

CHC CEU Quiz for Compliance Today, September 2010

To receive one CEU for successfully completing the following quiz, you must answer at least three questions correctly. Only one answer per question is correct. Please indicate your answers on the answer form. **This quiz expires August 31, 2011.** Quizzes received after the expiration date will not be accepted. Only the first attempt per quiz will be accepted.

LEARNING OBJECTIVES

After reading “**Skilled nursing facility deficiencies: Provider rights and practical considerations**” (page 42), you should be able to complete the following:

- Outline a proper response to receiving a Statement of Deficiencies (CMS-2567) from a survey agency.
 - Understand the pros and cons of using the Informal Dispute Resolution process.
1. **Which of the following is a best practice when dealing with a Statement of Deficiencies (CMS-2567)?**
 - A. Assume that the facts and findings recorded by the survey team are correct in regard to the regulatory requirements cited
 - B. Have one member of the management team read the statement thoroughly, then meet with the other managers to discuss what needs to be done immediately
 - C. Prepare for a thorough investigation by making copies of original charts and documents and highlighting the relevant information
 - D. Promise to make all the corrections necessary, even if there are insufficient personnel and budget resources to carry out the plan
 2. **Pursuing an Informal Dispute Resolution (IDR) may**
 - A. be a good idea, because it will delay the imposition of CMS penalties until the matter is resolved
 - B. be a good long-term strategy, if there is an opportunity to challenge and overturn a valid deficiency with a novel and persuasive argument
 - C. give the skilled nursing provider a chance to dispute the manner in which the survey and the IDR process were conducted
 - D. not be wise, if the person who reviews the dispute might notice additional deficiencies in the course of evaluating the information presented

LEARNING OBJECTIVE

After reading “**New Federal Sentencing Guidelines requirements for an effective compliance program**” (page 31), you should be able to complete the following:

- Discuss how the amendments to the Federal Sentencing Guidelines will affect the penalties faced by organizations that are found guilty of wrongdoing.
3. **An organization has the best chance of obtaining leniency from a sentencing judge if it can show that**
 - A. the chief compliance officer reports directly to the Board or a board committee
 - B. it did not know that a senior officer involved in the wrongdoing had a previous criminal conviction
 - C. one person acts as both the general counsel and the chief compliance officer
 - D. the wrongdoing was not discovered until outside regulators were called in

LEARNING OBJECTIVE

After reading “**How much time? Developing a medical records retention policy**” (page 8), you should be able to complete the following:

- Develop a cohesive, reasonable, medical records retention policy for your organization.
4. **Which of the following is True?**
 - A. The False Claims Act allows for prosecution for three years after the violation is discovered, regardless of when it occurred
 - B. HIPAA requires that all medical records be retained for 10 years from the date they were created or were last in effect
 - C. If a patient record index is kept permanently, the medical records that go with it must also be kept indefinitely
 - D. If a patient is treated at age 18, destroying the record of that treatment ten years later would satisfy HIPAA, federal civil actions, and most state record requirements



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COMPLIANCE TODAY: SEPTEMBER 2010

Please check here if credit for this quiz is needed for credentials outside of those offered by HCCA/SCCE

Article: "Skilled nursing facility deficiencies: Provider rights and practical considerations" (page 42)

Please indicate your answers.

1. A B C D

2. A B C D

Article: "New Federal Sentencing Guidelines requirements for an effective compliance program" (page 31)

Please indicate your answer.

3. A B C D

Article: "How much time? Developing a medical records retention policy" (page 8)

Please indicate your answer.

4. A B C D

ATTENDANCE VERIFICATION

By signing below, I certify that I have read the HCCA *Compliance Today* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature _____ Date _____