Look inside for
- Educational opportunities
- Books and other resources
- Solution providers
- Online networking
- HCCA membership

And don’t miss HCCA’s Scalendar
Our wall calendar is packed with dates from major corporate scandals. Use it to remind people about the importance of compliant, ethical behavior.

2016 Healthcare Compliance Resource Guide

hcca-info.org
It is my pleasure to share with you HCCA’s 2016 Resource Guide.

As we all seek to maximize the effectiveness of compliance and ethics programs in a time of limited resources, this guide is designed to provide you with a convenient tool for finding the products, solutions and educational opportunities you need to keep your career and your compliance and ethics program moving forward.

Inside the Guide you’ll find:

• **Solution provider listings.** Use them to find the right solutions for your organization.

• **Online resources.** From Twitter to LinkedIn, we have you covered, and inside you’ll learn how to take advantage of all of these opportunities.

• **Educational opportunities.** We’ve mapped out our educational opportunities—where they’ll take place and when—so you can determine which one has the most information for your unique needs.

• **Compliance training materials.** HCCA has developed a wide range of books and other tools for helping you efficiently and effectively improve your program.

• **The corporate Scalendar.** Want to know when Bernie Madoff was arrested? When Martha Stewart sold her stock? Our corporate scandal calendar provides humor and the groundwork for a year’s worth of reminders to your workforce about the consequences of unethical, non-compliant behavior.

We hope you find the 2016 HCCA Resource Guide to be a valuable resource to your career and program. We look forward to serving you in 2016 and beyond.

*Sincerely,*

Roy Snell, Chief Executive Officer
Health Care Compliance Association
2016 HCCA Events

Start planning now. Visit hcca-info.org/events to learn more.

**Regional Compliance Conferences**
- January 22 • Atlanta, GA
- February 5 • Orlando, FL
- February 12 • Portland, OR
- February 19 • Dallas, TX
- February 25–26 • Anchorage, AK
- March 4 • St Louis, MO
- March 11 • Washington, DC
- March 18 • Charlotte, NC
- April 28–29 • San Juan, PR
- May 6 • Columbus, OH
- May 13 • New York, NY
- June 3 • Philadelphia, PA
- June 10 • Seattle, WA
- June 17 • Santa Ana, CA
- September 9 • Boston, MA
- September 16 • Minneapolis, MN
- September 23 • Kansas City, MO
- September 30 • Indianapolis, IN
- October 7 • Pittsburgh, PA
- October 13–14 • Honolulu, HI
- October 21 • Denver, CO
- November 4 • Louisville, KY
- November 11 • Phoenix, AZ
- November 18 • Nashville, TN
- December 2 • San Francisco, CA
- December 9 • Houston, TX

**National Conferences**
- **Managed Care Compliance Conference**
  - January 31–February 3 • Las Vegas, NV
- **Audit & Compliance Committee Conference**
  - February 29–March 1 • Scottsdale, AZ
- **20th Annual Compliance Institute**
  - April 17–20 • Las Vegas, NV
- **Research Compliance Conference**
  - June 5–8 • Baltimore, MD
- **Clinical Practice Compliance Conference**
  - October 23–25 • Scottsdale, AZ
- **Healthcare Enforcement Compliance Institute**
  - October 23–26 • Washington, DC

**Basic Compliance Academies**
- January 18–21 • New York, NY
- January 25–28 • San Juan, PR
- February 8–11 • Scottsdale, AZ
- March 7–10 • New Orleans, LA
- April 25–28 • Boston, MA
- June 13–16 • San Francisco, CA
- June 20–23 • Scottsdale, AZ
- July 25–28 • Honolulu, Hawaii
- August 8–11 • New York, NY
- September 12–15 • Chicago, IL
- October 3–6 • Las Vegas, NV
- October 24–27 • Nashville, TN
- November 14–17 • Orlando, FL
- December 5–8 • San Diego, CA

**Healthcare Privacy Basic Compliance Academies**
- March 14–17 • Orlando, FL
- June 20–23 • Scottsdale, AZ
- October 24–27 • Nashville, TN
- November 7–10 • San Diego, CA

**Research Basic Compliance Academies**
- March 14–17 • Orlando, FL
- November 7–10 • San Diego, CA

**Web Conferences**
Explore hot topics in healthcare compliance with instant and up-to-date education from the convenience of your office.
HCCA announces new conferences regularly, and prior sessions are available for purchase. Visit hcca-info.org/webconferences to learn more.

Conference dates and locations are subject to change.
Why join HCCA?

About HCCA

The Health Care Compliance Association (HCCA)® is the only national, non-profit organization solely dedicated to improving the quality of healthcare compliance.

Why should you join?

HCCA understands the complex healthcare compliance environment. We’re here to help you build and maintain a strong and effective compliance and ethics program. We offer the latest tools, resources, and training to help you lead with compliance—to compliance.

Become a member of HCCA for just $295/year and you’ll enjoy:

- A free subscription to Compliance Today, exclusive to our members
- Discounts to every HCCA national, regional, and web conference
- Discounts on all HCCA products, programs, and services
- Access to members-only sections on HCCA’s website

Learn more online at hcca-info.org/join
HCCA MEMBERSHIP APPLICATION

CONTACT INFORMATION (PLEASE TYPE OR PRINT)

○ Mr  ○ Mrs  ○ Ms  ○ Dr

First Name     Mi     Last Name

Credential(s)     Job Title(s)

Name of Employer

Street Address

City      State/Province  Zip/Postal Code    Country

Telephone       Email

MEMBERSHIP OPTIONS

○ Individual Membership  ......................................$295
  $295 per individual annually.

○ Group Employee Membership ........................................$250
  $250 per employee annually. Must have four or more applicants from the same organization to qualify. Please fill out one form for each applicant.

○ Corporate Membership .............................................$2,500
  $2,500 annually. Includes four individual memberships plus corporate publicity benefits.

○ Student Membership ......................................................$150
  $150 annually. To qualify you must be a full-time or part-time student and unemployed.

  TOTAL $ __________________________

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○ Check enclosed (payable to HCCA)

○ Invoice me

○ I authorize HCCA to charge my credit card (choose card below)
  CREDIT CARD: ○ American Express  ○ Discover  ○ MasterCard  ○ Visa

Due to PCI Compliance, please do not provide any credit card information via email. You may email the application to helpteam@hcca-info.org (without credit card information) and call HCCA at 888-580-8373 with your credit card.

Credit Card Number

Credit Card Expiration Date

Cardholder’s Name

Cardholder’s Signature

Questions?

Call 888-580-8373 or email service@hcca-info.org

LEARN MORE ONLINE AT

HCCA-INFO.ORG/JOIN

Apply online at: hcca-info.org/join

Fax application to: 952-988-0146

Mail application to:
Health Care Compliance Association
6500 Barrie Road, Suite 250
Minneapolis, MN 55435
An intensive three-and-a-half-day program focusing on subject areas at the heart of healthcare compliance practice, designed for participants with a basic knowledge of compliance concepts and some professional experience in a compliance function.

**2016 Basic Compliance Academies**

*from the Health Care Compliance Association*

January 18–21 • New York, NY  
January 25–28 • San Juan, PR  
February 8–11 • Scottsdale, AZ  
March 7–10 • New Orleans, LA  
April 25–28 • Boston, MA  
June 13–16 • San Francisco, CA  
June 20–23 • Scottsdale, AZ  
July 25–28 • Honolulu, Hawaii  
August 8–11 • New York, NY  
September 12–15 • Chicago, IL  
October 3–6 • Las Vegas, NV  
October 24–27 • Nashville, TN  
November 14–17 • Orlando, FL  
December 5–8 • San Diego, CA

Learn more and register at hcca-info.org/academies

Questions: jennifer.parrucci@corporatecompliance.org

Want to become Certified in Healthcare Compliance (CHC)?

Take the optional CHC exam on the last day of the Academy.

CLE APPROVED
With a wide range of research-related issues becoming hot topics with enforcement agencies, HCCA’s Research Basic Compliance Academy® provides the opportunity to get information on many areas that affect research compliance officers and their staff on a day-to-day basis. A small audience encourages hands-on educational techniques, small group interaction, and networking.

www.hcca-info.org/academies
HCCA’s Healthcare Privacy Basic Compliance Academy® is comprehensive, covering a broad spectrum of laws and regulations that affect healthcare organizations: HIPAA privacy, general compliance, the Federal Privacy Act, and other privacy-related topics relative to healthcare. The faculty has many years of experience in healthcare compliance and is well-versed in healthcare privacy. The Academy is also helpful in preparing for healthcare privacy certification.

www.hcca-info.org/academies
20th Annual Compliance Institute
APRIL 17–20, 2016 ★ ARIA ★ LAS VEGAS

10 LEARNING TRACKS OFFERED

- General Compliance/Hot Topics
- Compliance Lawyer
- Auditing & Monitoring
- Privacy & Security
- Long-Term Care
- Quality of Care
- Physician Compliance
- Internal Audit
- How to Succeed as a Compliance Professional
- Advanced Discussion Groups

There's no reason to wait. Register today to maximize your savings and ensure your spot at the 2016 Compliance Institute.

REGISTER AT COMPLIANCE-INSTITUTE.ORG

QUESTIONS? EMAIL JENNIFER.PARRUCCI@CORPORATECOMPLIANCE.ORG

Las Vegas

Celebrating 20 years HCCA'S COMPLIANCE INSTITUTE
Healthcare Enforcement Compliance Institute

October 23–26, 2016 | Washington, DC

Learn best and leading-edge practices for the compliance lawyer.

Go beyond legal analysis, learn how to implement systems that ensure the law is followed, and gain practical advice from experts in a one-of-a-kind forum where lawyers and compliance officers work together.

LEARN MORE AT hcca-info.org/heci

Questions? jennifer.parrucci@corporatecompliance.org
Product Catalog

COMPLIANCE TRAINING MATERIALS
The Health Care Compliance Professional’s Manual with Quarterly Update Subscription

Published by CCH and HCCA

The Health Care Compliance Professional’s Manual gives you all the tools you need to plan and execute a customized compliance program that meets federal standards. Available via print or online, the Manual walks you through the entire process, start to finish, showing you how to draft compliance policies, build a strong compliance infrastructure in your organization, document your efforts, apply self-assessment techniques, create an effective education program, pinpoint areas of risk, conduct internal probes, and more.

Hard copy subscribers receive quarterly updates; online subscribers receive updates as soon as they are issued. (Product delivery and subscription fulfillment via Wolters Kluwer.)

Note: Academy participants receive the Manual as part of their registration. This does not include updates.

Members $429/year / Non-members $469/year

The HCCA HIPAA Training Handbook, Third Edition

This reorganized, updated handbook is for anyone who needs a basic understanding of the privacy and security regulations launched by HIPAA, HITECH Act, and Omnibus Rule Implementation. Suitable for staff training courses, it covers:

- Who must comply with the regulations
- When and by whom is the use or disclosure of protected health information (PHI) permitted?
- What rights does an individual have regarding his or her PHI?
- What are the basic safeguards required to protect the security of e-PHI?
- What happens if a breach occurs?
- What are the penalties for noncompliance?

Members $25 / Non-members $30

Health Care Auditing & Monitoring Tools

This toolkit provides tool templates, report formats, model policies and procedures, and more, to help establish or enhance your compliance auditing and monitoring efforts. Find just one tool to help your program improve, and you’ve achieved a positive return on investment.

The more than 1,000 pages of materials cover:

- Building a compliance program
- Risk assessment and plan development
- Policies and procedures
- Tools for specific program audits
- Program effectiveness reviews

The print and CD-ROM format allows you to reference and tailor documents already created, tried, and implemented by your peers in the profession. Bi-annual updates are available.

Members $395 / Non-members $445

The HCCA HIPAA Training Handbook, Third Edition

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Members $395 / Non-members $445

Compliance 101, Third Edition

Debbie Troklus, CHC-F, CCEP-F, CHRC, CHPC, and Greg Warner

Author Debbie Troklus and Greg Warner have updated Compliance 101 to reflect recent developments in compliance regulations, including HIPAA, HITECH, and the Federal Sentencing Guidelines. Compliance 101: Third Edition provides a comprehensive review of the fundamentals in healthcare compliance. This practical book is based on the popular HCCA educational program known by the same name. You’ll learn about the seven essential elements of an effective compliance program. The text includes:

- Step-by-step instructions on setting up and maintaining a compliance program
- A chapter dedicated to HIPAA and HITECH regulations
- A glossary with compliance terms and definitions
- Sample compliance forms and policies

This book is ideal for compliance professionals new to the field, compliance committee members, compliance liaisons, board members, and others who need a foundation in compliance principles.

Members $50 / Non-members $60

HCCA MEMBER DISCOUNTS

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Now available in electronic format for ereaders and tablets
Visit Amazon.com or Kobobooks.com

HCCA MEMBER
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10–24 ............ $23
25–49 ............ $20
50–74 ............ $18
75–99 ............ $16
100+ ............ $15

Now available in electronic format for ereaders and tablets

Edited by John E. Steiner, Jr., JD

This book assists compliance officers and compliance committee members with designing and maintaining an effective corporate compliance program. The second edition of this important resource adds a chapter on recent improvements in statistical sampling and a chapter on databases for Stark Law and Anti-Kickback statute compliance. Other key elements of compliance programs covered include:

- Developing an effective compliance team
- Developing a voluntary disclosure and refund process
- Preparing for a visit from an Independent Review Organization
- Structuring financial relationships with physicians
- Ensuring attorney-client privilege in the context of healthcare investigations

Members $49.95 / Non-members $59.95


Clinical research is highly regulated, so the role of compliance professionals is vital to meeting the demands of a wide range of governing entities. HCCA’s manual offers up-to-date guidance to get you on the right track. Written by experts with hands-on experience in clinical research compliance, this book is intended for anyone with compliance duties or a need to understand such key areas as:

- Human subject protections
- Biosafety and biosecurity
- Conflicts of interest
- Grant and trial accounting
- Records management
- Role of oversight entities
- Integrating research compliance into corporate compliance
- Scientific misconduct
- Animal research
- Clinical trial billing
- Privacy and security
- Data monitoring committees
- Auditing & monitoring

Members $149 / Non-members $169

Health Care Privacy Compliance Handbook, Second Edition

This second edition helps privacy professionals sort through the complex regulatory framework and significant privacy issues facing healthcare organizations. Written by the faculty of HCCA’s Basic Privacy Compliance Academy, it offers up-to-date guidance on:

- HIPAA privacy and security
- HITECH and the Omnibus Rule
- FERPA
- The Federal Privacy Act
- 42 CFR, Part 2
- Privacy and research
- Vendor relations
- Payor privacy issues
- Auditing & monitoring

Members $149 / Non-members $169

A Supplement to Your Deficit Reduction Act Compliance Training Program: An Overview of the False Claims Act and Federal Health Care Programs

The Deficit Reduction Act of 2005 (DRA) brought greater educational responsibilities for compliance officers whose organizations receive $5,000,000 or more in Medicaid. You can educate your employees about the federal False Claims Act and whistle-blower protections with this 15-page guide. This training handbook from HCCA is an easy way to train your employees on some of the increasingly complex laws and regulations facing healthcare organizations today.

Non-members $30.00 (pack of 10)
Do you have 5 or more years of experience in the healthcare compliance field? Have you held the CHC, CHPC, or CHRC certification for at least 3 years?

You could qualify for the Certified in Healthcare Compliance Fellow (CHC-F)® and showcase your compliance expertise and commitment to the profession by building on your CHC, CHPC, or CHRC certification. Set the bar higher and demonstrate you have what it takes to become a leader in this important and ever-changing field.

To learn more about this certification and how you can set yourself apart, visit us online at compliancecertification.org.
Compliance and Ethics: An Introduction for Health Care Professionals

HCCA’s video provides everything you need to conduct compliance and ethics training:

- 23-minute video with 7 sections that can be viewed one at a time
- Trainer’s Guide with suggested program agenda and discussion outlines
- Reproducible participant materials
- Available in DVD or VHS—DVD includes viewer’s menu for easy customization of training sessions

Suitable for new employee orientations and staff refreshers. Topics include:

- Documentation accuracy
- Patient privacy
- Ethics
- Security of ePHI
- Anti-kickback
- Conflict of interest
- Stark law

Members $350 / Non-members $395

HIPAA Rules and Compliance

Produced by DuPont Sustainable Solutions

The Health Insurance Portability and Accountability Act (HIPAA) has undergone several modifications since its enactment in 1996, from the Genetic Information Nondiscrimination Act (2010) to the HITECH Act to the HIPAA Omnibus Rule. HIPAA Rules & Compliance, a 15-minute DVD, reviews basic, unchanged requirements, qualified standards and the latest critical changes. Its learning objectives:

- Identify the requirements of the HIPAA Privacy rule
- Identify the requirements of the HIPAA Security rule
- Recognize the HIPAA Breach Notification requirements
- Understand how HIPAA is enforced and the penalties for non-compliance

The DVD comes with an electronic leader’s guide and a customizable PowerPoint® presentation.

Members $265 / Non-members $295

Healthcare Compliance: Code of Conduct

Produced by Coastal Training Technologies Corp.

This comprehensive and easy-to-understand training kit includes an 18-minute video and a customizable PowerPoint® presentation. Compliance professionals can use this video as an integral part of their training programs. It gives a general overview of a compliance program and its importance in today’s healthcare environment. Topics include:

- Best practices for compliance
- Code of conduct
- On-going education and training
- Monitoring and auditing
- In-house reporting systems
- Enforcement and discipline
- Response and prevention

Members $295
Non-members $350
DVD only

EMTALA 911: On Call!

Produced by Coastal Training Technologies Corp.

With this EMTALA training program, you get a 15-minute video and a customizable PowerPoint® presentation. Bring your staff up-to-date on the newest EMTALA requirements. This training kit:

- Reviews existing guidelines and covers the latest CMS changes
- Defines “dedicated emergency department”
- Discusses how other areas of the hospital may be affected and their screening obligations for emergency care
- Shows staff how to legally ask about a patient’s financial status or health insurance
- Illustrates a facility’s legal obligations to provide healthcare for patients with an inability to pay

Members $195 / Non-members $235 (DVD only)
Compliance, Conscience and Conduct

HCCA’s award-winning, video-based training program is a cost-effective, easy-to-use training kit—your solution for training your entire organization in the fundamentals of compliance. It includes:

- 17-minute video that reviews seven common case studies
- Session leader guide
- Reproducible participant worksheets and certification forms

Case study topics include:

- Coding
- Vendor relationships
- Patients’ charts
- Gifts and gratuities
- Patient information
- Gifts from vendors

The video allows for convenient places to stop and discuss how the scenarios and concepts apply to your own organization.

Members $295 / Non-members $365  DVD

The HCCA-Amazon.com Compliance Reference Library

HCCA has partnered with Amazon.com to help our members have easy access to a wide range of compliance and ethics reference works. We have scoured the Amazon.com catalog and put together a library of high-quality compliance and ethics titles—including CPT, ICD-9 and other coding guides!

Visit the store at http://astore.amazon.com/healthcarecomp-20

Products from the Society of Corporate Compliance and Ethics (SCCE)

SAVE MONEY WHEN YOU BUY BOTH OF MERIC BLOCH’S BOOKS BELOW

- The First Information Is Almost Always Wrong: Members $80 / Non-members $90
- Workplace Investigations: Members $90 / Non-members $100
- BOTH BOOKS AS A SET: Members $150 / Non-members $170

The First Information Is Almost Always Wrong: 150 Things to Know About Workplace Investigations

Effective workplace investigations are equal parts art and science. Author Meric Bloch has mastered both aspects through years of hard-earned experience. In this book, he details the strategies and tactics he knows work best. His approach is mapped out in three sections:

- Protect Your Career—How to Think Like a Workplace Investigator
- Protect Your Company—How to Integrate Your Investigations into Your Company’s Operations
- Protect Your Case—How to Conduct an Effective Workplace Investigation

Members $80 / Non-members $90

Workplace Investigations: Techniques and Strategies for Investigators and Compliance Officers

Author Meric Bloch is back with more expert guidance! His book offers step-by-step guidance on internal investigations, covering how to:

- Structure the process
- Select an investigations team
- Plan the investigation
- Interview reporters and witnesses
- Interview implicated employees
- Secure relevant documents
- Evaluate evidence
- Reach conclusions
- Write a final report and move forward

Members $90 / Non-members $100
Corporate Compliance & Ethics: Guidance for Engaging Your Board

This video training kit serves as a call to action for board members. In this 12-minute video, your board of directors will review their responsibilities in identifying compliance risks, engaging in compliance program oversight, ensuring needed resources for program monitoring, and assessing the effectiveness of the whistleblowing detection system. With this valuable training kit, you receive such key support materials as The Federal Sentencing Guidelines, The Sarbanes-Oxley Act, The Powers Report, and best practices for non-profit corporate governance.

Members $345 / Non-members $395

Ethics and Compliance on the Job: Classic Ethikos articles with no-nonsense solutions

As the beacon of business ethics publications, Ethikos has shined a spotlight on ethics and compliance for more than 25 years. This anthology of articles from Ethikos brings together highly relevant and practical ideas, insights, and advice for today’s practitioners. Articles for this collection were selected to cover five key subject areas:

- Establishing/Promoting an Ethical & Compliant Culture
- Program Implementation Details
- Communicating Ethics & Compliance
- Ethics & Compliance Education & Training
- Assessing & Measuring Program Effectiveness

Members $75 / Non-members $85

501 Ideas for Your Compliance and Ethics Program: Lessons from 30 Years of Practice

Author Joe Murphy has spent his career collecting great ideas for building an effective compliance and ethics program. He shares 501 of them here—ideas big and small—to help others find new ways to improve their programs. All are practical and ready to use. Topics covered include:

- Identifying compliance & ethics risks
- Benchmarking against industry practices
- Establishing and enforcing a program
- Conducting audits
- Evaluating effectiveness
- And much more!

Members $50 / Non-members $60

Building a Career in Compliance and Ethics: Find Your Place in the Business World’s Hottest New Field

Authors Joseph E. Murphy and Joshua H. Leet offer step-by-step instructions on how to establish a career in compliance and ethics. You’ll discover:

- The wide range of compliance and ethics jobs
- The skills and temperament needed for this field
- Practical ways to prepare for and get ahead in your career
- Steps for conducting an effective job search
- Advice from seasoned compliance and ethics professionals

Members Softcover $50 / Non-members Softcover $60

Members Hardcover $50 / Non-members Hardcover $60
A selection of 2015 Web conferences

The Key Three: How Collaboration, Communication and Culture Solidify Compliance
- Collaboration: More than working together; it’s about getting people to do what you need them to
- Communication: Communicating effectively means learning common communication filters, barriers to listening and how assumptions cause miscommunication
- Culture: Creating the ideal environment where titles don’t matter as much as integrity, expectations and openness

Facilitators: John R. Nocero, PhD, MBA, CCRP, GCP, Administrator, Governance, Risk Management and Compliance, Barber National Institute; Jennifer L. Kennedy, BA, Manager Corporate Compliance, Barber National Institute

What All Healthcare Entities Should Know about CMS Guidance for an “Effective Compliance Program”
- How CMS’ new guidance to managed Care entities raises the bar for Compliance Program expectations
- An analysis of how CMS guidance differs from OIG compliance program guidance
- What sections of CMS guidance should other healthcare entities consider adopting as best practices

Facilitator: Nabil Istafanous, JD, Principal, Corporate Counsel Solutions PLLC

Proper Management of Medicare/Medicaid Overpayments to Limit Risk of False Claims Act Violations
- Identify applicable rules
- Define overpayments and how they arise
- Discuss the provisions of the CMS “60-day” rule
- List audit criteria to detect overpayments
- Describe how to report and repay overpayments
- Examine the risks and liabilities resulting from the failure to timely report and repay overpayments
- Review case examples

Facilitators: Susan Ziel, BSN MPH JD, Consultant, Integrity Health Strategies; Carol Hoppe, CPC, CCS-P,CPC-I, Consultant, Integrity Health Strategies; Joyce Freville, PhD, MBA, CHC, CHPC, Consultant, Integrity Health Strategies

A Suspected Breach: How to Investigate, Document, and Respond
- Step-by-step instruction beginning immediately after a reported privacy or security breach
- How to determine whether a breach occurred—in your organization or a business associate
- Reporting and responding to regulators, patients, and the media

Facilitator: Matthew Soskins, PhD, Esq., CHC, Special Counsel, Higgs, Fletcher & Mack

Learn about timely compliance and ethics topics from the comfort of your computer. Each Web conference offers our expert speakers’ original multimedia presentation—a complete audio recording synced to the corresponding PowerPoint presentation, all on one CD. No special technology is needed—just a computer with a CD drive, audio speakers (or headphones) and a standard Web browser. As an added benefit, you can earn 1.2 CCB CEUs for each 90-minute conference.

Note: Each part of a multi-part conference may be purchased separately or as a cost-saving bundle.

ONE CONFERENCE:
Members $99 | Non-members $119
TWO CONFERENCES:
Members $180 | Non-members $220
THREE CONFERENCES:
Members $270 | Non-members $330
FOUR CONFERENCES:
Members $85 / CD | Non-members $105 / CD
TEN OR MORE CONFERENCES:
Members $75 / CD | Non-members $99 / CD

Access recently held training with HCCA Web Conferences on CD

To order these CDs and view the entire catalog, visit hcca-info.org/webcds
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Loyola University Chicago School of Law is leading the way in Compliance Studies. Loyola offers several unique programs that focus on the rapidly growing area of compliance: a Masters of Jurisprudence in Health Law or Business Law for professionals or a Master of Laws in Health Law or Business Law for attorneys. We feature more than 30 courses on compliance topics, including corporate compliance, global compliance, health care compliance, and research compliance. Some degree programs allow students to sit for the Compliance Certification Board’s examinations. Online classes are offered starting in January, May, or September. For more information, visit our website or call 1-800-424-3986.

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MDaudit software automates and streamlines the auditing process to improve productivity and reduce compliance risk. MDaudit Hospital allows you to analyze and measure organizational risk, sample data for specific risk profiles, target audits and focus quality initiatives and education around findings. MDaudit Professional provides an easy-to-use workflow so you can audit your providers, determine individual risk score, benchmark providers and generate management reports. MDaudit Analytics provides key metrics focused on current risk areas, customizable provider rankings and comparisons focused on E&M and modifier usage.
MD Ranger Inc
www.mdranger.com
MD Ranger is a leading provider of data-driven physician contract and compensation solutions that help hospitals and health systems determine compensation, negotiate competitive contracts, and comply with federal regulations. The company’s proprietary database reduces the complexity and costs of establishing fair market value for on-call arrangements, medical directorships, administrative services, diagnostic testing services, and hospital-based medical groups. The company’s annual benchmark reports and analysis are designed to meet the business planning and compliance needs of busy health care executives, and ensure that financial arrangements are competitive and consistent.

MedeAnalytics
www.medeanalytics.com
MedeAnalytics provides evidence-based insights to solve a real problem that plagues healthcare – how to use the immense amount of patient data collected along the care continuum to deliver cost-effective care and promote a healthier population. Its analytics platform delivers intelligence that helps healthcare organizations detect their greatest areas of risk and identify opportunities to improve their financial health. It empowers providers and health plans to collaborate and use data to strengthen their operations and improve the quality of care. MedeAnalytics’ cloud-based tools have been used to uncover business insights for nearly 1,000 healthcare organizations across the United States and United Kingdom.

Medelearn
www.medelearn.org
The industry-leading compliance training system exclusively for healthcare organizations. Affordable, effective for any size medical group, clinic, hospital or ACO. Choose from over 1,000 lessons including HIPAA, CMS, and OSHA or author your own curriculum with our easy-to-use course-building interface. Access reports, track training and print certificates from the management center.

MediTract
www.meditract.com
MediTract helps hospitals and healthcare organizations manage their contracts; enabling them to reduce risk, maintain compliance and operate efficiently. We are the only contract management and compliance company solely dedicated to healthcare. As a result, we are a trusted advisor for one out of every four hospitals in the U.S.

MetricStream
MetricStream Inc
www.metricstream.com
MetricStream is the market leader in integrated Governance, Risk, Compliance (GRC) and Internal Audit Solutions for Healthcare providers and payers. MetricStream GRC solutions are used by leading Health Insurance companies, Healthcare service providers, Pharmaceutical, Medical devices & Healthcare product companies for automating and streamlining risk, compliance, policy and audit programs across the enterprise. Independent analysts recognize MetricStream as a leader in GRC Platforms.

MRO
www.mrocorp.com
MRO empowers healthcare organizations with proven, enterprise-wide solutions for the secure, compliant and efficient exchange of Protected Health Information (PHI). These solutions include a suite of PHI disclosure management services comprised of release of information, government and commercial payer audit management and accounting of disclosures. MRO’s technology-driven services reduce the risk of improper disclosure of PHI, ensure unmatched accuracy and enhance turnaround times. MRO additionally supports its clients’ current and future initiatives, including interoperability, meaningful use and health information exchange.

NAVEX Global
www.navexglobal.com
NAVEX Global helps protect your people, reputation and bottom line through a comprehensive suite of ethics and compliance software, content and services. The trusted global expert for 8,000 clients, our solutions are informed by the largest ethics and compliance community in the world. NAVEX Global provides the AHA endorsed policy & procedure management solution to nearly 20 percent of the nation’s hospitals, helping organizations streamline the policy management lifecycle, eliminate paper binders and meet audit standards.

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NetDimensions Healthcare
www.netdimensions.com/healthcare
NetDimensions Talent Suite is a fully integrated talent management system that is redefining learning, performance and compliance in healthcare. NetDimensions provides healthcare regulatory compliance training, dashboards and compliance analytics for regulatory audits, certifications, surveys and competency/skills management. Organizations gain a unified view of compliance-related risk across the whole workforce. Secure mobile access provides learners with training and educational resources anytime, anywhere. Learn more at www.netdimensions.com/healthcare.

NTracts
www.ntracts.com
Ntracts LLC, a Hall Render Company, offers contract management software and services that help healthcare organizations reduce legal and regulatory risks. The Ntracts software-as-a-service (SaaS) application establishes a single contract repository that actively notifies parties of approaching milestones, automates workflow and speeds contract origination. Ntracts also offers clients a complete portfolio of consulting services that boost efficiency and save clients money. For more information, or to request a demonstration, visit www.ntracts.com. Follow us on twitter @ntracts.
Optum
www.optum.com/GoCompliance
Optum is a leading health services and innovation company dedicated to helping make the health system work better for everyone. With more than 85,000 people worldwide, Optum combines technology, data and expertise to improve the delivery, quality and efficiency of health care. The Optum Compliance Suite enables compliance officers to manage and respond strategically to multiple compliance risks. Built on flexible platform architecture, the Optum solution delivers on the promise of a single and centralized view of compliance programs and allowing health care organizations to tackle compliance challenges across the provider organization.

Osprey Software Inc
www.ospreysoftware.com
COI RiskManager™ (Conflict of Interest Solution) is a powerful and cost-effective web-based solution that efficiently captures, tracks and reports the required disclosures for any sized organization. The system also protects organizations by ensuring compliance with the regulations set forth by the Public Health Service (PHS) / National Institutes of Health (NIH) COI RiskManager™: Easy to use and deploy, reduces risk and costs, proven in world-class organizations, and improves organizational effectiveness. Osprey also manages attestations (ex. Code of conduct, certification, security acknowledgements) and other types of forms including surveys.

Pacific University
pacificu.edu/hal
This graduate-level, 18-credit program offers students the opportunity to learn and demonstrate a thorough understanding of healthcare compliance topics and apply their knowledge through course work and in industry settings. Students meet on campus during two short weekend residencies: one at the beginning and a capstone seminar at the end of the program. The program curriculum is designed to prepare students to sit for the Certified in Healthcare Compliance (CHC) certification exam upon program completion, as well as other certifications from HCCA and AHIMA. Students may also choose to complete the Master of Healthcare Administration degree at the same time or after certificate completion.

Panacea Healthcare Solutions, Inc.
www.panaceainc.com
Panacea Healthcare Solutions, Inc. has provided coding, compliance, reimbursement and revenue solutions infused with intelligence and delivered through our consulting, software, publications and webcasts to more than 4,000 U.S. providers. Each one is rooted in Panacea’s extensive frontline experience working with healthcare providers and organizations.

Policy Medical Inc
www.policymedical.com
PolicyMedical maintains the belief that a hospital’s policy management system should be simple, user-friendly, and tailored to their specific needs. With such a system, healthcare professionals can spend more time on direct patient care, and less time on administrative tasks. PolicyMedical’s flagship software, PolicyManager™, is a cloud-based policy management platform. It is the first such platform specifically focused on the healthcare industry. PolicyManager™ establishes an automated system to create, approve, review, house, and archive policies.

Post Acute Advisors
www.postacuteadvisors.com
Post Acute Advisors is a specialty consulting firm, with proven expertise in the post acute levels of care (inpatient rehabilitation, outpatient therapy, skilled nursing, home health, hospice and LTCH). This expertise includes extensive experience in medical record review, potential risk assessment, extrapolation of risk, appeal assistance and clinical and medical staff education. Our successful participation as extensions of external legal or internal compliance teams has resulted in high satisfaction and effective improvement in compliance in your post acute levels of care.
PreCheck Inc
www.precheck.com

PreCheck’s background screening, credentialing and compliance services are designed exclusively for healthcare. Over 3,000 healthcare customers trust PreCheck for their employment screening and compliance needs. Our services include healthcare criminal background checks, ongoing OIG/GSA exclusion monitoring and sanction screening, and outsourcing solutions for license management and board action monitoring. PreCheck is an accredited screening firm by the National Association of Professional Background Screeners, which means we operate at the highest level in our industry.

Protiviti
www.protiviti.com/healthcare

Protiviti is a global consulting firm that helps healthcare organizations manage business risks and regulations while driving greater performance. Our healthcare professionals solve problems in risk, compliance, and technology enabling effective and efficient operations across the spectrum of healthcare providers, payers, life sciences and pharmaceutical organizations as well as non-traditional organizations. Learn how we can help you. Contact Vickie Patterson 1-813-348-3407 or vickie.patterson@protiviti.com.

PwC
www.pwc.com/healthcare

PricewaterhouseCoopers Health Industries serves as catalyst for change and the leading advisor to organizations across the health continuum. PwC draws from its broad perspective and capabilities across and beyond the health industries to help solve the array of emerging complex problems health organizations face, lead cultural and clinical transformation, and create a new, sustainable model for care delivery that is quality driven, patient centered and technology enabled.

Quorum Health Resources
www.qhr.com

Quorum Health Resources’ experienced compliance consultants help you take your compliance program to the next level and reduce risk for your organization. Our team offers a variety of services, from overall compliance program development and improvement to specific risk focused assessments and guidance. We are prepared to provide onsite review and analysis along with implementation assistance and education. Quorum’s compliance consulting team can help you navigate the complex regulatory environment and develop processes to protect your organization.

Secure Healing
www.securehealing.com

Secure Healing is the only company that provides intelligent behavioral based rogue user detection to mitigate your risks and comply with HIPAA/HITECH regulations. Our patented breach reporting and detection system makes manual audit process efficient through automation. The machine learning engine and proprietary risk rating engine helps identify rogue users and alerts authorities in real-time. In-depth and actionable forensic reporting makes breach detection logical and more accurate than ever before. Our value-added services of managed auditing & security risk analysis deliver efficiencies and reduce costs.

SAI Global Compliance
www.saiglobal.com/Compliance/

SAI Global Compliance provides governance, risk and compliance (GRC) solutions including the Compliance 360 software as well as learning and training awareness tools. Over 225,000 healthcare professionals rely on us for the management of regulatory changes, medical claims audits, policies, incidents, hipaa and third party risk management to name a few. Contact us for a demonstration of our software and training tools.

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REVEAL/md™
www.RevealMD.com

REVEAL/md™ predictive analytic software for hospitals instantly identifies audit risk exposure and revenue potential of outpatient providers. It is a web-based solution that provides high level statistical analysis in an easy to use manner. REVEAL/md™ mimics the same statistical methodology used by auditors to compare each provider on more than 50 known risk indicators providing the needed evidence to put together an efficient and effective work plan. Chart reviews, physician education, Saas for HEDIS, Inpatient Commercial and Governmental audits with workflows including attaching charts are available.

ProviderTrust, LLC
www.providertrust.com

ProviderTrust was formed by two visionaries imagining new ways to apply innovation and technology to solve inefficiencies in healthcare compliance. That was just the beginning. ProviderTrust has since pushed the limits by building software that provides solutions to some of the most pressing compliance laws including exclusion monitoring, sanction checks, and license management—for employees, vendors and more. Learn more about ProviderTrust’s solutions at providertrust.com.

REVEAL/md™
www.FiMedLabs.com

REVEAL/md™ predictive analytic software for hospitals instantly identifies audit risk exposure and revenue potential of outpatient providers. It is a web-based solution that provides high level statistical analysis in an easy to use manner. REVEAL/md™ mimics the same statistical methodology used by auditors to compare each provider on more than 50 known risk indicators providing the needed evidence to put together an efficient and effective work plan. Chart reviews, physician education, Saas for HEDIS, Inpatient Commercial and Governmental audits with workflows including attaching charts are available.

RMC is a Northwest-based consulting firm providing exceptional services nationwide since 1994. Specializing in Coding Compliance Reviews, we work with Compliance Professionals to ensure their hospitals and physician practices are in compliance with coding, billing and documentation guidelines. Our staff are highly qualified individuals with myriad experience conducting reviews for healthcare institutions, governmental agencies and attorneys. Our success is directly attributed to quality people doing quality work. Your satisfaction is guaranteed.
SmithAmundsen attorneys’ in-depth knowledge of the health care regulatory landscape and experience provides clients with accurate, timely, and cost effective savings. We represent health care organizations across the continuum of care, from hospitals and health systems to providers of long term care and home health. We assist with legal and compliance issues, including management of regulatory surveys, audits, and investigations; counseling for privacy and security, fraud and abuse, and compliance program requirements; performance of regulatory and compliance related risk assessments and reviews; and support for provider accreditation and certification efforts.

Strategic Management Services
www.compliance.com
Strategic Management is a nationally recognized consulting firm focused on delivering high-quality, innovative and timely compliance and regulatory services to clients in the health care industry. For over twenty years, Strategic Management has been on the forefront of regulatory and enforcement initiatives related to the health care industry, its operations and business practices. Strategic Management specializes in health care compliance management and consulting services with a specific focus on compliance program evaluations, risk assessments, HIPAA compliance, claims data analysis, litigation support, physician arrangements reviews, responding to government auditors and regulatory analysis.

Streamline Verify
www.streamlineverify.com
Streamline Verify is the cutting-edge software that has revolutionized OIG exclusion screening. Powerful, affordable, and incredibly easy to navigate, Streamline Verify performs automated monthly searches of the Federal OIG’s LEIE, GSA’s SAM, and all State exclusion databases, with results automatically emailed to your inbox. Offering a variety of custom-tailored options including SSA-DMF and OFAC searches, as well as a full-service package that completely removes the burden of OIG screening from your hands, Streamline Verify is your source for 100% guaranteed OIG compliance.

Sunra LLC
sunera.com
Sunra LLC is a business and technology risk advisory services firm that is dedicated to helping organizations achieve and sustain strong corporate governance, effective risk management and cost-effective compliance. Our firm provides a wide range of consulting and advisory services to large and medium-sized businesses and government agencies. This includes our data privacy, information security and corporate governance services, which are driven by comprehensive, proven methodologies and executed by professionals with the highest industry certifications. We are project and solution focused, utilizing proven methodologies to deliver services with an unsurpassed focus on quality.

TeachPrivacy
www.teachprivacy.com
Founded by the leading privacy law expert Professor Daniel Solove, TeachPrivacy provides computer-based privacy and data security training specializing in HIPAA and HITECH. Our training incorporates videos, quizzes and interactive elements which makes it highly engaging. Our philosophy: training that makes you think and makes you care.
Thomson Reuters

Thomson Reuters brings together trusted regulatory, customer and pricing data, intuitive software and expert insight and services – an unrivaled combination in the industry that empowers professionals and enterprises to confidently anticipate and act on risks. Our risk management business provides market leading solutions for training and e-learning, global regulatory intelligence, policy management, financial crime, anti-bribery and corruption, enhanced due diligence, enterprise risk management, internal audit and controls management, supply chain risk, conflicts compliance and global KYC managed services.

Verisys Corporation

Verisys delivers meaningful healthcare specific information ensuring regulatory compliance, minimizing legal and reputation risk and streamlining the credentialing process. Verisys is a “primary source” data provider for nationwide professional licensing, DEA Registrant, NPI, SSA-Death Master File, Abuse Registry, Sex Offender, OFAC+ and FACIS (sanctions, exclusions, debarments and disciplinary actions at the federal and state level). Verisys’ CheckMedic credentialing tool is exclusively endorsed by the American Hospital Association. Verisys is an NCQA and URAC Accredited CVO.

Widener University

Widener University
Delaware Law School
delawarelaw.widener.edu/compliance

Delaware Law offers legal education for compliance professionals with award-winning, graduate-level compliance degrees. Studies are completed entirely online with no residency requirement. For most programs, you can choose from a two-year Master of Jurisprudence degree or a one-year certificate. The Compliance Certification Board-accredited program offers education tracks in Corporate, Global, and Healthcare Compliance. We also offer a corporate MJ/MBA dual degree. GMAT waived, no LSAT needed. Successful completion of the program provides eligibility to sit for a CCB exam. Courses begin Spring, Summer, and Fall. Competitive tuition.

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WinMagic provides intelligent key management for everything encryption, with robust, manageable and easy-to-use data security solutions. WinMagic’s award-winning SecureDoc secures data wherever it is stored, providing enterprise grade data encryption and key management policies across all operating systems. SecureDoc is trusted by thousands of enterprises and government organizations worldwide to minimize business risks, meet privacy and regulatory compliance requirements, while protecting valuable information assets against unauthorized access. For more information see www.winmagic.com.

Wolters Kluwer Legal &
Regulatory Solutions U.S.
www.ComplyTrack.com

Wolters Kluwer, with its CCH, MediRegs and Aspen Publishers product lines, provides industry leading solutions for enterprise Governance, Risk management and Compliance (eGRC), compliance and reimbursement regulatory information and coding. Used daily in thousands of hospitals throughout the United States, ComplyTrack and the MediRegs research platforms make up the most comprehensive, cost-effective and practical set of compliance management and enterprise risk management solutions for today’s health care industry.

YEI Healthcare

Monitoring sanctions/exclusions lists is simple with our affordable and easy-to-use solution, EPStaffCheck. Our web-based solution for maintaining compliance simplifies the screening process and saves time. Sign up for a free 45-day trial to see how EPStaffCheck can help you: Check multiple exclusion lists with a single search, Search a single name or an entire roster, Schedule automatic checks, Intelligent search includes aliases, nicknames, alternate spellings, and Automatically generated audit-ready documentation.
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NOVEMBER 6–12, 2016

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Corporate Compliance & Ethics Week helps companies highlight the importance of compliance and ethics, engage employees, and promote an ethical culture.

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Protect your organization from costly compliance and ethics mistakes.

Prevent wrongdoing on all levels.

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2016
Health Care Compliance Association SCALANDAR
2016 HCCA Events

Regional Compliance Conferences
January 22 • Atlanta, GA
February 5 • Orlando, FL
February 12 • Portland, OR
February 19 • Dallas, TX
February 25–26 • Anchorage, AK
March 4 • St Louis, MO
March 11 • Washington, DC
March 18 • Charlotte, NC
April 28–29 • San Juan, PR
May 6 • Columbus, OH
May 13 • New York, NY
June 3 • Philadelphia, PA
June 10 • Seattle, WA

National Conferences
Managed Care Compliance Conference
January 31–February 3 • Las Vegas, NV
Audit & Compliance Committee Conference
February 29–March 1 • Scottsdale, AZ
20th Annual Compliance Institute
April 17–20 • Las Vegas, NV

Research Compliance Conference
June 5–8 • Baltimore, MD
Clinical Practice Compliance Conference
October 23–25 • Scottsdale, AZ
Healthcare Enforcement Compliance Institute
October 23–26 • Washington, DC

Basic Compliance Academies
January 18–21 • New York, NY
January 25–28 • San Juan, PR
February 8–11 • Scottsdale, AZ
March 7–10 • New Orleans, LA
April 25–28 • Boston, MA
June 13–16 • San Francisco, CA
June 20–23 • Scottsdale, AZ
July 25–28 • Honolulu, Hawaii
August 8–11 • New York, NY
September 12–15 • Chicago, IL
October 3–6 • Las Vegas, NV
October 24–27 • Nashville, TN
November 14–17 • Orlando, FL
December 5–8 • San Diego, CA

Healthcare Privacy Basic Compliance Academies
March 14–17 • Orlando, FL
June 20–23 • Scottsdale, AZ
October 24–27 • Nashville, TN
November 7–10 • San Diego, CA

Research Basic Compliance Academies
March 14–17 • Orlando, FL
November 7–10 • San Diego, CA

Web Conferences
Explore hot topics in healthcare compliance with instant and up-to-date education from the convenience of your office. HCCA announces new conferences regularly, and prior sessions are available for purchase. Visit hcca-info.org/webconferences to learn more.

Start planning now. Visit hcca-info.org/events to learn more.

Conference dates and locations are subject to change.
### January

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**January 27**
- **Basic Compliance Academy**
  - New York, NY
  - HCCA OFFICE CLOSED
  - Martin Luther King Jr Day

**January 28**
- **Basic Compliance Academy**
  - San Juan, Puerto Rico

**January 29**
- **Regional Conference**
  - Atlanta, GA

**January 30**
- **Managed Care Compliance Conference**
  - Las Vegas, NV

### Calendar

- **2013:**
  - **New York Times** reports that Tyco’s Kozlowski and Swartz both sold more than $100M in Tyco stock
  - **Broadcom** announces $2.4 billion restatement of results

- **2014:**
  - **Physician owners of mental health clinic** sentenced for $97M Medicare fraud scheme
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  - **Banks agree to $5M in settlements to resolve federal allegations of mortgage wrongdoing**
  - **Physician owners of mental health clinic** sentenced for $97M Medicare fraud scheme

- **2015:**
  - **Daiichi Sankyo** agrees to pay $39M to settle kickback allegations under the False Claims Act
  - **TracFone** agrees to $40M settlement over misleading customers
  - **JPMorgan** agrees to $1.7B Madoff-related settlement

### Important Dates

- **January 1:**
  - **HCCA OFFICE CLOSED**
- **January 2:**
  - **HCCA OFFICE CLOSED**
- **January 3:**
  - **2013:** Transocean Ltd reaches $1.5B settlement over Gulf oil spill
- **January 4:**
  - **2014:** Bank of America agrees to $14B to settle mortgage-related settlement
- **January 5:**
  - **2014:** Bank of America agrees to $14B to settle mortgage-related settlement
- **January 6:**
  - **2015:** New York Times reports that Tyco’s Kozlowski and Swartz both sold more than $100M in Tyco stock
- **January 7:**
  - **2010:** Former University of Louisville dean pleads guilty to fraud
- **January 8:**
  - **2014:** Bank of America agrees to $14B to settle mortgage-related settlement
- **January 9:**
  - **2015:** Daiichi Sankyo agrees to pay $39M to settle kickback allegations under the False Claims Act
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### Events

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### February

#### 1
**Managed Care Compliance Conference**  
Las Vegas, NV

**Groundhog Day**

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#### 3
**Audit & Compliance Committee Conference**  
Scottsdale, AZ

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#### 5
**Regional Conference**  
Orlando, FL

**Regional Conference**  
Portland, OR

**Regional Conference**  
Dallas, TX

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#### 7
**Basic Compliance Academy**  
Scottsdale, AZ

**Chinese New Year**

**Mardi Gras**

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#### 9
**Morgan Stanley agrees to $1.25B mortgage settlement**

**DOJ Announces $614M False Claims settlement with JPMorgan**

**Two former Siemens executives fined $1.46M in bribery case**

**Petroleum CEO and 5 others resign in wake of bribery scandal**

**55 defendants, including 24 doctors, plead guilty to roles in massive kickback scheme**

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#### 11
**Jeff Skilling arrested by FBI**

**KBR pleads guilty to bribery charges, agrees to $402M fine**

**Hardi physician agrees to pay $26.1M to resolve False Claims and kickback allegations**

**AltriaGenex pays $779M to resolve kickback allegations**

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#### 12
**Royal Bank of Scotland reaches $612M settlement with UK and US governments for interest rate manipulation**

**Medtronics Inc. pays $2.8B to resolve False Claims Act allegations related to “SubQ Stimulation” procedures**

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#### 13
**Valentine’s Day**

**President’s Day**

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#### 14
**Japanese police arrest seven in Olympus scandal**

**Former Fiesta Bowl chief pleads guilty in scheme to funnel political contributions**

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#### 15
**Former Indian telecom minister arrested amidst allegations of involvement in 2G spectrum scandal**

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#### 17
**Format Indian telecom minister arrested amidst allegations of involvement in 2G spectrum scandal**

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#### 18
**Morgan Stanley and SEC agree in principle to $275M settlement of mortgage cases**

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#### 19
**Morgan Stanley reaches $2.6B mortgage settlement**

**35 defendants, including 24 doctors, plead guilty to roles in massive kickback scheme**

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#### 20
**AstraZeneca pays $7.9M to resolve kickback allegations**

**Community Health Systems Professional Services Corp and three affiliated New Mexico hospitals pay $75M to settle False Claims Act allegations**

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#### 21
**Former Fiesta Bowl chief pleads guilty in scheme to funnel political contributions**

**Washington’s Birthday**

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#### 22
**Community Health Systems Professional Services Corp and three affiliated New Mexico hospitals pay $75M to settle False Claims Act allegations**

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#### 23
**Morgan Stanley agrees to $1.25B mortgage settlement**

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#### 24
**Morgan Stanley agrees to $1.25B mortgage settlement**

**DOJ Announces $614M False Claims settlement with JPMorgan**

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#### 25
**Regional Conference**  
Anchorage, AK

**Morgan Stanley reaches $2.6B mortgage settlement**

**DOJ Announces $614M False Claims settlement with JPMorgan**

**DOJ Announces $614M False Claims settlement with JPMorgan**

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#### 27
**Morgan Stanley agrees to $1.25B mortgage settlement**

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#### 29
**Audit & Compliance Committee Conference**  
Scottsdale, AZ

**Leap Day**
**March 2016 Calendar**

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<th>Sunday</th>
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| **Audit & Compliance Committee Conference**  
Scottsdale, AZ | **2004 Former Worldcom CFO Scott Sullivan pleads guilty to several crimes** | 2014 Former Worldcom CFO Scott Sullivan | 2014 Martha Stewart convicted in ImClone scandal | 2010 Former chairman of McKesson sentenced for securities fraud |
| 6      | 7      | 8       | 9         | 10       | 11     | 12       |
| **Basic Compliance Academy**  
New Orleans, LA | 2015 Owner of Detroit Home Health Care Companies pleads guilty to $12.6M fraud scheme | **International Women’s Day** | CHC Exam | Regional Conference  
Washington, DC | 2015 Commerzbank reaches $1.45B settlement over AML, sanctions and other violations |
| 13     | 14     | 15      | 16        | 17       | 18     | 19       |
| **Research Basic Compliance Academy**  
Orlando, FL | **2014 BP settlement stemming from Deepwater Horizon spill ends** | **Healthcare Privacy Basic Compliance Academy**  
Orlando, FL | **2005 Worldcom’s Bernard Ebbers found guilty** | CHRC Exam | Regional Conference  
Charlotte, NC | **2014 Toyota reaches $1.2B sudden acceleration-related criminal settlement** |
| 20     | 21     | 22      | 23        | 24       | 25     | 26       |
| **Equinox**  
Palm Sunday | **2014 Duke University Health System, Inc. agrees to pay $1M for alleged false claims submitted to federal health care programs** | **2014 Credit Suisse reaches $985M settlement over loans sold to Freddie Mac and Fannie Mae** | Purim Begins at Sundown | **2015 Schulumberger unit fined $2.327M,被判有罪并支付42月监禁** | **2015 Owner and executives convicted in Medicare referral kickback conspiracy at Closed Sacred Heart Hospital** |
| 27     | 28     | 29      | 30        | 31       | 1      | 2        |
| **Easter Sunday** | | | | | | **WANT TO GET CERTIFIED?**  
Apply now to take the exam for your CHC, CHRC, or CHPRC at next month’s Compliance Institute. The exam application deadline is April 11. | Good Friday |

**FEBRUARY 2016**

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**APRIL 2016**

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Plan now to take the CHC, CHRC, or CHPC exam at April’s Compliance Institute, and you could soon be joining more than 5,200 professionals who have already earned their CHC, CHRC, or CHPC. Exam applications are due by April 11.

Visit the CI website, compliance-institute.org, to download the exam application.

“Obtaining your CHC certification is essential to demonstrate the requisite knowledge in healthcare compliance. I possess a passion for compliance and obtaining the certification in compliance allows me to have the fundamental knowledge to cultivate a culture of doing what is right.”

— Marcela Alaniz, CHC, CEMC, CPMA, CPC, Compliance Program Manager, Sutter Health—Ethics & Compliance Services
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<td>2013 Morgan Stanley and Goldman Sachs begin making $847M in payments in settlement over foreclosure abuse allegations</td>
<td>2013 Adventa Health pays United States and State of California $414M to resolve False Claims Act allegations</td>
<td>2015 Qatar settles whistleblower suit for $499M</td>
<td>2013 HCA Office Closed</td>
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<td>2015 BP/Petronas formally sentenced to fines and forfeitures totaling $18 billion</td>
<td>2015 Regional Conference Columbus, OH</td>
<td>2015 Cinco de Mayo</td>
<td>2015 Regional Conference New York, NY</td>
<td>2014 Eric Shinseki resigns as secretary of the Department of Veterans Affairs</td>
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<td>2013 Express Scripts unit Accredo agrees to pay $476M over alleged kickback scheme</td>
<td>2013 Medtronic agrees to pay $938M million to settle physician kickback allegations</td>
<td>2014 Former WellCare chief executive sentenced for healthcare fraud</td>
<td>2014 Medicare fraud strikeforce charges 90 individuals for approximately $500M in Medicare fraud</td>
<td>2013 Total agrees to $398M bribery charges settlement</td>
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<td>2013 ISTA Pharmaceutical Inc. pleads guilty to bribery and false claims charges and agrees to pay $33.5M</td>
<td>2014 Medicaid office agrees to pay $180M to resolve Medicaid fraud</td>
<td>2014 Pain clinic owner sentenced to 14 years in prison and ordered to forfeit $6.3M in profits</td>
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2001: Jeff Skilling announces his resignation from Enron
2013: Merrill Lynch agrees to $160M settlement in racial bias case
2014: Goldman Sachs reaches $1.28 billion mortgage settlement
2015: Libor Scandal conspirator sentenced to 14 years
2014: Three former Innospec executives sentenced to jail in the UK for roles in bribery scheme
2014: Former SAP International exec pleads guilty to FCPA conspiracy charge
2014: Bank of America reaches $17 billion mortgage settlement
2014: NGK Spark Plug agrees to plead guilty and pay $52.1 million for price fixing/bid rigging
2014: Consent decree issued for $300 million for failure of Standard Chartered to remediate AML deficiencies
2014: PwC regulatory advisory unit receives two-year NYC suspension
2013: Philip Falcone admits wrongdoing and agrees to $18 million settlement with SEC
2014: Merrill Lynch pleads guilty to fraud and agrees to pay $522 million for price fixing/bid rigging
2013: PwC regulatory advisory unit receives two-year NYC suspension
2014: Consent decree issued for $300 million for failure of Standard Chartered to remediate AML deficiencies
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2014: Bank of America reaches $17 billion mortgage settlement
2015: Libor Scandal conspirator sentenced to 14 years
2
Basic Compliance Academy®
Las Vegas, NV

2014: Former engineer at two global medical technology corporations sentenced to 18 months in prison for theft of trade secrets.

3
Rosh Hashanah Begins at Sundown

2014: Novartis reveals employee pleads guilty in Poland bribery case.

4

5

CHC Exam

6

2014: Former home healthcare agencies owner pleads guilty in $2.2M Medicare fraud conspiracy.

7
Regional Conference
Pittsburgh, PA

2014: Medical Business Service agrees to pay $1.9MM False Claims Act settlement.

8

2014: Former home healthcare agencies owner pleads guilty in $2.2M Medicare fraud conspiracy.

9

10

2014: Extendicare Health Services agrees to pay $3MM to settle allegations over substandard nursing care and unnecessary rehabilitation therapy.

11

Yom Kippur Begins at Sundown

2014: Galleon Group Founder Raj Rajaratnam and five others arrested for alleged insider trading.

12
Regional Conference
Honolulu, HI

2014: Former engineer at two global medical technology corporations sentenced to 18 months in prison for theft of trade secrets.

13

14

2014: Compounding pharmacist admits paying kickbacks for referrals, healthcare fraud.

15

2014: Medical Business Service agrees to pay $1.9MM False Claims Act settlement.

16

2014: Former engineer at two global medical technology corporations sentenced to 18 months in prison for theft of trade secrets.

17

2014: Compounding pharmacist admits paying kickbacks for referrals, healthcare fraud.

18

First Day of Sukkot

2014: Galleon Group Founder Raj Rajaratnam and five others arrested for alleged insider trading.

19

20

2014: Medical Business Service agrees to pay $1.9MM False Claims Act settlement.

21
Regional Conference
Denver, CO

2014: RBS avoids $140MM (Blythe Master Swiss bank cartel)

22

2014: RBS avoids $140MM (Blythe Master Swiss bank cartel)

23
Healthcare Enforcement
Compliance Institute
Washington, DC

2010: Glaxo Smith Kline pleads guilty and agrees to $753MM settlement

24
Basic Compliance Academy®
Nashville, TN

2013: Penn State reached $59.7M settlement with 26 sexual abuse victims

25

26

27
CHC Exam

2014: Former home healthcare agencies owner pleads guilty in $2.2M Medicare fraud conspiracy.

28

29

2014: Former home healthcare agencies owner pleads guilty in $2.2M Medicare fraud conspiracy.

30

2010: Glaxo Smith Kline pleads guilty and agrees to $753MM settlement

31

2013: Penn State reached $59.7M settlement with 26 sexual abuse victims

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2013: Penn State reached $59.7M settlement with 26 sexual abuse victims

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2014: RBS avoids $140MM (Blythe Master Swiss bank cartel)

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2014: RBS avoids $140MM (Blythe Master Swiss bank cartel)
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<td>2001 - Martha Stewart sells 3.628 shares of ImClone stock</td>
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**December 2016**

- **27th**: Basic Compliance Academy
  - San Diego, CA
  - CHC Exam

- **28th**: Regional Conference
  - San Francisco, CA

- **29th**: Regional Conference
  - Houston, TX

- **30th**: Full Moon

**January 2017**

- **1st**: HCCA OFFICE CLOSED
  - Christmas Day

- **2nd**: HCCA OFFICE CLOSED
  - Kwanzaa Begins

- **3rd**: New Year’s Eve

**2001**: Enron files for bankruptcy

**2012**: HSBC announces $1.9B settlement in money laundering case

**2013**: Eli Lilly agrees to pay $594 million to resolve allegations of possible corrupt business practices by overseas partners

**2014**: Supreme Group pleads guilty, agrees to pay $3B in fines and damages for overcharging Pentagon

**2015**: Target data breach first reported

**2016**: UBS subsidiary pleads guilty to fraud in case with $1.5B in fines

**2017**: Bank Leumi reaches $400M settlement with US over tax charges

**2018**: Bernard Madoff is arrested on counts of securities fraud, but is released on $10M bail

**2019**: Eli Lilly agrees to pay $29.4 million to resolve allegations of possible corrupt business practices by overseas partners

**2020**: Bank of America and Freddie Mac agree to $404M settlement over Countrywide mortgage loans

**2021**: EU imposes $2.3B in fines on banks for rate rigging

**2022**: Supreme Group pleads guilty, agrees to pay $389M in fines and damages for overcharging Pentagon

**2023**: Target data breach first reported

**2024**: UBS subsidiary pleads guilty to fraud in case with $1.9B in fines

**2025**: Eli Lilly agrees to pay $594 million to resolve allegations of possible corrupt business practices by overseas partners

**2026**: Bank Leumi reaches $400M settlement with US over tax charges

**2027**: Eli Lilly agrees to pay $29.4 million to resolve allegations of possible corrupt business practices by overseas partners

**2028**: Bank of America and Freddie Mac agree to $404M settlement over Countrywide mortgage loans
Become Certified

Certified in Healthcare Compliance (CHC)®

Certified in Healthcare Privacy Compliance (CHPC®)

Certified in Healthcare Research Compliance (CHRC)®

Certified in Healthcare Compliance-Fellow (CHC-F)®

Showcase your specific healthcare compliance knowledge and experience by earning your certification

A few letters after your name can make a big difference

Why do people add JD, MPA, MD, or RN after their name? They know those initials instantly identify them as experts in their field.

Now’s the time to add CHC, CHRC, CHPC, or CHC-F after your name. Just a few initials will help show your colleagues that what you say isn’t just your opinion, but a product of your expertise as a Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)® or Certified in Healthcare Compliance-Fellow (CHC-F)®.

Applying to become certified is likely easier than you think.

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