Healthcare West
CHAN Audit Follow-up Summary Report
As of June 30, 2007**

Facility	Audit Name	Impact ⁽¹⁾	Report Number	Report Date	Audit Issues							
					Pending at 12/31/06	Added 1/1/07-6/30/07	Not Due	Past Due In Process	Past Due Not Started	Complete	Will Not Be Implemented	Footnote # Past Due Plans ⁽³⁾
HUNT/SILBERSTEIN												
Mercy General Hospital	Ambulatory Payment Classifications	2.5	CHW-MGH04-02	4/30/2004	1		0	1	0	0	0	C
Mercy General Hospital	Materials Management/Lawson Process	3	CHW-MGH07-01	1/5/2007		20	2	2	0	16	0	B
Mercy Hospital of Folsom	Denial Processing	2	CHW-MHFSA06-01	1/11/2006	2		0	1	0	1	0	C
Mercy Medical Center, Mt. Shasta	Emergency Department	4	CHW-MMCMS05-01	5/4/2005	1		0	0	0	1	0	
Mercy Medical Center, Redding	Supervision of Residents	N/A	CHW-MMCR03-02	1/15/2003	1		0	1	0	0	0	B
Mercy Medical Center, Redding	Attorney Client Privileged	N/A	CHW-MMCR05-02	3/2/2005	1		0	1	0	0	0	
Mercy Medical Center, Redding	Home Health & Hospice - Financial Review	3.5	CHW-MMCR05-04	6/24/2005	1		0	1	0	0	0	
Mercy Medical Center, Redding	Revenue/Charge Capture, Emergency Department	3.5	CHW-MMCR05-05	9/30/2005	1		0	1	0	0	0	D
Mercy Medical Center, Redding	Discharged Not Final Billed	1	CHW-MMCR07-01	10/4/2006		5	0	1	0	4	0	
Mercy Medical Center, Redding	Ground Ambulance	N/A	CHW-MMCR07-02	2/28/2007		9	9	0	0	0	0	
Mercy Medical Center, Merced	Balance Sheet Reconciliations	2.5	CHW-MMCMC07-02	3/5/2007		5	0	1	0	4	0	D
Mercy San Juan Medical Center	Denial Processing	2	CHW-MSJMC06-01	1/11/2006	1		0	1	0	0	0	C
Sierra Nevada Memorial Hospital	Purchasing and Materials Management	3	CHW-SNGV06-01	9/29/2006		3	0	0	0	3	0	
Sierra Nevada Memorial Hospital	Ground Ambulance	N/A	CHW-SNMH07-01	1/23/2007		17	0	3	0	14	0	D
St. Elizabeth's Community Hospital	Ground Ambulance	N/A	CHW-SECH07-01	2/28/2007		11	11	0	0	0	0	
St. Joseph's Medical Center	Revenue/Charge Capture, Cath and EP Lab	4	CHW-SJMST05-01	5/6/2005	4		0	0	0	0	4	
St. Joseph's Medical Center	Travel & Entertainment	2	CHW-SJMST06-02	7/11/2006		3	0	0	0	3	0	
St. Joseph's Medical Center	Payroll	4	CHW-SJMST07-01	11/14/2006		14	0	2	0	12	0	C
St. Joseph's Medical Center	D&T Payroll Audit-External Audit Assistance	0	CHW-SJMST07-02	3/30/2007		1	0	0	0	1	0	
Woodland Healthcare	Ambulatory Patient Classification	2.5	CHW-WHC04-01	4/30/2004	1		0	1	0	0	0	C
Woodland Healthcare	Denial Processing	2	CHW-WHC06-01	1/11/2006	1		0	1	0	0	0	C
Subtotals					15	88	22	18	0	59	4	
Percentage Implemented ⁽²⁾						103				77.78%		
Footnote Legend												
(1) Overall Impact 1-4: 1 - low, 2 - low/moderate, 3 - moderate, 3.5 - moderate/high and 4 - high												
(2) Percentage implemented calculated by dividing completed action plans by action plans due this period.												
(3) Past Due Legend:												
A - Employee Turnover/Resource Reallocation												
B - CHW Initiatives In Process (Revenue Services, IT, etc.)												
C - Information Systems In Process to Accomplish Actions Plans												
D - Action Plan Revised After Report Issued												
E - To be addressed in FY 2008 audit												

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FUCHS/ORSINI												
Arroyo Grande Community Hospital	Revenue/Charge Capture-Surgical Services	3	CHW-AGCHAG-06-01	9/30/2005	3		0	0	0	3	0	
Arroyo Grande Community Hospital	Revenue/Charge Capture-Emergency Department	3	CHW-AGHAG06-03	5/30/2006	2		0	0	0	2	0	
Arroyo Grande Community Hospital	Revenue/Charge Capture - Radiology Department	3	CHW-AGCHAG07-01	10/13/2006		6	0	1	0	5	0	C
Arroyo Grande Community Hospital	Balance Sheet Reconciliations	0	CHW-AGCHAG07-02	2/23/2007		1	0	0	0	1	0	
California Hospital Medical Center	Blood Bank	4	CHW-CHLA06-01	12/7/2005	1		0	0	0	1	0	
California Hospital Medical Center	Travel & Entertainment	1	CHW-CHLA07-02	3/29/2006	1		0	0	0	1	0	
California Hospital Medical Center	Pharmacy	3	CHW-CHLA07-01	8/8/2006	2		0	0	0	2	0	
California Hospital Medical Center	Balance Sheet Reconciliations	0	CHW-CHLA07-02	3/2/2007		2	0	0	0	2	0	
Chandler Regional Hospital	Balance Sheet Reconciliations	1.5	CHW-CRHCH06-02	2/22/2006	1		0	0	0	1	0	
Chandler Regional/Mercy Gilbert	Staffing Controls	2	CHW-EVCG07-03	2/13/2007		5	5	0	0	0	0	
French Hospital Medical Center	Balance Sheet Reconciliations	3	CHW-FMCSLO06-02	2/15/2006	1		0	1	0	0	0	
French Hospital Medical Center	Revenue/Charge Capture-Radiology	4	CHW-FMCSLO06-03	6/21/2006	5		0	1	0	4	0	C
French Hospital Medical Center	Revenue/Charge Capture-Emergency Department	2	CHW-FHSLO07-01	12/15/2006		4	0	0	0	4	0	
French Hospital Medical Center	Balance Sheet Reconciliations	0	CHW-FHSLO07-02	2/23/2007		1	0	0	0	1	0	
Marian Medical Center	Revenue/Charge Capture-Surgical Services	4	CHW-MMCSM-05-01	2/11/2005	1		0	0	0	1	0	
Marian Medical Center	Revenue/Charge Capture-Cardiac Catheterization Lab	3	CHW-MMCSM07-01	2/16/2007		4	0	1	0	3	0	B
Mercy Gilbert Medical Center	Charge Review Procedures	3	CHW-MGMGB07-02	12/12/2006		8	3	0	0	4	1	
Northridge Hospital Medical Center	Cash Controls	4	CHW-NRHN06-03	6/2/2006	8		0	8	0	0	0	A
Northridge Hospital Medical Center	Pharmacy-Controlled Substances	4	CHW-NRHN07-01	12/12/2006		13	0	2	0	11	0	D
Northridge Hospital Medical Center	Accounts Payable	4	CHW-NRHN07-02	2/8/2007		11	0	0	0	11	0	
St. John's Pleasant Valley Hospital	Revenue/Charge Capture-Surgical Services	4	CHW-SJPVHC-05-01	11/15/2004	2		0	2	0	0	0	A
St. John's Pleasant Valley Hospital	Revenue/Charge Capture and Operational-Radiology	2	CHW-SJPCA06-01	1/19/2006	1		0	0	0	1	0	
St. John's Pleasant Valley Hospital	Balance Sheet Reconciliations	1	CHW-SJPCA07-01	2/16/2007		3	0	0	0	3	0	
St. John's Pleasant Valley/St. John's Regional	Physician Contracts	3	CHW-SJROX06-03	6/29/2006	3		0	2	0	1	0	B
St. John's Pleasant Valley/St. John's Regional	Cash Controls	4	CHW-SJPCA07-01	9/14/2006	7		0	0	0	7	0	
St. John's Regional Medical Center	Revenue/Charge Capture-Pharmacy	4	CHW-SJROX07-02	12/29/2006		15	0	3	0	12	0	A
St. John's Regional Medical Center	Balance Sheet Reconciliations	1	CHW-SJROX07-01	2/22/2007		4	0	0	0	4	0	
St. Joseph's Hospital & Medical Center	Attorney-Client Privileged	4	N/A	10/25/2004	10		0	3	0	7	0	B
St. Joseph's Hospital & Medical Center	Children's Rehab Services-Limited Scope	3	CHW-SJHMC05-02	12/15/2004	2		0	0	1	0	1	
St. Joseph's Hospital & Medical Center	Pay Practices	3.5	CHW-SJHMC06-02	11/9/2005	1		0	1	0	0	0	D
St. Joseph's Hospital & Medical Center	Travel & Entertainment	3	CHW-SJHMC06-03	5/8/2006	3		0	1	0	1	1	
St. Joseph's Hospital & Medical Center	Interns' and Residents' FTE Verification	N/A	CHW-SJHMC07-01	8/9/2006	3		1	0	0	2	0	
St. Rose Dominican Hospital	Admitting and Registration	4	CHW-SRDHHend-05-01	8/6/2004	1		0	0	0	1	0	
St. Rose Dominican Hospital	Travel & Entertainment	1	CHW-SRDHHend-06-02	7/31/2006	1		0	1	0	0	0	B

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St. Rose Dominican Hospital	Revenue/Charge Capture, Radiology	3	CHW-SRDLV07-01	8/11/2006	4		0	1	0	2	1	C
St. Rose Dominican Hospital	Revenue/Charge Capture, Pharmacy	2	CHW-SRDHend07-02	10/25/2006		5	0	4	0	1	0	C
St. Rose Dominican Hospital	System Crosswalk Reconciliation and Interface	2	CHW-SRDHend07-03	12/19/2006		10	0	3	0	7	0	C
St. Rose Dominican Hospital	Admitting and Registration	2	CHW-SRDHend07-04	2/16/2007		4	0	2	0	2	0	C
St. Rose Dominican Hospital	Human Resources and Payroll	1	CHW-SRDHend07-05	3/15/2007		3	1	0	0	2	0	
Subtotals					63	99	10	37	1	110	4	
Percentage Implemented ⁽²⁾						162				75.00%		
<u>WINTER/SALO</u>												
Bakersfield Memorial Hospital	Surgery Department	4	CHW-SCKEBMH-04-05	8/31/2004	1		0	0	1	0	0	C
Community Hospital of San Bernardino	Payroll Security	5	CHW-CHSB-04-04	6/28/2004	2		0	0	0	2	0	
Community Hospital of San Bernardino	Denial Management	5	CHW-CHSB-05-01	4/25/2005	1		0	1	0	0	0	B
Community Hospital of San Bernardino	Pharmacy	5	CHW-CHSB-06-01	8/21/2006	2		0	0	0	2	0	
Community Hospital of San Bernardino	Payroll	2	CHW-CHSB07-02	12/15/2006		1	0	0	0	1	0	
Dominican Hospital	Payroll - Post Ceridian Implementation	4	CHW-DHSC05-02	4/29/2005	4		0	2	1	1	0	B,C
Dominican Hospital	Travel and Entertainment	4	CHW-DHSC06-01	4/7/2006	2		0	1	0	1	0	D
Dominican Hospital	POS Cash Controls	4	CHW-DHSC07-01	8/10/2006	8		0	7	0	1	0	C
Dominican Hospital	Pharmacy	4	CHW-DHSC07-02	10/13/2006		16	0	9	0	7	0	B,C
Dominican Hospital	Revenue/Charge Capture - Radiology	2	CHW-DHSC07-03	1/31/2007		1	0	0	0	1	0	
Glendale Memorial Hospital & Health Center	Psychiatric-Intensive Outpatient Program	2	CHW-GMHGL07-01	11/22/2006		7	0	0	0	7	0	
Glendale Memorial Hospital & Health Center	Balance Sheet Reconciliations	1	CHW-GMHGL07-02	2/27/2007		3	0	0	0	3	0	
Mercy Hospital Bakersfield	Surgery Department	3	CHW-SCKEMHB-04-06	10/15/2004	1		0	1	0	0	0	C
Mercy Hospital Bakersfield	Payroll - Ceridian	4	CHW-SCKEMHB-06-01	9/26/2005	7		0	0	0	7	0	
St. Bernardine Medical Center	Payroll Security	5	CHW-SBMC-04-04	6/28/2004	3		0	0	0	3	0	
St. Bernardine Medical Center	Denial Processing	5	CHW-SBMC-05-04	4/15/2005	1		0	1	0	0	0	C
Saint Francis Memorial Hospital	Purchasing Pricing Compliance	2.5	CHW-SFMH-02-05	8/8/2002	1		0	1	0	0	0	B
Saint Francis Memorial Hospital	Payroll Post Ceridian Implementation	2	CHW-SFMSF07-01	12/20/2006		3	0	0	0	3	0	
St. Mary Medical Center, Long Beach	Revenue/Charge Capture Surgery	4	CHW-SMLB04-02	12/2/2003	1		0	0	0	0	1	
St. Mary Medical Center, Long Beach	Resident FTE Verification	3.5	CHW-SMLB06-01	4/3/2006	1		0	0	0	1	0	
St. Mary Medical Center, Long Beach	Revenue/Charge Capture - Pharmacy	3	CHW-SMLB07-01	1/12/2007		7	0	0	0	7	0	
St. Mary's Medical Center	Revenue Charge Capture, Radiology and IVR	3.5	CHW-SMMC06-01	6/1/2006	2		0	0	0	2	0	
San Gabriel Valley Medical Center	Admitting and Registration	4	CHW-SGVMC-04-01	3/11/2004	1		0	1	0	0	0	
San Gabriel Valley Medical Center	Pharmacy	5	CHW-SGVMC-05-02	1/14/2005	1		0	1	0	0	0	
San Gabriel Valley Medical Center	Laboratory	5	CHW-SGVMC-05-03	6/23/2005	1		0	1	0	0	0	
San Gabriel Valley Medical Center	Revenue/Charge Capture - Surgery	5	CHW-SGVMC-06-01	11/5/2005	1		0	1	0	0	0	

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San Gabriel Valley Medical Center	Revenue/Charge Capture - Emergency Room	5	CHW-SGVMC-06-02	3/07/2006	4		0	0	0	4	0	
San Gabriel Valley Medical Center	Accounts Payable Limited Review	1	CHS-SGVMC07-01	10/19/2006		5	0	0	0	5	0	
Sequoia Hospital	Advance Beneficiary Notice	2	CHW-SEQRC06-01	5/26/2006	1		0	0	0	1	0	
Sequoia Hospital	Payroll - Post Ceridian Implementation	3	CHW-SEQRC07-01	12/20/2006		3	0	0	0	3	0	
Subtotals					46	46	0	27	2	62	1	
Percentage Implemented ⁽²⁾						92				68.48%		
CORPORATE												
Chandler Regional Hospital	HIPAA Privacy	N/A	CHW-HIPAA-CRHChand-04-02	11/14/2003	1		0	0	0	0	1	
CHW Bay Area	Bay Area Employee Benefits	3.5	CHW-CORP06-01	4/12/2006	1		0	0	0	1	0	
CHW Corporate	Transfer from CHW-CHMC-04-04	4	N/A	2/25/2004	1		0	1	0	0	0	
CHW Corporate	Accounts Receivable Reserve Procedures	3	CHW-CORP05-02	5/25/2005	1		0	0	0	0	1	
CHW Corporate	CRE - Construction Limited Scope Review	3	CHW-PHX06-01	4/26/2006	1		0	0	0	1	0	
CHW Corporate	Travel and Entertainment Corporate System Office	2.5	CHW-CORP06-01	6/8/2006	9		0	0	0	9	0	
CHW Corporate	Travel and Entertainment Executive Management Team	3	CHW-CORP06-02	6/8/2006	16		0	0	0	16	0	E
CHW Corporate	Travel and Entertainment Hospital Presidents	4	CHW-CORP06-03	6/8/2006	7		0	0	0	7	0	E
CHW Corporate	Treasury - Banking Operations	4	CHW-CORP07-01	1/25/2007		13	12	1	0	0	0	
CHW Corporate	CHW IT Procurement Process	3	CHWIT-OCIO07-01	12/15/2006		9	0	4	0	5	0	C
Subtotals					37	22	12	6	0	39	2	
Percentage Implemented ⁽²⁾						59				87.23%		
INFORMATION TECHNOLOGY												
CHW Enterprise Data Center	CHW Active Directory/Windows 2000	4	CHWIT-OCIO06-06	1/11/2006	2		0	0	0	2	0	
CHW Enterprise Data Center	CHW AS/400 Security	4	CHWIT-OCIO06-02	3/6/2006	4		0	0	0	4	0	
CHW Enterprise Data Center	CHW IT Network (high-level)	4	CHWIT-OCIO06-07	3/31/2006	4		0	0	0	4	0	
CHW Enterprise Data Center	CHW Courion Post Implementation	1	CHWIT-OCIO06-10	6/15/2006	1		0	0	0	1	0	
CHW Enterprise Data Center	Biomed HIPAA Security	4	CHWIT-SRDH06-14	10/24/2006		10	6	1	0	3	0	A
CHW Enterprise Data Center	Disaster Recovery Plan	3	CHWIT-SNMH07-02	1/30/2007		4	0	0	0	4	0	
CHW Enterprise Data Center	Cerner Pre-Implementation	N/A	CHWIT-OCIO07-04	2/1/2007		3		0	0	3	0	

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CHW Enterprise Data Center	CHW Remote IT Site General Controls	4	CHWIT-OCIO07-05	2/28/2007		3	3	0	0	0	0	
CHW Enterprise Data Center	CHW IT Program Change Management	1	CHWIT-OCIO07-06	3/23/2007		3	3	0	0	0	0	
CHW Enterprise Data Center	Cerner Post-Implementation Review	N/A	CHWIT-OCIO07-07	3/26/2007		2	2	0	0	0	0	
CHW Enterprise Data Center	Security Administration	3	CHWIT-OCIO07-08	3/28/2007		6	6	0	0	0	0	
Subtotals					<u>11</u>	<u>31</u>	<u>20</u>	<u>1</u>	<u>0</u>	<u>21</u>	<u>0</u>	
Percentage Implemented ⁽²⁾						<u>42</u>				<u>95.45%</u>		
Totals					<u>172</u>	<u>286</u>	<u>64</u>	<u>89</u>	<u>3</u>	<u>291</u>	<u>11</u>	
Percentage Implemented ⁽²⁾						<u>458</u>				<u>76.65%</u>		