

Compliance & Ethics Professional CEU Quiz: August 2014

To receive 1.0 non-live Compliance Certification Board (CCB) CEU for the following quiz, at least three questions must be answered correctly. Only the first attempt at each quiz will be accepted. *Compliance & Ethics Professional* quizzes are valid for 12 months, beginning on the first day of the month of issue. **This quiz expires July 31, 2015.**

LEARNING OBJECTIVES

After reading “**Employee Internet access in the workplace: Unleashing the power of the employee to protect the organization**” (page 33), you should be able to complete the following:

- Discuss the general effectiveness of Acceptable Use policies (AUPs).
 - Recommend ways to have a more secure IT network without compromising employee morale.
1. **Most corporate Acceptable Use policies (AUPs) focus on**
 - A. blocking employee use of shopping, gambling, and pornography sites at work
 - B. dissolving the division between work hours and non-work time
 - C. increasing employees’ productivity by reducing the time spent on personal web use
 - D. reducing employees’ expectations of privacy and controlling the organization’s Internet use
 2. **According to the author, a best practice for minimizing an organization’s cyber risks caused by employee use of questionable Internet sites is to**
 - A. consistently track employee use of web activity through IT applications
 - B. develop a strict corporate policy of no private use of the Internet at work
 - C. implement and enforce Acceptable Use policies
 - D. provide a place for personal web use that is isolated from the corporate network

LEARNING OBJECTIVE

After reading “**Litigation holds: Avoiding spoliation of evidence and obstruction of justice**” (page 65), you should be able to complete the following:

- Take proactive measures to avoid legal sanctions that may arise from spoliation of evidence in civil and criminal proceedings.
3. **To avoid civil or criminal sanctions for spoliation of evidence, a company should**
 - A. begin reviewing and collecting evidence as soon as it receives a subpoena
 - B. collect evidence that is proportional to the severity of the case at hand
 - C. preserve all the unique, relevant information as soon as it is aware that litigation is likely
 - D. preserve every email, electronically stored document, or piece of paper related to the subject of the litigation

LEARNING OBJECTIVE

After reading “**Company liability and employee protections for FCC whistleblowers**” (page 73), you should be able to complete the following:

- Describe the three main elements a whistleblowing employee must show to support a claim of illegal retaliation.
4. **Which of the following facts would most likely help the company defeat a claim of illegal retaliation if the employee in hypothetical scenario in the article was fired at the end of her shift?**
 - A. The calibration test equipment was found to be faulty; all of the units were always within tolerances
 - B. The employee failed to report her suspicions to the FCC’s Inspector General before being fired
 - C. The employee was already on probation for drug use, and failed a random test on the day she was fired
 - D. The units already in production were sold to private consumers and none were sold under government contracts

Compliance & Ethics Professional Continuing Education Form

For correctly answering SCCE's *Compliance & Ethics Professional* magazine quiz, you will receive 1.0 non-live Compliance Certification Board (CCB) CEU.

Read the articles, and the quiz questions on page one. Mark your answers in the "Quiz Answers" section below. Please fax, email or mail the completed form to:

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Home Street Address

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Home State Home Zip

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QUIZ ANSWERS: AUGUST 2014

Please check here if credit for this quiz is needed for credentials outside of those offered by CCB.

Article: "Employee Internet access in the workplace: Unleashing the power of the employee to protect the organization" (page 33)

Please indicate your answers.

1. A B C D

2. A B C D

Article: "Litigation holds: Avoiding spoilation of evidence and obstruction of justice" (page 65)

Please indicate your answer.

3. A B C D

Article: "Company liability and employee protections for FCC whistleblowers" (page 73)

Please indicate your answer.

4. A B C D

ATTENDANCE VERIFICATION

By signing below, I certify that I have read the SCCE *Compliance & Ethics Professional* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature _____ Date _____