



Look inside for:

- Educational opportunities
- Books and other resources
- Solution providers
- Online networking
- HCCA membership

And don't miss HCCA's Scalendar

Our wall calendar is packed with dates from major corporate scandals. Use it to remind people about the importance of compliant, ethical behavior.



2017 HEALTHCARE COMPLIANCE Resource Guide

hcca-info.org

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HCCA's Mission

HCCA exists to champion ethical practice and compliance standards in the healthcare community and to provide the necessary resources for compliance professionals and others who share these principles.



It is my pleasure to share with you HCCA's 2017 Resource Guide.

As we all seek to maximize the effectiveness of compliance and ethics programs in a time of limited resources, this guide is designed to provide you with a convenient tool for finding the products, solutions and educational opportunities you need to keep your career and your compliance and ethics program moving forward.

Inside the Guide you'll find:

- **Solution provider listings.** Use them to find the right solutions for your organization.
- **Online resources.** From Twitter to LinkedIn, we have you covered, and inside you'll learn how to take advantage of all of these opportunities.
- **Educational opportunities.** We've mapped out our educational opportunities—where they'll take place and when—so you can determine which one has the most information for your unique needs.
- **Compliance training materials.** HCCA has developed a wide range of books and other tools for helping you efficiently and effectively improve your program.
- **The corporate Scalendar.** Want to know when Bernie Madoff was arrested? When Martha Stewart sold her stock? Our corporate scandal calendar provides humor and the groundwork for a year's worth of reminders to your workforce about the consequences of unethical, non-compliant behavior.

We hope you find the 2017 HCCA Resource Guide to be a valuable resource to your career and program. We look forward to serving you in 2017 and beyond.

Sincerely,

A handwritten signature in black ink that reads "Roy Snell".

Roy Snell, Chief Executive Officer
Health Care Compliance Association

2017 HCCA Events



Learn more at hcca-info.org/events and start planning now

Regional Compliance Conferences

January 20 • Atlanta, GA
February 3 • Orlando, FL
February 10 • Portland, OR
February 17 • Dallas, TX
February 23-24 • Anchorage, AK
March 3 • St. Louis, MO
March 10 • Washington, DC
March 17 • Charlotte, NC
April 21 • New Orleans, LA **NEW**
May 5 • Columbus, OH
May 12 • New York City, NY
May 18-19 • San Juan, PR
June 2 • Philadelphia, PA
June 9 • Seattle, WA
June 16 • Orange County, CA
September 8 • Boston, MA
September 15 • Minneapolis, MN
September 25 • Kansas City, MO
September 29 • Indianapolis, IN
October 6 • Pittsburgh, PA
October 12-13 • Honolulu, HI
October 20 • Denver, CO
October 27 • Chicago, IL **NEW**
November 3 • Louisville, KY
November 10 • Scottsdale, AZ
November 17 • Nashville, TN
December 1 • San Francisco, CA
December 8 • Houston, TX



National Conferences

Managed Care Compliance Conference
January 29-February 1 • Scottsdale, AZ
Board Audit Committee Compliance Conference
February 27-28 • Scottsdale, AZ
21st Annual Compliance Institute
March 26-29 • National Harbor, MD
Research Compliance Conference
June 4-7 • Baltimore, MD
Clinical Practice Compliance Conference
October 15-17 • Phoenix, AZ
Healthcare Enforcement Compliance Institute
October 29-November 1 • Washington, DC

Basic Compliance Academies

January 23-26 • New York, NY
February 6-9 • Scottsdale, AZ
March 6-9 • New Orleans, LA
April 24-27 • Chicago, IL
May 1-4 • San Francisco, CA
June 19-22 • Las Vegas, NV
July 24-27 • Boston, MA
August 7-10 • New York, NY
August 14-17 • Washington, DC **NEW**
September 11-14 • Philadelphia, PA
October 2-5 • Nashville, TN
October 23-26 • Scottsdale, AZ
November 13-16 • Orlando, FL
December 4-7 • San Diego, CA
December 11-14 • Orlando, FL **NEW**

Healthcare Privacy Basic Compliance Academies

March 13-16 • New Orleans, LA
June 19-22 • Las Vegas, NV
August 7-10 • New York, NY **NEW**
October 23-26 • Scottsdale, AZ
November 6-9 • Boston, MA

Research Basic Compliance Academies

March 13-16 • New Orleans, LA
November 6-9 • Boston, MA

Web Conferences

Explore hot topics in healthcare compliance with instant and up-to-date education from the convenience of your office. HCCA announces new conferences regularly, and prior sessions are available for purchase. Visit hcca-info.org/webconferences to learn more.




Conference dates and locations are subject to change.

Tired of
24/7/365
compliance
issues?

Try 10,800/50/12
instead.



10,800
healthcare
compliance
professionals
joined in one
community.



50+ conferences
a year to choose
from, all with special
members-only rates.



12 issues of
*Compliance
Today*, the
exclusive
HCCA member
magazine.

Join the Health Care Compliance Association.

Visit hcca-info.org to learn how you can enjoy
the educational opportunities, networking, and
support of the Health Care Compliance Association.



HCCA Membership Application

CONTACT INFORMATION (PLEASE TYPE OR PRINT)

☐ Mr ☐ Mrs ☐ Ms ☐ Dr

First Name Mi Last Name

Credential(s) Job Title(s)

Name of Employer

Street Address

City State/Province Zip/Postal Code Country

Telephone Email

MEMBERSHIP OPTIONS

☐ **Individual Membership**\$295
\$295 per individual annually.

☐ **Group Employee Membership**\$250
\$250 per employee annually. Must have four or more applicants from the same organization to qualify. Please fill out one form for each applicant.

☐ **Student Membership**\$150
\$150 annually. To qualify you must be a full-time or part-time student and unemployed.

TOTAL \$ _____

Questions?

Call 888-580-8373 or email
service@hcca-info.org

Learn more online at
hcca-info.org/join

PAYMENT OPTIONS

☐ Check enclosed (payable to HCCA)

☐ Invoice me

☐ I authorize HCCA to charge my credit card (*choose card below*)

CREDIT CARD: ☐ American Express ☐ Discover ☐ MasterCard ☐ Visa

Due to PCI Compliance, please **do not provide any credit card information via email**. You may email the application to helpteam@hcca-info.org (without credit card information) and call HCCA at 888-580-8373 with your credit card.

Credit Card Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

RG2017

Apply online at: hcca-info.org/join

Fax application to: 952-988-0146

Mail application to:

Health Care Compliance Association
6500 Barrie Road, Suite 250
Minneapolis, MN 55435



2017 Basic Compliance Academies



**REGISTER
EARLY**

**TO RESERVE
YOUR PLACE**

*Limited to 75 at
each Academy*

January 23–26 • New York, NY

February 6–9 • Scottsdale, AZ

March 6–9 • New Orleans, LA

April 24–27 • Chicago, IL

May 1–4 • San Francisco, CA

June 19–22 • Las Vegas, NV

July 24–27 • Boston, MA

August 7–10 • New York, NY

August 14–17 • Washington, DC

September 11–14 • Philadelphia, PA

October 2–5 • Nashville, TN

October 23–26 • Scottsdale, AZ

November 13–16 • Orlando, FL

December 4–7 • San Diego, CA

December 11–14 • Orlando, FL

www.hcca-info.org/academies

Questions: jennifer.parrucci@corporatecompliance.org

**Want to become Certified in
Healthcare Compliance (CHC)®?
Take the optional CHC exam on
the last day of the Academy.**

CLE APPROVED

Research *Basic Compliance* Academies

With a wide range of research-related issues becoming hot topics with enforcement agencies, HCCA's Research Basic Compliance Academy® provides the opportunity to get information on many areas that affect research compliance officers and their staff on a day-to-day basis.

A small audience encourages hands-on educational techniques, small group interaction, and networking.

**REGISTER
EARLY**
ACADEMIES
FILL FAST

New Orleans, LA

March 13–16

Boston, MA

November 6–9

Questions? Taci.Tolzman@corporatecompliance.org
www.hcca-info.org/academies

Healthcare Privacy *Basic Compliance* Academies

HCCA's Healthcare Privacy Basic Compliance Academy® covers a broad spectrum of laws and regulations that affect healthcare organizations: HIPAA privacy, general compliance, the Federal Privacy Act, and other privacy-related topics relative to healthcare. The faculty has many years of experience in healthcare compliance and is well-versed in healthcare privacy. The Academy is also helpful in preparing for healthcare privacy certification.

**REGISTER
EARLY**
ACADEMIES
FILL FAST

New Orleans, LA

March 13–16

Las Vegas, NV

June 19–22

New York, NY

August 7–10

Scottsdale, AZ

October 23–26

Boston, MA

November 6–9

Questions? Catherine.Stollenwerk@corporatecompliance.org
www.hcca-info.org/academies

HCCA Regional Compliance Conferences

2017

Get high-quality, convenient, inexpensive education and networking opportunities.

Don't miss the chance to attend an HCCA Regional Conference in your area!

hcca-info.org/regionals

questions: beckie.smith@hcca-info.org

January 20 • Atlanta, GA
February 3 • Orlando, FL
February 10 • Portland, OR
February 17 • Dallas, TX
February 23-24 • Anchorage, AK
March 3 • St. Louis, MO
March 10 • Washington DC
March 17 • Charlotte, NC
(NEW) April 21 • New Orleans, LA
May 5 • Columbus, OH
May 12 • New York City, NY
May 18–19 • San Juan, PR
June 2 • Philadelphia, PA
June 9 • Seattle, WA
June 16 • Orange County, CA
September 8 • Boston, MA
September 15 • Minneapolis, MN
September 25 • Kansas City, MO
September 29 • Indianapolis, IN
October 6 • Pittsburgh, PA
October 12–13 • Honolulu, HI
October 20 • Denver, CO
(NEW) October 27 • Chicago, IL
November 3 • Louisville, KY
November 10 • Phoenix, AZ
November 17 • Nashville, TN
December 1 • San Francisco, CA
December 8 • Houston, TX



21st Annual
**Compliance
Institute**

MARCH 26–29, 2017

NATIONAL HARBOR, MD
GAYLORD NATIONAL

JOIN US IN
NATIONAL
HARBOR

LEARN MORE & REGISTER ONLINE
COMPLIANCE-INSTITUTE.ORG

QUESTIONS? JENNIFER.PARRUCCI@CORPORATECOMPLIANCE.ORG

10 TRACKS
151 SESSIONS
272 SPEAKERS

HCCA



HEALTH CARE
COMPLIANCE
ASSOCIATION



Managed Care Compliance Conference

Jan 29–Feb 1, 2017 | Scottsdale, AZ

Plan now to join your peers at the primary networking and educational event for those involved with managing compliance at health plans:

- Compliance professionals from a health plan
(from officers to consultants)
- In-house or external counsel for a health plan
- Internal auditors from a health plan
- Regulatory compliance personnel
- Managed care lawyers



TIME IS
RUNNING OUT
**REGISTER
NOW!**

www.hcca-info.org/managedcare

Questions? taci.tolzman@corporatecompliance.org



Register by
August 30

**SAVE
\$425**

3rd Annual
**Healthcare
Enforcement
Compliance Institute**

October 29–November 1, 2017
Capital Hilton | Washington, DC

- *Go beyond legal analysis*
- *Learn how to implement systems to stay within the law*
- *Gain practical advice in this one-of-a-kind forum for attorneys and compliance officers*



Learn more at **hcca-info.org/heci**

Questions? jennifer.parrucci@corporatecompliance.org

Board *Audit Committee* Compliance Conference



February 27–28, 2017
Scottsdale, AZ | FireSky Resort & Spa

Questions? catherine.stollenwerk@corporatecompliance.org

*Designed for not-for-profit
healthcare organization board
members, compliance officers,
and senior leaders.*

**Buy one
registration
for \$895
and get one
for \$595**

hcca-info.org/audit



TWO CONFERENCES FOR THE PRICE OF ONE

Complimentary access to SCCE's Higher Education Compliance Conference is included with your registration.

Register
by April 25

**SAVE
\$300**

Research Compliance Conference

June 4–7, 2017 | Baltimore, MD

Join us for the primary education and networking event for research compliance professionals. Increase the effectiveness of your compliance program, discuss emerging risks, share best practices, and build valuable relationships.



hcca-info.org/research

QUESTIONS? catherine.stollenwerk@corporatecompliance.org



**Register by
August 22nd
& SAVE \$300**
*(includes free
pre-conference)*



CLINICAL PRACTICE COMPLIANCE CONFERENCE

October 15–17, 2017 | Phoenix, AZ



Learn more at hcca-info.org/clinical

Questions: catherine.stollenwerk@corporatecompliance.org

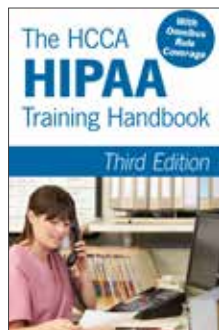
COMPLIANCE TRAINING MATERIALS

The HCCA HIPAA Training Handbook, Third Edition

This reorganized, updated handbook is for anyone who needs a basic understanding of the privacy and security regulations launched by HIPAA, HITECH Act, and Omnibus Rule Implementation. Suitable for staff training courses, it covers:

- Who must comply with the regulations
- When and by whom is the use or disclosure of protected health information (PHI) permitted?
- What rights does an individual have regarding his or her PHI?
- What are the basic safeguards required to protect the security of e-PHI?
- What happens if a breach occurs?
- What are the penalties for noncompliance?

Members \$25 / Non-members \$30



HCCA MEMBER DISCOUNTS

# of books	cost / book
10-24	\$23
25-49	\$20
50-74	\$18
75-99	\$16
100+	\$15

Health Care Auditing & Monitoring Tools

This toolkit provides tool templates, report formats, model policies and procedures, and more, to help establish or enhance your compliance auditing and monitoring efforts. Find just one tool to help your program improve, and you've achieved a positive return on investment.

The more than 1,000 pages of materials cover:

- Building a compliance program
- Risk assessment and plan development
- Policies and procedures
- Tools for specific program audits
- Program effectiveness reviews

The print and CD-ROM format allows you to reference and tailor documents already created, tried, and implemented by your peers in the profession. Bi-annual updates are available.

Members \$395 / Non-members \$445



The Health Care Compliance Professional's Manual with Quarterly Update Subscription

Published by Wolters Kluwer and HCCA

The Health Care Compliance Professional's Manual gives you all the tools you need to plan and execute a customized compliance program that meets federal standards. Available via print or online, the Manual walks you through the entire process, start to finish, showing you how to draft compliance policies, build a strong compliance infrastructure in your organization, document your efforts, apply self-assessment techniques, create an effective education program, pinpoint areas of risk, conduct internal probes, and more.

Hard copy subscribers receive quarterly updates; online subscribers receive updates as soon as they are issued. (Product delivery and subscription fulfillment via Wolters Kluwer.)

Note: Academy participants receive the Manual as part of their registration. This does not include updates.

Members \$429/year / Non-members \$469/year



Compliance 101, Fourth Edition

Debbie Troklus and Sheryl Vacca

Authors Debbie Troklus and Sheryl Vacca have updated *Compliance 101* with new insights and to reflect recent developments in compliance regulations, including HIPAA, HITECH, and the Omnibus Rule. *Compliance 101: Fourth Edition* covers the fundamentals in healthcare compliance, including the seven essential elements of an effective compliance program. Also covered:

- Step-by-step instructions on setting up and maintaining a compliance program
- A chapter dedicated to HIPAA privacy and security
- A glossary with compliance terms and definitions
- Sample compliance forms and policies

This book is ideal for compliance professionals new to the field, compliance committee members, compliance liaisons, board members, and others who need a foundation in compliance principles.

Members \$50 / Non-members \$60



Now available in electronic format for e-readers and tablets

Visit Amazon.com or Kobobooks.com

Research Compliance Professional's Handbook, Second Edition

Clinical research is highly regulated, so the role of compliance professionals is vital to meeting the demands of a wide range of governing entities. HCCA's manual offers up-to-date guidance to get you on the right track. Written by experts with hands-on experience in clinical research compliance, this book is intended for anyone with compliance duties or a need to understand such key areas as:

- Human subject protections
- Biosafety and biosecurity
- Conflicts of interest
- Grant and trial accounting
- Records management
- Role of oversight entities
- Integrating research compliance into corporate compliance
- Scientific misconduct
- Animal research
- Clinical trial billing
- Privacy and security
- Data monitoring committees
- Auditing & monitoring



Members \$149 / Non-members \$169

Health Care Privacy Compliance Handbook, Second Edition

This second edition helps privacy professionals sort through the complex regulatory framework and significant privacy issues facing healthcare organizations. Written by the faculty of HCCA's Basic Privacy Compliance Academy, it offers up-to-date guidance on:

- HIPAA privacy and security
- HITECH and the Omnibus Rule
- FERPA
- The Federal Privacy Act
- 42 CFR, Part 2
- Privacy and research
- Vendor relations
- Payor privacy issues
- Auditing & monitoring



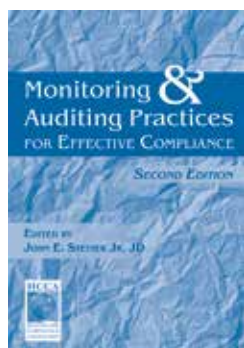
Members \$149 / Non-members \$169

Monitoring & Auditing Practices for Effective Compliance: Second Edition

Edited by John E. Steiner, Jr., JD

This book assists compliance officers and compliance committee members with designing and maintaining an effective corporate compliance program. The second edition of this important resource adds a chapter on improvements in statistical sampling and a chapter on databases for Stark Law and Anti-Kickback statute compliance. Other key elements of compliance programs covered include:

- Developing an effective compliance team
- Developing a voluntary disclosure and refund process
- Preparing for a visit from an Independent Review Organization
- Structuring financial relationships with physicians
- Ensuring attorney-client privilege in the context of healthcare investigations



Members \$50 / Non-members \$60

A Supplement to Your Deficit Reduction Act Compliance Training Program: An Overview of the False Claims Act and Federal Health Care Programs

The Deficit Reduction Act of 2005 (DRA) brought greater educational responsibilities for compliance officers whose organizations receive \$5,000,000 or more in Medicaid. You can educate your employees about the federal False Claims Act and whistleblower protections with this 15-page guide. This training handbook from HCCA is an easy way to train your employees on some of the increasingly complex laws and regulations facing healthcare organizations today.

Non-members \$30.00 (pack of 10)



HCCA MEMBER DISCOUNTS

# of 10-packs	cost / pack
1-9	\$25.00
10-24	\$20.00
25-74	\$15.00
75-249	\$10.00
Over 250	\$7.50

Set a higher bar
for yourself.

Become a CHC-Fellow.



**Do you have 5 or more years of experience
in the healthcare compliance field?
Have you held the CHC, CHPC, or CHRC
certification for at least 3 years?**

You could qualify for the Certified in Healthcare Compliance Fellow (CHC-F)[®] and showcase your compliance expertise and commitment to the profession by building on your CHC, CHPC, or CHRC certification. Set the bar higher and demonstrate you have what it takes to become a leader in this important and ever-changing field.

**To learn more about this certification and
how you can set yourself apart, visit us
online at compliancecertification.org.**



Compliance and Ethics: An Introduction for Health Care Professionals

HCCA's video provides everything you need to conduct compliance and ethics training:

- 23-minute video with seven sections that can be viewed one at a time
- Trainer's Guide with suggested program agenda and discussion outlines
- Reproducible participant materials
- Available in DVD or VHS—DVD includes viewer's menu for easy customization of training sessions

Suitable for new employee orientations and staff refreshers. Topics include:

- Documentation accuracy
- Patient privacy
- Ethics
- Security of ePHI
- Anti-kickback
- Conflict of interest
- Stark law

Members \$350 / Non-members \$395



HIPAA Rules and Compliance

Produced by DuPont Sustainable Solutions

The Health Insurance Portability and Accountability Act (HIPAA) has undergone several modifications since its enactment in 1996, from the Genetic Information Nondiscrimination Act (2010) to the HITECH Act to the HIPAA Omnibus Rule. *HIPAA Rules & Compliance*, a 15-minute DVD, reviews basic, unchanged requirements, qualified standards and the latest critical changes. Its learning objectives:

- Identify the requirements of the HIPAA Privacy rule
- Identify the requirements of the HIPAA Security rule
- Recognize the HIPAA Breach Notification requirements
- Understand how HIPAA is enforced and the penalties for non-compliance

The DVD comes with an electronic leader's guide and a customizable PowerPoint® presentation.

Members \$265 / Non-members \$295



Healthcare Compliance: Code of Conduct

Produced by Coastal Training Technologies Corp.

This comprehensive and easy-to-understand training kit includes an 18-minute video and a customizable PowerPoint® presentation. Compliance professionals can use this video as an integral part of their training programs. It gives a general overview of a compliance program and its importance in today's healthcare environment. Topics include:

- Best practices for compliance
- Code of conduct
- On-going education and training
- Monitoring and auditing
- In-house reporting systems
- Enforcement and discipline
- Response and prevention

Members \$295
Non-members \$350

DVD only



Compliance, Conscience and Conduct

HCCA's award-winning, video-based training program is a cost-effective, easy-to-use training kit—your solution for training your entire organization in the fundamentals of compliance. It includes:

- 17-minute video that reviews seven common case studies
- Session leader guide
- Reproducible participant worksheets and certification forms

Case study topics include:

- Coding
- Vendor relationships
- Patients' charts
- Gifts and gratuities
- Patient information
- Gifts from vendors

The video allows for convenient places to stop and discuss how the scenarios and concepts apply to your own organization.

Members \$295 / Non-members \$365 **DVD**



The HCCA-Amazon.com Compliance Reference Library

HCCA has partnered with Amazon.com to help our members have easy access to a wide range of compliance and ethics reference works. We have scoured the Amazon.com catalog and put together a library of high-quality compliance and ethics titles.

Visit the store at <http://astore.amazon.com/healthcarecomp-20>



Products from the Society of Corporate Compliance and Ethics (SCCE)[®]

SAVE MONEY WHEN YOU BUY ALL THREE OF MERIC BLOCH'S BOOKS BELOW

- ▶ **The First Information Is Almost Always Wrong:** Members \$80 / Non-members \$90
- ▶ **Workplace Investigations:** Members \$90 / Non-members \$100
- ▶ **Investigative Interviewing:** Members \$50 / Non-members \$60
- ▶ **ALL THREE BOOKS AS A SET:** Members \$200 / Non-members \$230

The First Information Is Almost Always Wrong: 150 Things to Know About Workplace Investigations

Effective workplace investigations are equal parts art and science. Author Meric Bloch has mastered both aspects through years of hard-earned experience. In this book, he details the strategies and tactics he knows work best. His approach is mapped out in three sections:

- Protect Your Career—How to Think Like a Workplace Investigator
- Protect Your Company—How to Integrate Your Investigations into Your Company's Operations
- Protect Your Case—How to Conduct an Effective Workplace Investigation

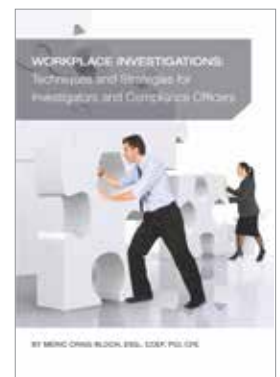


Members \$80 / Non-members \$90

Workplace Investigations: Techniques and Strategies for Investigators and Compliance Officers

Author Meric Bloch is back with more expert guidance! His book offers step-by-step guidance on internal investigations, covering how to:

- Structure the process
- Select an investigations team
- Plan the investigation
- Interview reporters and witnesses
- Interview implicated employees
- Secure relevant documents
- Evaluate evidence
- Reach conclusions
- Write a final report and move forward



Members \$90 / Non-members \$100

Investigative Interviewing: It's Not Just What You Ask, But How You Ask It—A Q&A Guide

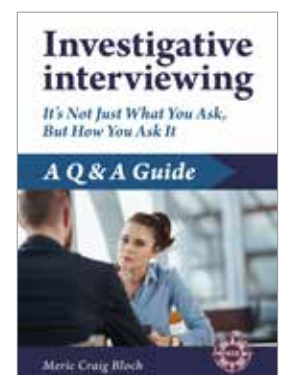
Author Meric Bloch has been training workplace investigators for years—as a faculty member at SCCE's Basic Compliance and Ethics Academies, as a trainer at countless other conferences, and as coach to his own staff.

In this book, Meric has gathered the most useful questions posed to him and provided his expert answers. He covers seven key areas of investigative interviewing:

- Preliminary considerations
- Fine-tuning your approach
- The interview process
- Interviewing the reporter
- Interviewing the subject
- Assessing credibility and detecting deception
- Writing the interview memo

This book offers effective strategies and tactics that can help you become a better workplace investigator.

Members \$50 / Non-members \$60



Products from the Society of Corporate Compliance and Ethics (SCCE)[®]

Corporate Compliance & Ethics: Guidance for Engaging Your Board

This video training kit serves as a call to action for board members. In this 12-minute video, your board of directors will review their responsibilities in identifying compliance risks, engaging in compliance program oversight, ensuring needed resources for program monitoring, and assessing the effectiveness of the whistleblowing detection system. With this valuable training kit, you receive such key support materials as The Federal Sentencing Guidelines, The Sarbanes-Oxley Act, The Powers Report, and best practices for non-profit corporate governance.

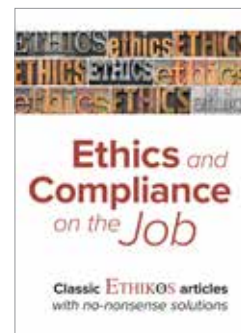


Members \$345 / Non-members \$395

Ethics and Compliance on the Job: Classic *Ethikos* articles with no-nonsense solutions

As the beacon of business ethics publications, *Ethikos* has shined a spotlight on ethics and compliance for more than 25 years. This anthology of articles from *Ethikos* brings together highly relevant and practical ideas, insights, and advice for today's practitioners. Articles for this collection were selected to cover five key subject areas:

- Establishing/Promoting an Ethical and Compliant Culture
- Program Implementation Details
- Communicating Ethics and Compliance
- Ethics & Compliance Education and Training
- Assessing and Measuring Program Effectiveness

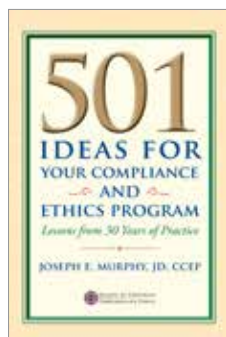


Members \$75 / Non-members \$85

501 Ideas for Your Compliance and Ethics Program: Lessons from 30 Years of Practice

Author Joe Murphy has spent his career collecting great ideas for building an effective compliance and ethics program. He shares 501 of them here—ideas big and small—to help others find new ways to improve their programs. All are practical and ready to use. Topics covered include:

- Identifying compliance & ethics risks
- Benchmarking against industry practices
- Establishing and enforcing a program
- Conducting audits
- Evaluating effectiveness
- And much more!



Members \$50 / Non-members \$60

Building a Career in Compliance and Ethics: Find Your Place in the Business World's Hottest New Field

Authors Joseph E. Murphy and Joshua H. Leet offer step-by-step instructions on how to establish a career in compliance and ethics. You'll discover:

- The wide range of compliance and ethics jobs
- The skills and temperament needed for this field
- Practical ways to prepare for and get ahead in your career
- Steps for conducting an effective job search
- Advice from seasoned compliance and ethics professionals



Members **Softcover** \$50 / Non-members **Softcover** \$60

Members **Hardcover** \$50 / Non-members **Hardcover** \$60

Access recently held training with HCCA Web Conferences on CD

Each Web conference offers our expert speakers' original audio recording synced to the corresponding PowerPoint presentation, all on one CD. No special technology is needed—just a computer with a CD drive, speakers (or headphones) and a standard Web browser. You can earn 1.2 CCB CEUs for each 90-minute conference.

Note: Each part of a multi-part conference may be purchased separately or as a cost-saving bundle.

ONE CONFERENCE:

Members \$99 | Non-members \$119

TWO CONFERENCES:

Members \$180 | Non-members \$220

THREE CONFERENCES:

Members \$270 | Non-members \$330

FOUR CONFERENCES:

Members \$85 / CD | Non-members \$105 / CD

TEN OR MORE CONFERENCES:

Members \$75 / CD | Non-members \$99 / CD

To order these CDs and view
the entire catalog, visit
hcca-info.org/webcds

A SELECTION OF 2016 WEB CONFERENCES:

The Art of Performing Risk Assessments

- Step through compliance mandates & standards for risk assessment
- Examine core components for a comprehensive & thorough risk assessment exercise
- Walk thru a sample risk assessment report to understand key sections such as a Corrective Action Plan (CAP)

Speaker: Uday Ali Pabrai, CEO, ecfirst

Critical HIPAA Privacy and Security Crossover Areas

- Structuring a strategy to comply with the Minimum Necessary Use rule and roles-based access (Privacy & Security)
- Implementing process controls to prevent impermissible uses and disclosures
- Remediation recommendations

Speakers: Peter MacKoul, JD, Senior HIPAA Privacy Consultant; Kenny Hughes, MCSE-Senior HIPAA Security Consultant

Recent Developments in Health Care Fraud and Abuse Investigations by the DOJ

- Discussion of significant policy developments including review of civil *qui tam* cases for criminal action and emphasis on individual liability
- Discussion of significant recent prosecutions/enforcement actions and their implications for health care providers
- Strategies for compliance to prepare for enforcement activities and mitigate enterprise risks

Speakers: Jacob T. Elberg, Assistant U.S. Attorney, Chief, Health Care & Government Fraud Unit, U.S. Attorney's Office, District of New Jersey; Jack Wenik, Member, Epstein, Becker and Green, P.C., Health Care and Life Sciences Practice Group

Provider Credentialing and Privileging: A Strategic Role for the Compliance Officer

- Understand credentialing and privileging, including the Primary Source Verification (PSV) process
- Identify some quality of care issues that may become compliance risks and enforcement examples
- Understand strategies to help improve credentialing processes, transparency, and important controls for compliance programs.

Speakers: Chris Anusbigian, Deloitte Advisory Specialist Leader, Deloitte & Touche; Peter A. Khoury, Deloitte Advisory Consultant, Deloitte & Touche; Janemarie Schultz, Specialist Master, Deloitte Consulting



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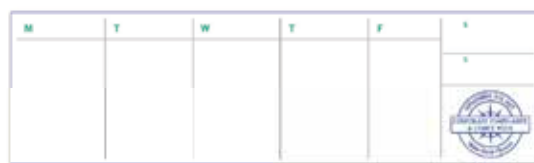
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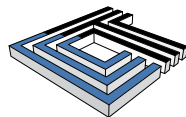
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GeBBS Healthcare Solutions is a leading global provider of revenue cycle management (RCM) and health information management (HIM) solutions, headquartered in Marina del Rey, CA with global delivery centers. GeBBS' iCode Assurance is a comprehensive SaaS solution for medical code auditing. With in-built workflow, management dashboard, scorecards, and robust reporting, it optimizes and accelerates the coding audit process. For hospitals and providers, it improves overall coding quality and compliance while providing the ability to access audited and scored records for education, review and process improvements. Based on OIG audit methodology and AHIMA's best practices standards, it supports accurate and compliant coding.

THE GEORGE WASHINGTON UNIVERSITY

WASHINGTON, DC

Healthcare Corporate Compliance Graduate Certificate Program

The George Washington University

www.cps.gwu.edu/hcc

The George Washington University's Graduate Certificate in Healthcare Corporate Compliance: This CCB-accredited program provides a comprehensive corporate compliance education for current or aspiring corporate compliance officers. The 12 credit program can be completed in seven months with two short in-class residencies in Alexandria, VA and an interactive distance learning segment in-between. Students have unparalleled access to experts in the field, including former regulators and policymakers, as well as legislators, patient advocates and other compliance professionals.



Global Healthcare Exchange (GHX)

www.ghx.com

Global Healthcare Exchange, LLC (GHX) drives costs out of healthcare with cloud-based supply chain management technology and services in order to help enable better patient care and savings by maximizing automation, efficiency, and accuracy of business processes. GHX offers healthcare providers and suppliers an open and neutral electronic trading exchange that delivers procurement and accounts payable automation, contract and inventory management, vendor credentialing and compliance management, business intelligence, and other supply chain-related tools and services.



GNYHA Ventures Inc

www.gnyha.org

GNYHA Ventures, Inc. has partnered with healthcare technology experts Bluemark to bring you TRACKER PROTM, the latest generation of audit response solutions for Medicare, Medicaid and Commercial audits. The solution provides state-of-the-art functionality to manage the time-sensitive and burdensome demands that audit responses require. Increase your productivity with integration of 835/837 data and electronic submission of medical records via esMD. Learn why over 300 hospitals have chosen TRACKER PROTM to protect their revenue stream.

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KILLIAN HEATH & LYMAN

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www.hallrender.com

As the nation's largest health care-focused law firm, Hall Render is distinguished by our attorneys' extensive knowledge and experience. More than 200 health law attorneys in eleven offices across the country provide organizations in the highly regulated health care industry with full service legal representation. Commitment and experience complement the firm's genuine understanding of the evolving landscape of today's health care industry, distinguishing Hall Render as one of the nation's preeminent health care law firms. Learn more at hallrender.com.



HCCS – A HealthStream Company

www.hccs.com

HCCS, a Healthstream® Company, provides effective regulatory and compliance solutions which enhance job performance, improve patient outcomes, and promote compliance with ethical, legal, and accreditation guidelines. HCCS has delivered over 7 million hours of ONLINE COMPLIANCE TRAINING. COI-SMART is an online system that helps organizations electronically manage and track CONFLICT OF INTEREST DISCLOSURES in one organized and searchable online database. HCCS also provides clinical research teams with the highest-quality GOOD CLINICAL PRACTICE (GCP) training from the Association of Clinical Research Professionals (ACRP).



Health Endeavors

www.jointheendeavor.com

Health Endeavors is technology development company based in Scottsdale, Arizona since 2008. Our web-based Patient Health Integrated Tools and Healthcare Admin Tools software solutions are used on a daily basis by over 1.5 million users providing care to over 10 million patients. Healthcare Admin Tools modules include: Entity Manager, Contract Manager, Non-Monetary Compensation Tracker, Conflict of Interest Manager, Medical Director Hours, Incident Event Manager and Training Center. Our clients include: 40+ MSSP ACOs, Self-Insured Employers, Commercial Payor Programs, State Medicaid Programs, 500+ Hospitals, Thousands of Physician Practices, MSOs, Nursing Homes, ASCs, Home Health, and Hospice.



Health Information Associates

www.hiacode.com

For more than 20 years Health Information Associates has been providing coding compliance reviews, educational programs and supplemental coding resources for hospitals, physicians and various other healthcare providers.



Health Care Compliance Recruiting

www.healthcarecompliancerecruiting.com

HCCR is the only recruiting firm that specializes solely in the health care compliance industry and recruits individuals of all levels. HCCRs founder, Beth DeLair, has more than 15 years experience in health care compliance both as an in house Compliance Officer and as a consultant in interim compliance positions. Her intimate knowledge of and extensive experience within the industry allows her to immediately and fully understand client needs and a positions necessary skill sets.



Healthcare Cost Solutions

www.hcsstat.com

Healthcare Cost Solutions (HCS) is an HIM consulting firm providing Compliance Audits, Coding and HIM Services to US hospitals since 1994. Specialties include: MS-DRG/APR-DRG/APC/HCC Audits, Claim Denial Reviews/ Appeals, PACT Validation, LTAC, Pro Fee, CVIR/IR Audits, Charge Detail Audits, Remote Coding, Interim Management/Outsourcing, Online ICD-10 Tutorials and Compliant Query Forms. Featuring HART®: Healthcare Audit Resource Technology, proprietary database solutions for the ever-changing environment of the external audit and increased governmental scrutiny.



Healthcare Resource Group

www.hrgpros.com

Hospitals, clinics and physician practices have been partnering with HRG since 1994 to improve their financial performance. HRG is an employee-owned company specializing in patient financial services, HIM coding and consulting services, and healthcare staffing. Pressure on hospitals to reduce costs while maintaining compliance and quality patient care leads most facilities to consider outsourcing partners to supplement and support their team. HRG has the bench strength to provide that support.



HealthDOX

www.healthdox.com

Since 1999, HealthDox has been helping hospitals all over the country, big and small, conquer the complex tasks of compliance management. Easy to use, yet powerful HealthDox compliance solution has been the industry favorite helping hospitals comply with strict standards and regulations. HealthDox Compliance solution is driven by Standards and Regulations with enhanced reporting capabilities. Check out how HealthDox Standards Driven Compliance can be your influential partner in improving quality, performance and compliance.



Healthicity

www.healthicity.com

Healthicity, founded by AAPC, creates compliance, auditing and analytic solutions that drive operational simplicity and elevate performance for physician groups, ACOs, and hospitals. Healthicity is driven by the idea of reinventing the business of healthcare through simple, intuitive, products.

HIPAA Solutions LC

www.hipaasolutions.org

HIPAA Solutions, LC has specialized in HIPAA compliance since 2003 with a national reputation for cost-effective and reliable resources including: HIPAA ComplyPAK™ compliance management system; cloud-based compliance; privacy and security audits, risk assessments; consulting, training, OCR audit responses; standalone emergency room configuration. Our clients include: healthcare (clinics, hospitals, IPAs, home healthcare, rehab); insurance (risk management); business associates (U.S. and multinational); pharmaceutical; medical devices; health IT; government / public health entities; and law firms.



Holliday & Associates

www.chargeassist.com

Holliday & Associates has been dedicated since 1990 to Charge Management tools, CDM Reviews, and CDM Coordinator education. We provide hospitals with accurate, unbiased, and timely regulatory and coding information. Holliday & Associates national recognition is built on depth of expertise in the hospital environment and specialization in CDM analysis and education. Our web-based Charge Management solution ChargeAssist® was developed through our hands-on operational experience in the Charge Management arena. By using our tools, hospitals nationwide have streamlined their Charge Management process, created pricing transparency, and reduced the costs related to CDM maintenance programs.



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HuronHealthcare

Huron Consulting Group

www.huronconsultinggroup.com

Huron Healthcare's Compliance and Investigations team provides healthcare organizations and their legal counsel with the broadest, most comprehensive, and highest quality regulatory compliance and investigations solutions in the industry. Our Healthcare Compliance & Investigations team delivers focused solutions for the most complex matters of compliance, governance, risk management, investigations, and litigation. Working collaboratively, we help clients identify, design, and implement effective and sustainable compliance programs to mitigate risk and meet evolving regulatory challenges and requirements, now and into the future. When investigations or litigation occur, we develop expert response and defense strategies along with highly focused data collection and analytics as part of our comprehensive investigation and litigation assistance. Our understanding of the regulatory landscape and our extensive experience in healthcare allow us to design and implement proven solutions, enabling our clients to effect change, implement best practices, and achieve excellence.



i-Sight

www.i-sight.com

Ensure a standardized approach to investigating ethics and compliance lapses. High-profile corruption cases in recent years have showcased the importance of investigating corruption allegations immediately and self-reporting to mitigate sanctions. i-Sight's ethics investigations software ensures your company has the right tools to investigate corruption and bribery allegations quickly and thoroughly, before they become full-blown FCPA investigations.



Iatric Systems Inc

www.iatric.com

Iatric Systems is a healthcare technology company dedicated to helping healthcare organizations enhance their IT investments. We do so with our diverse healthcare experience, an extensive partner network, and our proven capabilities in patient privacy, analytics, EHR optimization, and interoperability. For more than 25 years, Iatric Systems has delivered solutions to more than 1,300 healthcare organizations and has integrated more than 800 vendor solutions. For more information, contact info@iatric.com or visit www.iatric.com. Connect with Iatric Systems on Twitter, Facebook, and LinkedIn.



iContracts

www.icontracts.com

iContracts Universal Contract Manager (UCM) is the perfect cloud based solution for managing contracts and compliance issues across your organization. UCM's powerful repository, ease-of-use, and flexibility give's your team immediate visibility into Stark compliance, Physicians' agreements, materials contracts, pricing, and more.



ID Experts

www2.idexpertscorp.com

At ID Experts, we protect millions of consumers with our identity protection software and services and have a 100 percent success record for identity recovery. We are trusted by thousands of organizations to manage cyber and other risks with our data breach response services. We are the largest provider of identity protection products to the federal government. We serve customers in healthcare, government, insurance, financial services, and higher education.



IMA Consulting

www.ima-consulting.com

IMA Consulting is a full service professional services firm that provides Expense Management, Interim Management, and Revenue Management services exclusively to the healthcare industry. We offer outcome focused solutions to healthcare providers. Our business model is very different than the highly leveraged models typically utilized by larger firms in that we staff our engagements with highly experienced consultants. In fact, the average years of experience of our professional staff is in excess of 20 years.



INCompliance

www.incomplianceconsulting.com

INCompliance offers compliance consulting services for healthcare organizations and insurance companies. Our consultants are attorneys with in-depth knowledge and practical experience in your industry. We work with you to develop tailored programs to meet your business goals, and offer practical solutions to your organizations challenges. Our consulting and training services include: Governance; HIPAA Compliance; Corporate Integrity Agreements; General Compliance; Employee Benefits; Employment and Human Resources. INCompliance also offers an on-line program to self-audit meaningful use. Visit our website www.incomplianceconsulting.com, or contact us directly at 614.221.8938.

Interactive Services

www.interactiveservices.com/clc

CLC, by Interactive Services, is a library of over 70 eLearning topics that covers compliance essentials such as anti-bribery, workplace harassment and information security. What makes CLC so special is our ability to customize learning to your unique business, and that can range from teaching your ethics policy through to exploring industry specific examples or simply using your company's own terminology. Each eLearning topic provides a 4 to 6 minute burst of learning that plays on multiple devices including smartphones, tablets and PCs. Best of all CLC can be delivered in over 20 languages and includes support for region specific content. Experience how CLC can improve your compliance learning today!



John Jay College of Criminal Justice – Online

www.online.jjay.cuny.edu

John Jay College Online continues the mission of John Jay College into the virtual setting. Our online courses and programs are as rigorous as our traditional offerings, and our degrees and certificates carry the same prestige. The Online MPA: Inspection and Oversight program now offers a specialization in Health Care Delivery Inspection and Oversight that prepares students for careers in the assessment, monitoring, regulation, auditing and investigation of health care organizations and service providers.

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King & Spalding

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King & Spalding represents healthcare companies involved in the delivery of healthcare and payment for healthcare services across all facets of the legal issues they face. We also have one of the broadest and deepest benches of lawyers, scientists and consultants specializing in the representation of pharmaceutical, biotechnology and medical technology companies. We help our clients alleviate risk, accomplish strategic goals and succeed in litigation. As one of the largest healthcare practices in the country, we have received national acclaim for excellence in transactional, litigation and regulatory representation of clients across the spectrum of healthcare. For more information, please visit www.kslaw.com/healthcare.



Kinney Services, Inc.

www.kchecks.com

Kchecks is one of the premiere web based software solution that allows users to search the Federal OIG, EPLS, SDN and State databases on a monthly basis for individuals and entities that have been excluded from participating in any state or federally funded programs. Kchecks provides a cost-effective solution to manage the risk of this complex "exclusion screening" challenge, saving your organization's valuable time while also reducing the risk of penalties, protecting the integrity of your program/organization and ensuring compliance with regulatory requirements. Protect yourself with Kchecks... Because what you don't know can hurt you.



KirkpatrickPrice

KirkpatrickPrice

www.kirkpatrickprice.com

KirkpatrickPrice is a licensed CPA and PCI QSA firm, specializing in information security and regulatory compliance. KirkpatrickPrice's Online Audit Manager has unique framework mapping capabilities, allowing organizations to meet audit requirements with multiple standards through one, streamlined audit process. Our senior level auditors hold multiple industry certifications to help you maintain compliance with security standards and keep your organization safe and secure. We most commonly provide advice on HIPAA/HITECH, SOC 2, SSAE 16, ISO 27001/27799, NIST, and PCI.



KIWI-TEK

www.kiwi-tek.com

KIWI-TEK offers BPO coding solutions that are adaptable to any workflow, for any healthcare provider, in any location, for any patient type. With KIWI-TEK as your outsource resource; your coding expenses are based on actual real-time needs. We deliver the best coding expertise available to your particular workflow with guaranteed quality and turnaround times. Your access to our coding services is remarkably simple.



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www.captainintegrity.com

KRIEG DEVAULT LLP is a 120+ professional, diversified law firm representing a wide variety of local, regional and national clients. It is a general practice commercial law firm with offices in Indianapolis, Carmel, Noblesville, Merrillville, and Mishawaka, Indiana, Chicago, Illinois, Atlanta, Georgia, Boca Grande and Naples, Florida, and Minneapolis, Minnesota. The firm's attorneys have significant experience in key areas that address the needs of a diversified client base. Through its membership in Meritas, the firm's ability to assist clients reaches around the world. For more information, please visit www.kriegdevault.com.



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Litmos Healthcare provides innovative, online education on compliance, risk management, medical billing, clinical topics (CEU), patient engagement, management training and communication skills. Featuring the benefits of cloud computing, our e-learning courses deliver proven, quantifiable results. With nothing to install or maintain, your company can be enrolled and learning in a matter of days. Join more than 10,000 organizations that have already discovered Litmos Healthcare's e-learning and start enjoying the care you deserve.



LockPath

www.lockpath.com

LockPath is a market leader in corporate governance, risk management, regulatory compliance, and information security software. LockPath's award-winning Keylight® Platform is used by the healthcare industry to automate business processes, reduce enterprise risk, demonstrate compliance and achieve audit-ready status from accreditation requirements to HIPAA compliance. The Platform and Applications flex and scale to existing processes enabling customers with a straightforward approach to import, centralize, and manage large amounts of data without a single line of code. LockPath clients represent organizations ranging from small and midsize companies to Fortune 10 enterprises.



SCHOOL of LAW

Loyola University Chicago School of Law

www.LUC.edu/compliancestudies

Loyola University Chicago School of Law is leading the way in Compliance Studies. Loyola offers several unique programs that focus on the rapidly growing area of compliance: a Masters of Jurisprudence in Health Law or Business Law for professionals or a Master of Laws in Health Law or Business Law for attorneys. We feature more than 30 courses on compliance topics, including corporate compliance, global compliance, health care compliance, and research compliance. Some degree programs allow students to sit for the Compliance Certification Board (CCB)® examinations. Online classes are offered starting in January, May, or September. For more information, visit our website or call 1-800-424-3986.



Maize Analytics Inc.

www.maizeanalytics.com

Maize Analytics is the healthcare industries only EMR access-monitoring tool to proactively monitor and audit for internal threats using patented machine-learning AI technology. Maize uses a combination of rules and clinical workflow analytics to increase internal fraud detection by up to 95% while allowing healthcare providers to stay ahead of both internal and hybrid privacy threats. Maize leverages automation to effectively analyze millions of clinical accesses per minute, we help compliance professionals to focus on truly suspicious accesses by drastically lowering false positives, and ranking suspected privacy threats.



Managed Resources Inc

www.mri-corp.com

Managed Resources, Inc (MRI) is a leading consulting group assisting healthcare providers and payors nationwide to increase revenue by providing essential support services through review, recovery and educational programs. MRI has built a reputation for integrity in providing a broad range of financial and consulting services to the healthcare industry. We assist our clients in ensuring accurate and compliant coding, documentation, charging and payment for services rendered. We minimize loss, increase revenue, and raise cost-consciousness through proven auditing, monitoring, collection and compliance education programs.



McBee Associates

www.mcbeeassociates.com

McBee Associates, Inc. believes that compliance is essential to good business. A recognized leader in providing consulting services to the health care industry, the firm is one of the nation's largest independent health care consulting practices. With unparalleled commitment to compliance, McBee Associates develops lasting solutions that ensure the financial and operational success of its clients. The firm offers Medical Necessity Audits, Billing Compliance Reviews, Internal Control Reviews, Coding Audits, and Clinical Documentation Reviews, as well as a full suite of revenue integrity services. Visit us at www.mcbeeassociates.com or call 1-800-767-6203.



MCN Healthcare

www.mcnhealthcare.com

MCN Healthcare is a leading provider of regulatory compliance solutions built specifically for the healthcare industry. Over the past 29 years, healthcare professionals around the globe have benefited from our practical, cost-effective products and services for policy management, customizable policy templates, regulatory alerts, and online education.



MDaudit

www.hayesmanagement.com/software

MDaudit™ software automates and streamlines the auditing process to improve productivity and reduce compliance risk. MDaudit Hospital allows you to analyze and measure organizational risk, sample data for specific risk profiles, target audits and focus quality initiatives and education around findings. MDaudit Professional provides an easy-to-use workflow so you can audit your providers, determine individual risk score, benchmark providers and generate management reports. MDaudit Analytics provides key metrics focused on current risk areas, customizable provider rankings and comparisons focused on E&M and modifier usage.



MD Ranger Inc

www.mdranger.com

MD Ranger is a leading provider of data-driven physician contract and compensation solutions that help hospitals and health systems determine compensation, negotiate competitive contracts, and comply with federal regulations. The company's proprietary database reduces the complexity and costs of establishing fair market value for on-call arrangements, medical directorships, administrative services, diagnostic testing services, and hospital-based medical groups. The company's annual benchmark reports and analysis are designed to meet the business planning and compliance needs of busy health care executives, and ensure that financial arrangements are competitive and consistent.



Meade Roach & Annulis

www.meaderoach.com

Meade Roach & Annulis is a law firm focused on health care regulatory compliance. We bring exceptional regulatory and health care administration skills to bear on our clients' legal issues. Our core services include Medicare/Medicaid reimbursement issues, clinical research compliance, HIPAA privacy & security, health care contracting, Stark compliance, and regulatory due diligence. In this constantly changing arena, we strive towards improving operational efficiencies, managing and resolving compliance risks, and "teaching" the client how to handle their issues internally.



MedeAnalytics

www.medeanalytics.com

MedeAnalytics provides evidence-based insights to solve a real problem that plagues healthcare—how to use patient data collected along the care continuum to deliver cost-effective care and promote a healthier population. Its analytics platform delivers intelligence that helps organizations detect risk and identify opportunities to improve financial health. It empowers providers and health plans to collaborate and use data to strengthen operations and improve care. Its solutions are used to uncover business insights for nearly 1,500 healthcare organizations across the US and UK. MedeAnalytics is one of Modern Healthcare's Best Places to Work in Healthcare for 2015.



Medelearn

www.medelearn.org

The industry-leading compliance training system exclusively for healthcare organizations. Affordable, effective for any size medical group, clinic, hospital or ACO. Choose from over 1,000 lessons including HIPAA, CMS, and OSHA or author your own curriculum with our easy-to-use course-building interface. Access reports, track training and print certificates from the management center.



MediTract

www.meditract.com

At MediTract, we are committed to helping healthcare providers mitigate compliance risks. As the most trusted adviser to the healthcare community, MediTract serves a quarter of the nation's hospitals with contract life cycle management solutions and consulting services. We are the only contract management and compliance company solely dedicated to healthcare.



The Total Compliance Solution

MedSafe

www.medsafe.com

MedSafe is the nation's leading one-stop resource for outsourced accreditation and healthcare compliance solutions. For over 20 years, we have been providing peace of mind to hospital groups, private practices, and their business associates. Our suite of onsite and online services including OSHA, HIPAA, Corporate Compliance and Billing/Coding Audits equip your practice with the necessary tools and skills to achieve and maintain regulatory and billing compliance. MedSafe takes a hands-on approach and works directly with your team to uncover issues and define suitable solutions.

MetricStream

MetricStream Inc

www.metricstream.com

MetricStream is a market leader in Enterprise-wide Governance, Risk, Compliance (GRC) and Quality Management Solutions for global corporations and has consistently been rated as best-in-class by independent analysts. The MetricStream GRC suite includes solutions for managing policy and procedures, enterprise risk, regulatory compliance, corporate compliance, incident and case management, regulatory reporting and submissions, ethics, supplier governance and IT GRC. For more information please visit www.metricstream.com

Mitchell Hamline School of Law

www.mitchellhamline.edu/health-law-institute

Mitchell Hamline School of Law has redefined flexibility through our completely online, Compliance Certification Board (CCB)[®] accredited Health Care Compliance Certificate Program. Students choose when and where to participate in our innovative online learning environment and there is no residency requirement. Launched in 2008, our award winning program offers the most advanced and relevant curriculum in the country, and can be completed in just 11 months. Students work together as a cohort promoting relationships and creating a professional network. Our Program has a long-standing commitment to maintaining highly competitive tuition rates and doing everything possible to provide added value to students.



MRO

www.mrocorp.com

MRO empowers healthcare organizations with proven, enterprise-wide solutions for the secure, compliant and efficient exchange of Protected Health Information (PHI). These solutions include a suite of PHI disclosure management services comprised of release of information, government and commercial payer audit management and accounting of disclosures. MRO's technology-driven services reduce the risk of improper disclosure of PHI, ensure unmatched accuracy and enhance turnaround times. MRO additionally supports its clients' current and future initiatives, including interoperability, meaningful use and health information exchange.



NAVEX Global

www.navexglobal.com

NAVEX Global's comprehensive suite of ethics and compliance software, content and services helps protect your people, reputation and bottom line. Trusted by 95 of the FORTUNE 100 and more than 12,500 clients, our solutions are informed by the largest ethics and compliance community in the world. For more information, visit www.navexglobal.com



NetDimensions Healthcare

www.netdimensions.com/healthcare

NetDimensions provides healthcare regulatory compliance training, dashboards and compliance analytics for regulatory audits, certifications, surveys and competency/skills management. NetDimensions Talent Suite is a fully integrated talent management system that is redefining learning, performance and compliance in healthcare. Organizations gain a unified view of compliance-related risk across the whole workforce. Secure mobile access provides learners with training and educational resources anytime, anywhere.



Ntracts LLC

www.ntracts.com

Ntracts LLC, a Hall Render Company, offers contract management software and services that help healthcare organizations reduce legal and regulatory risks. The Ntracts software-as-a-service (SaaS) application establishes a single contract repository that actively notifies parties of approaching milestones, automates workflow and speeds contract origination. Ntracts also offers clients a complete portfolio of consulting services that boost efficiency and save clients money. For more information, or to request a demonstration, visit www.ntracts.com. Follow us on twitter @ntracts.



Optum Executive Health Resources

www.optum.com

Optum Executive Health Resources offers a comprehensive suite of expert medical review services that help providers and health plans achieve financial integrity and compliance. Our physician-led teams possess unmatched clinical, regulatory and business expertise. Supported by our industry-leading EHR Logic[™], technology and analytics, our experts have performed 13+ million medical reviews for more than 2,300 providers and 300 health plans. Executive Health Resources helps reduce administrative costs, promote transparency, and facilitate equitable financial interactions for all health care constituents.



Osprey Software

www.ospreycompliancesoftware.com

COI RiskManager[™] (Conflict of Interest Solution) is a powerful and cost-effective web-based solution that efficiently captures, tracks and reports the required disclosures for any sized organization. The system also protects organizations by ensuring compliance with the regulations set forth by the Public Health Service (PHS) / National Institutes of Health (NIH). COI RiskManager[™]: Easy to use and deploy, reduces risk and costs, proven in world-class organizations, and improves organizational effectiveness. Osprey also manages attestations (ex. Code of conduct, certification, security acknowledgements) and other types of forms including surveys.



Pacific University

www.pacificu.edu/hcc

This graduate-level certificate offers students the opportunity to learn and demonstrate a thorough understanding of healthcare compliance topics and application of knowledge through course work during the 12 month program. Students complete 17 credits online then meet on campus for a 1-credit capstone seminar at the end of the program, focusing on application of management practices for the compliance professional. The program curriculum is designed to focus on the content areas found within the Certified in Healthcare Compliance (CHC)[®] candidate handbook to aid students in their exam preparation, and upon completion of the program and Compliance Certification Board (CCB)[®] requirements allows them to sit for the CHC exam, as well as other certifications from CCB and AHIMA. Students may also choose to complete the Master of Healthcare Administration degree as a dual enrollment.



Panacea Healthcare Solutions, Inc.

www.panaceainc.com

Panacea Healthcare Solutions, Inc. has provided coding, compliance, reimbursement and revenue solutions infused with intelligence and delivered through our consulting, software, publications and webcasts to more than 4,000 U.S. providers. Each one is rooted in Panacea's extensive frontline experience working with healthcare providers and organizations.



PFS Clinical - A PharmaSeek Company

www.pfsclinical.com

PFS Clinical provides administrative solutions that focus on the unique and complex challenges inherent to clinical research. Our core services include coverage analysis, contract/budget negotiation, financial management, CTMS implementation, and study identification. Partnering with PFS Clinical allows institutions to decrease study start-up times, overhead costs, and internal training, while truly allowing personnel to refocus their attention to quality patient care. Our unique knowledge and expertise differentiate our business practices and provide unparalleled solutions for academic medical centers, community hospitals, and dedicated research facilities.



Pinnacle Healthcare Consulting

www.askphc.com

Pinnacle Healthcare Consulting provides a range of strategic, financial and operational services for hospitals, health systems, physician groups and other healthcare organizations to improve clinical and business performance. Pinnacle is a nationally recognized leader in business valuation, physician compensation, performance improvement and compliance support. The firm also provides physician practice management, medical billing / coding audits, and technology / integration support services. By building relationships and championing innovation, Pinnacle has created dynamic services, backed by a powerful team to solve the most complex challenges. Call Pinnacle at (303) 801-0123 or visit www.askphc.com.



PMCA/CPA Mutual Insurance Co

www.thepmca.com

CPA Mutual Insurance Company of America provides liability protection coverage to our Association (PMCA). Services protected include accounting and tax, financial planning, retirement plan administration, health insurance billing & coding services and consulting, HIPAA and compliance consulting, practice valuations, partnership agreements, patient surveys, mergers & acquisitions, and many others. Our group is controlled by our members who are healthcare consultants ensuring that the coverage provided, and claims management is the best available at reasonable rates.



PolicyMedical

www.policymedical.com

PolicyMedical has been serving the healthcare sector since 2001. We have helped over 1500 healthcare organizations across North America remain compliant and mitigate risk with our robust policy and document management solutions. Our flagship software, PolicyManager™, is a user-friendly, cloud-based policy management platform that hospitals and healthcare systems use to create, approve, review, house, and archive policies. Our software allows healthcare professionals to focus more time on patient care, and less time on administrative tasks.



Post Acute Advisors

www.postacuteadvisors.com

Post Acute Advisors is a specialty consulting firm, with proven expertise in the post acute levels of care (inpatient rehabilitation, outpatient therapy, skilled nursing, home health, hospice and LTCH). This expertise includes extensive experience in compliance plan development, medical record review, potential risk assessment, extrapolation of risk, appeal assistance and clinical and medical staff education. Our successful participation as extensions of external legal or internal compliance teams has resulted in high satisfaction and effective improvement in compliance in your post acute levels of care.



Precheck

www.precheck.com

PreCheck's background screening, credentialing and compliance services are designed exclusively for healthcare. Over 3,000 healthcare customers trust PreCheck for their employment screening and compliance needs. Our services include healthcare criminal background checks, ongoing OIG/GSA exclusion monitoring and sanction screening, and outsourcing solutions for license management and board action monitoring. PreCheck is an accredited screening firm by the National Association of Professional Background Screeners, which means we operate at the highest level in our industry.



PwC

www.pwc.com/us/healthcare

PwC helps companies build sustainable risk management and compliance & ethics programs by bringing deep expertise, global reach, analytical insights and practical advice. We help our clients in their efforts to become risk resilient -- identifying, prioritizing and solving for issues which could imperil the organization's achievement of its strategic goals. These solutions are tailored to meet the unique needs of companies as they look to improve profitability, operating performance and ultimately shareholder value.

PROTENUS

Protenus

www.protenus.com

Health data security is an increasing concern for hospitals. Protenus protects patient data to ensure trust in electronic medical records. Our platform focuses on detecting, analyzing and reporting suspicious accesses to patient data, ranging from individuals who snoop on VIPs and co-workers to affiliates whose system credentials have been compromised. Protenus filters through the millions of accesses that are made to patient records each day, without disrupting clinical workflows, to prioritize only true threats and allow hospitals to comply with patient privacy requirements.



Protiviti

www.protiviti.com/healthcare

Protiviti is a global consulting firm that helps healthcare organizations manage business risks and regulations while driving greater performance. Our healthcare professionals solve problems in risk, compliance, and technology enabling effective and efficient operations across spectrum of healthcare providers, payers, life sciences and pharmaceutical organizations as well as non-traditional organizations. Learn how we can help you. Contact Vickie Patterson +1 813-348-3407 or vickie.patterson@protiviti.com



ProviderTrust

www.providertrust.com

ProviderTrust prides itself on delivering innovative healthcare compliance solutions that brings transparency between compliance, HR and procurement. Our solutions include exclusion monitoring, sanction screening, license management for employees and vendors and much more. Let us show you how we can partner to become more efficient and compliant.



Quorum Health Resources

www.qhr.com

Quorum Health Resources ranks among the nation's top healthcare consulting firms, with compliance consultants providing specialized support to help healthcare organizations navigate the complex regulatory environment. We partner with your organization to create a strategic and optimized compliance program that prevents, detects, and resolves potential compliance concerns, while promoting a culture of compliance throughout the organization. In addition, our Quorum Learning Institute offers an ongoing series of educational resources, webinars, and classroom courses for compliance officers.



RADAR

www.radarfirst.com

In today's world of increasingly complex and changing privacy regulations, cyber attacks, and data breaches, leading organizations trust RADAR®, a patented SaaS-based incident response management platform that simplifies compliance with federal and state data breach laws. The RADAR Breach Guidance Engine™ provides an intuitive workflow that profiles and scores data privacy and security incidents and generates incident-specific notification guidelines. Fortune 100 companies and organizations from heavily regulated industries rely on RADAR for an efficient and consistent process for incident response. Learn more at radarfirst.com.



Reimbursement Management Consultants

www.rmcinc.org

RMC is a Northwest-based consulting firm providing exceptional services nationwide since 1994. Specializing in Coding Compliance Reviews, we work with Compliance Professionals to ensure their hospitals and physician practices are in compliance with coding, billing and documentation guidelines. Our staff are highly qualified individuals with a myriad of experience, conducting reviews for healthcare institutions, governmental agencies and attorneys. Our success is directly attributed to quality people doing quality work. Your satisfaction is guaranteed.



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REVEAL/md™

www.FiMedLabs.com

REVEAL/md™ predictive analytic software for hospitals instantly identifies audit risk exposure and revenue potential of outpatient providers. It is a web-based solution that provides high level statistical analysis in an easy to use manner. REVEAL/md™ mimics the same statistical methodology used by auditors to compare each provider on more than 50 known risk indicators providing the needed evidence to put together an efficient and effective work plan. Chart reviews, physician education, SaaS for HEDIS, Inpatient Commercial and Governmental audits with workflows including attaching charts are available.



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GUARDIAN**

Rippe & Kingston Systems Inc

www.ContractGuardian.com

Contract Guardian is a leading Contract Management System. Need Ultimate Flexibility and Unlimited Growth? Then you need Contract Guardian. Our comprehensive, Cloud Based Contract Management System is incredibly intuitive and sports a full suite of features and capabilities to manage your contracts. In no time, you will be able to manage any contract, agreement, lease, warranty, or related documents. Or move to our Private Cloud Edition for an on-premise solution that utilizes your own infrastructure. How does Contract Guardian succeed where many products have not? By precisely managing the process through a set of adjustable business rules which makes the process easier, more dependable, and visible to management on those tasks.



RN-CODER Virtual Office

www.rncodervirtualoffice.com

RN-Coder Virtual Office provides expert clinical coding of complex procedure and diagnostics by Certified RN-Coders, who have an average 15 years' clinical experience and are specifically trained and certified in ICD10 by AACCA. Using only US-based Certified RN-Coders with active RN licenses here in the US, RN-Coder Virtual Office provides the highest quality documentation review/improvement and coding services. RN-Coder Virtual Office stores patient information in a secure EHR provided by Practice Fusion.



SAI Global

www.saiglobal.com/Compliance

Compliance and Risk management solutions including the Compliance 360 software, standards and regulatory content, ethics and compliance learning, risk assessments, certification, testing and audits. With solutions designed specifically to address the complex compliance and risk needs of the healthcare industry, healthcare organizations rely on us for the management of regulatory changes, medical claims audits, policies, incident investigations, HIPAA, and third party risk management to name a few. Contact Us for a demonstration.



SDFI-TeleMedicine LLC

www.sdfi.com

SDFI-TeleMedicine offers secure photodocumentation solutions for health plans and hospitals, allowing mobile field workers to photodocument continuity-of-care patient progress. Workers then store patient documents and photos securely on their network or computer, then send them securely to anyone, worldwide. SDFI-TeleMedicine assists health plan workers with providing patient information and progress in rural areas. Hospitals use SDFI-TeleMedicine to photodocument investigations: Patient Safety, Employee Safety, Workers' Compensation and Quality of Patient Care. Photos and documents are then handled securely, as above. Recipients don't need the SDFI System to open documents and photos.

Smart Data Solutions

www.sdata.us

Smart Data Solutions is an industry-leading provider of effective, high-quality document and transaction processing solutions. Smart Data Solutions has been managing healthcare collateral and transactions for more than 15 years. Our services have helped more than 200 health care payers, providers, and networks across the United States reduce costs, decrease turnaround time, improve accuracy, enhance process transparency, and increase process capability and control.



SnapComms

www.snapcomms.com

Get Employee Attention! SnapComms delivers and measures your compliance campaigns. Our visual messaging software places your compliance messages directly on employee screens without the need for email. Target the messages to mobiles, machines, users, shared logins, groups or the entire organization. Build a campaign to raise awareness of compliance topics, drive behavior change and measure compliance rates! Campaigns consist of nurture communications delivered as pop-up alerts, videos, scrolling tickers, quizzes, surveys, invitations or wallpaper and culminate in individual tracked employee commitment. www.snapcomms.com

Softek Solutions Inc

www.softekinc.com

Softek CloneSleuth is a software company located in Prairie Village, Kansas that provides healthcare institutions with state of the art and innovative technology solutions. CloneSleuth was developed to assist healthcare institutions in automating the identification of clinical notes that have been copied and pasted in the EMR. Through the use of CloneSleuth, compliance professionals have effectively increased the quality of patient care, improved clinical documentation and helped to mitigate risk to the institution.



Stanford Health Care

www.stanfordhealthcarecareers.com

Stanford Health Care (SHC) seeks to heal humanity through science and compassion one patient at a time, through its commitment to care, educate, and discover. SHC delivers clinical innovation across its inpatient services, specialty health centers, physician offices, virtual care offerings and health plan programs. SHC is a leading academic health system and part of Stanford Medicine, which includes the Stanford University School of Medicine and Lucile Packard Children's Hospital Stanford. SHC is renowned for breakthroughs in treating cancer, heart disease, brain disorders and surgic Learn more about current openings by visiting our website: www.stanfordhealthcarecareers.com.



Strategic Management

www.compliance.com

Strategic Management is a nationally recognized consulting firm focused on delivering high-quality, innovative and timely compliance and regulatory services to clients in the health care industry. For over twenty years, Strategic Management has been on the forefront of regulatory and enforcement initiatives related to the health care industry, its operations and business practices. Strategic Management specializes in health care compliance management and consulting services with a specific focus on compliance program evaluations, risk assessments, HIPAA compliance, claims data analysis, litigation support, physician arrangements reviews, responding to government auditors and regulatory analysis.



Streamline Verify

www.streamlineverify.com

Streamline Verify is the cutting-edge software that has revolutionized OIG exclusion screening. Powerful, affordable, and incredibly easy to navigate, Streamline Verify performs automated monthly searches of the Federal OIG's LEIE, GSA's SAM, and all State exclusion databases, with results automatically emailed to your inbox. Offering a variety of custom-tailored options including SSA-DMF and OFAC searches, as well as a full-service package that completely removes the burden of OIG screening from your hands, Streamline Verify is your source for 100% guaranteed OIG compliance.



Sunera LLC

www.sunera.com

Sunera LLC is a business and technology risk advisory services firm that is dedicated to helping organizations achieve and sustain strong corporate governance, effective risk management and cost-effective compliance. Our firm provides a wide range of consulting and advisory services to large and medium-sized businesses and government agencies. This includes our data privacy, information security and corporate governance services, which are driven by comprehensive, proven methodologies and executed by professionals with the highest industry certifications. We are project and solution focused, utilizing proven methodologies to deliver services with an unsurpassed focus on quality.



Sunstone Consulting

www.sunstoneconsulting.com

SunStone assists "The business of caring support the mission of caring" by assisting the healthcare industry with reimbursement and regulatory solutions. Our team of highly experienced senior level professionals with over 30 years of health care experience, combine their provider, Medicare Administrative Contractor (MAC), auditing and clinical experience to assist our clients with an integrated and comprehensive approach. We understand healthcare finance and regulatory issues and we work with our clients through a team approach to create practical solutions. Our overall objective is to assist our clients to receive all payments to which they are entitled, in accordance with guidelines and regulations.



TeachPrivacy

www.teachprivacy.com

Founded by the leading privacy law expert Professor Daniel Solove, TeachPrivacy provides customized computer-based training specializing in FERPA and HIPAA. We also have extensive material on phishing, social engineering, portable devices, encryption, passwords, websites and software, privacy awareness, and many more topics. Our training is customizable and flexible, incorporating videos, quizzes and interactive elements to make it highly engaging.



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Thomson Reuters

risk.thomsonreuters.com

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VERIPHYS

Veriphyr, Inc.

www.veriphyr.com

Veriphyr provides patient privacy monitoring and data breach detection solutions. Veriphyr's award winning Structural Analytics automatically determine which user activities are legitimate work and which are true patient privacy violations or data thefts. Veriphyr saves time and money with proactive patient record access reporting, HIPAA access policy auditing, legal investigation support and the detection of compromised credentials, insider threats, internal fraud, co-worker snooping and more. Veriphyr cost effectively monitors all contractors, providers, and clinical and business employees across all clinical and business applications, databases, file servers, and medical devices.



Verisma Systems Inc

www.verisma.com

Verisma is a health information technology provider focused on delivering Release of Information (ROI) solutions to organizations across the country. Our next generation cloud based, certified solutions automate and deliver comprehensive release management and audit capabilities. We also have the highest percentage of credentialed employees in the ROI industry to manage and protect your sensitive data. Our experts have the industry knowledge to manage your release workflow from start to finish better than anybody else.



Verisys

www.verisys.com

Verisys delivers meaningful healthcare specific information ensuring regulatory compliance, minimizing legal and reputation risk and streamlining the credentialing process. Verisys is a "primary source" data provider for nationwide professional licensing, DEA Registrant, NPI, SSA-Death Master File, Abuse Registry, Sex Offender, OFAC+ and FACIS (sanctions, exclusions, debarments and disciplinary actions at the federal and state level). Verisys' CheckMedic credentialing tool is exclusively endorsed by the American Hospital Association. Verisys is an NCQA and URAC Accredited CVO.

Widener University Delaware Law School

Widener University Delaware Law School

delawarelaw.widener.edu/compliance

Delaware Law offers legal education for compliance professionals with award-winning, graduate-level compliance degrees. Studies are completed entirely online. For most programs, students can choose from a two-year Master of Jurisprudence (MJ) degree or a one year certificate or LLM. Our master's degree and LLM in Higher Education Compliance is designed to provide higher education professionals with the skills and knowledge needed to effectively design, implement, and monitor a compliance and ethics program in a university or college. Other degree tracks include Corporate, Global, and Healthcare Compliance, and a corporate MJ/MBA dual degree.



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Wolters Kluwer

Wolters Kluwer

www.ComplyTrack.com

Wolters Kluwer is a leading provider of research products and software solutions for legal and business professionals, as well as casebooks and study aids for regulatory and law students. Our major product lines include Aspen Publishers, CCH, KLI, MediRegs and ComplyTrack and our markets include healthcare organizations, law firms, law schools, corporate counsel and government agencies, associations and professionals requiring legal and compliance information. Used daily by thousands of healthcare organizations throughout the US, the MediRegs and ComplyTrack platforms support the most comprehensive, cost-effective and practical set of compliance, reimbursement and risk management solutions for today's healthcare industry.



Zebu Compliance Solutions

www.zebucompliance.com

Zebu Compliance Solutions improves the claims management process with frontline automated review for coding appropriateness, pricing, and audit flags, resulting in appropriate payments and high levels of reimbursement accuracy based on transparent industry standards. Since 1999, our clients have reduced labor costs, enhanced efficiency, and safeguarded net assets with our simple, actionable results.



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2017

Health Care Compliance Association

Scalerndar



Health Care Compliance Association
6500 Barrie Road, Suite 250
Minneapolis, MN 55435-2358
P 888-580-8373 | F 952-988-0146
hcca-info.org | helpteam@hcca-info.org

January 2017

S	M	T	W	T	F	S
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8	9	10	11	12	13	14
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February 2017

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March 2017

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April 2017

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May 2017

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June 2017

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July 2017

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August 2017

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September 2017

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October 2017

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29	30	31				

November 2017

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19	20	21	22	23	24	25
26	27	28	29	30		


December 2017

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17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

Basic Compliance Academies

January 23-26 • New York, NY
February 6-9 • Scottsdale, AZ
March 6-9 • New Orleans, LA
April 24-27 • Chicago, IL
May 1-4 • San Francisco, CA
June 19-22 • Las Vegas, NV
July 24-27 • Boston, MA
August 7-10 • New York, NY
August 14-17 • Washington, DC 
September 11-14 • Philadelphia, PA
October 2-5 • Nashville, TN
October 23-26 • Scottsdale, AZ
November 13-16 • Orlando, FL
December 4-7 • San Diego, CA

Healthcare Privacy Basic Compliance Academies

March 13-16 • New Orleans, LA
June 19-22 • Las Vegas, NV
August 7-10 • New York, NY 
October 23-26 • Scottsdale, AZ
November 6-9 • Boston, MA

Research Basic Compliance Academies

March 13-16 • New Orleans, LA
November 6-9 • Boston, MA



2017

HCCA Events



Learn more at hcca-info.org/events and start planning now

National Conferences

Managed Care Compliance Conference

January 29-February 1 • Scottsdale, AZ

Board Audit Committee Compliance Conference

February 27-28 • Scottsdale, AZ

21st Annual Compliance Institute

March 26-29 • National Harbor, MD

Research Compliance Conference

June 4-7 • Baltimore, MD

Clinical Practice Compliance Conference

October 15-17 • Phoenix, AZ

Healthcare Enforcement Compliance Institute

October 29-November 1 • Washington, DC

Web Conferences

Explore hot topics in healthcare compliance with instant and up-to-date education from the convenience of your office.

HCCA announces new conferences regularly, and prior sessions are available for purchase.

Visit hcca-info.org/webconferences to learn more.

Regional Compliance Conferences

January 20 • Atlanta, GA

June 16 • Orange County, CA

February 3 • Orlando, FL

September 8 • Boston, MA

February 10 • Portland, OR

September 15 • Minneapolis, MN

February 17 • Dallas, TX

September 25 • Kansas City, MO

February 23 • 24 • Anchorage, AK

September 29 • Indianapolis, IN

March 3 • St. Louis, MO

October 6 • Pittsburgh, PA

March 10 • Washington, DC

October 12-13 • Honolulu, HI

March 17 • Charlotte, NC

October 20 • Denver, CO

April 21 • New Orleans, LA 

October 27 • Chicago, IL 

May 5 • Columbus, OH

November 3 • Louisville, KY

May 12 • New York City, NY

November 10 • Scottsdale, AZ

May 18-19 • San Juan, PR

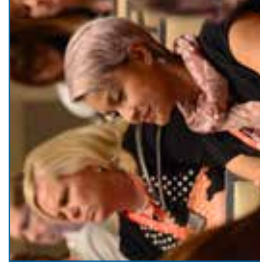
November 17 • Nashville, TN

June 2 • Philadelphia, PA

December 1 • San Francisco, CA

June 9 • Seattle, WA

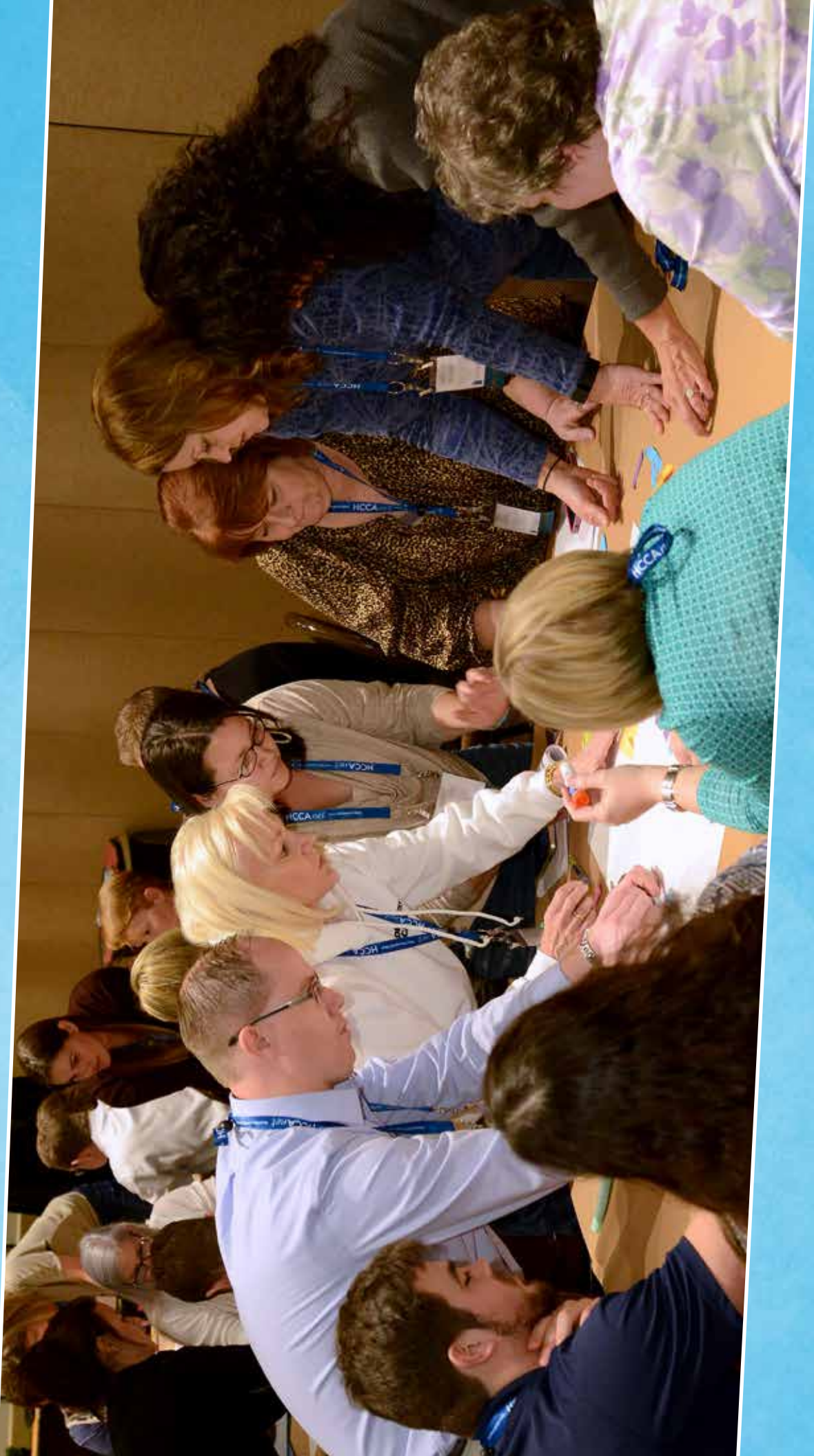
December 8 • Houston, TX





January

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
1 New Year's Day	2 HCCA OFFICE CLOSED	3 2013 Transocean Ltd reaches \$1.4 billion DOJ settlement over Gulf oil spill	4 2001 Quest pays \$13.1M to settle billing allegations	5	6 LAST DAY TO REGISTER AT THE EARLY BIRD RATE FOR HCCA'S 2017 Compliance Institute 2015 \$18M seized from owners of New England Compounding Center Epiphany	7 2009 Satyam CEO resigns and admits inflated financial results 2013 Banks agree to \$18.9B in settlements to resolve federal allegations of mortgage wrongdoing 2014 JPMorgan agrees to \$1.7B Madoff-related settlement
8	9 2015 Daiichi Sankyo agrees to pay \$39M to settle kickback allegations under the False Claims Act	10	11	12 2014 Physician owners of mental health clinic sentenced for \$97M Medicare fraud scheme	13	14
15 2010 Former University of Louisville dean pleads guilty to fraud 2014 Honda fined a record \$70M for failure to report accidents, including fatal ones	16 HCCA OFFICE CLOSED Martin Luther King Jr Day	17	18	19	20 Regional Conference Atlanta, GA 2007 New York Times reports that Tyco's Kodowski and Swartz both sold more than \$100M in Tyco stock	21
22	23 Basic Compliance Academy® New York City, NY	24 2007 Broadcom announces \$2.4 billion restatement of results	25	26 CHC Exam	27	28
29 Managed Care Compliance Conference Scottsdale, AZ	30	31 CHC Exam	1	2	3	4 2015 T-Mobile agrees to \$40M settlement over misleading customers Chinese New Year

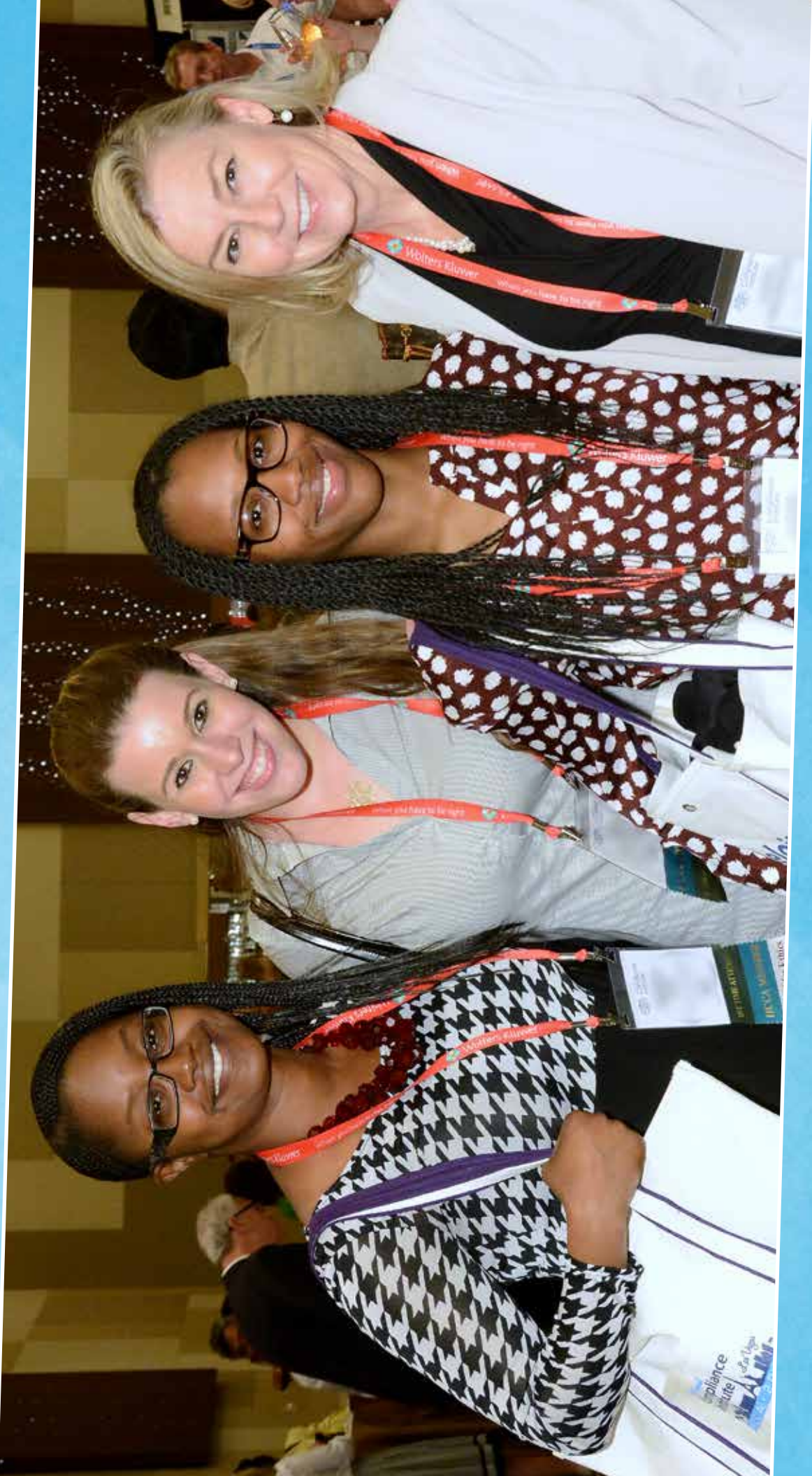


February

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
29	30	31	1	2	3	4
				<p>2004 Janet Jackson experiences "wardrobe malfunction"</p> <p>2015 Community Health Systems Professional Services Corp and three affiliated New Mexico hospitals pay \$75M to settle False Claims Act allegations</p>	<p>Regional Conference Orlando, FL</p> <p>2016 Wells Fargo announces \$1.2B FHA home mortgage settlement</p>	<p>2014 Morgan Stanley agrees to \$1.25B mortgage settlement</p> <p>2014 DOJ Announces \$614M False Claims settlement with JPMorgan</p> <p>2014 Two former Siemens executives fined \$1.46M in bribery case</p> <p>2015 Petrobras CEO and 5 others resign in wake of bribery scandal</p> <p>2015 35 defendants, including 24 doctors, plead guilty to roles in massive test referrals scheme</p> <p>2016 Julius Baer Group reaches \$547M settlement over offshore accounts</p>
5	6	7	8	9	10	11
	<p>Basic Compliance Academy® Scottsdale, AZ</p> <p>2013 Royal Bank of Scotland reaches \$612M settlement with UK and US governments for interest rate manipulation</p> <p>2015 Medtronic Inc. pays \$2.8M to resolve False Claims Act allegations related to "Subo Stimulation" procedures</p>			<p>Groundhog Day</p> <p>CHC Exam</p>	<p>Regional Conference Portland, OR</p>	<p>2004 Jeff Skilling arrested by FBI</p> <p>2009 KBR pleads guilty to bribery charges, agrees to \$402M fine</p> <p>2013 Florida physician agrees to pay \$261M to resolve False Claims and kickback allegations</p> <p>2015 AstraZeneca pays \$79M to resolve kickback allegations</p> <p>2016 Morgan Stanley reaches \$2.2B mortgage meltdown settlement</p>
12	13	14	15	16	17	18
				<p>2009 BAE agrees to \$450M settlement in bribery probe</p> <p>2015 Qualcomm agrees to \$975M China antitrust fine</p>	<p>Regional Conference Dallas, TX</p> <p>2011 Former Indian telecom minister arrested amidst allegations of involvement in 2G spectrum scandal</p> <p>2016 51 Hospitals pay U.S. \$23M+ to resolve False Claims Act allegations related to implantation of cardiac devices</p>	
19	20	21	22	23	24	25
				<p>Regional Conference Anchorage, AK</p>		<p>2015 Morgan Stanley reaches \$2.6B mortgage settlement</p>
26	27	28	1	2	3	4
	<p>Board Audit Committee Compliance Conference Scottsdale, AZ</p> <p>2015 Michigan psychotherapy clinic owner sentenced to 87 months for role in \$3.3M Medicare fraud scheme</p>	<p>2012 Former Fiesta Bowl chief pleads guilty in scheme to funnel political contributions</p>				

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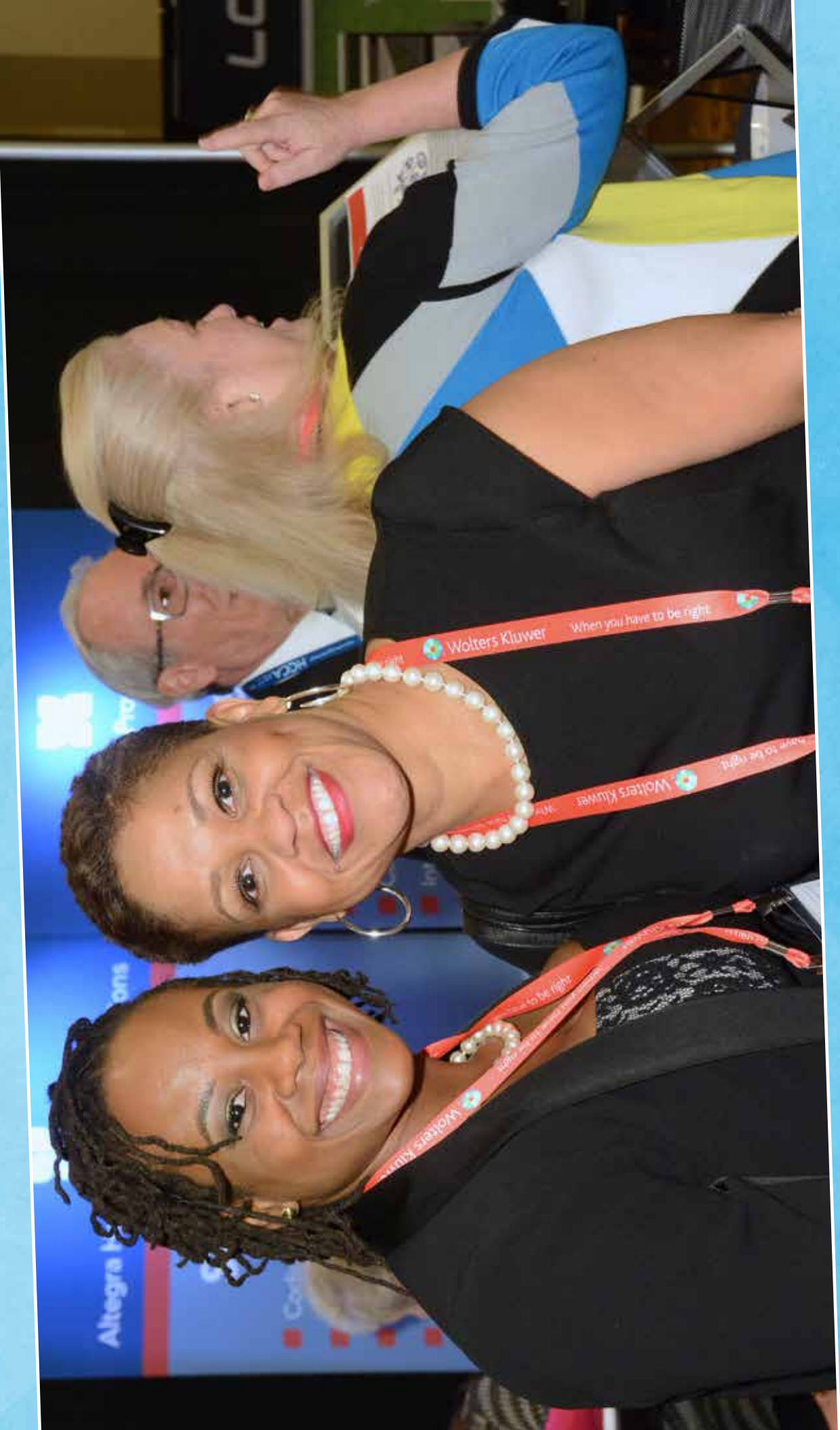
March

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
26	27	28	1	2	3	4
<p>2004 Martha Stewart convicted in ImClone scandal</p> <p>2010 Former chairman of McKesson sentenced for securities fraud</p>	<p>Basic Compliance Academy® New Orleans, LA</p>	<p>2016 Qualcomm settles FCPA case over hiring of relatives of Chinese government officials</p> <p>Ash Wednesday</p> <p>2004 Former Worldcom CFO Scott Sullivan pleads guilty to several crimes</p>	<p>2016 Brazilian businessman sentenced to 19 years in Petrobras scandal</p>	<p>2016 Former Worldcom CFO Scott Sullivan pleads guilty to several crimes</p> <p>CHC Exam</p>	<p>Regional Conference St Louis, MO</p>	
5	6	7	8	9	10	11
<p>2004 Martha Stewart convicted in ImClone scandal</p> <p>2010 Former chairman of McKesson sentenced for securities fraud</p>	<p>Basic Compliance Academy® New Orleans, LA</p>				<p>Regional Conference Washington, DC</p>	
12	13	14	15	16	17	18
<p>2015 Commerzbank reaches \$1.45B settlement over AML sanctions and other violations</p> <p>Daylight Saving Time begins</p>	<p>Healthcare Privacy Basic Compliance Academy® Research Basic Compliance Academy® New Orleans, LA</p> <p>2015 Owner of Detroit Home Health Care Companies pleads guilty to \$12.6M fraud scheme</p>	<p>2014 BP Debarment stemming from Deepwater Horizon spill ends</p>	<p>2005 Worldcom's Bernard Ebbers found guilty</p>	<p>CHPC & CHRC Exams</p>	<p>Regional Conference Charlotte, NC</p>	
19	20	21	22	23	24	25
<p>2014 Toyota reaches \$1.2B sudden acceleration-related criminal settlement</p> <p>2015 Owner and executives convicted in Medicare referral kickback conspiracy at closed Sacred Heart Hospital</p>	<p>WANT TO GET CERTIFIED? 2017 Compliance Institute exam applications are due today</p> <p>Equinox</p>	<p>2014 Duke University Health System, Inc. agrees to pay \$1M for alleged false claims submitted to federal healthcare programs</p> <p>2014 Credit Suisse reaches \$885M settlement over loans sold to Freddie Mac and Fannie Mae</p>			<p>2013 Two affiliates of SAC Capital agree to \$615M settlement over insider trading charges</p>	
26	27	28	29	30	31	1
<p>21st Annual Compliance Institute® National Harbor, MD</p>			<p>CHC CHRC & CHPC Exams</p>			<p>2015 Schulmberger unit fined \$232.7M, pleads guilty to Iran and Sudan sanctions violations</p>



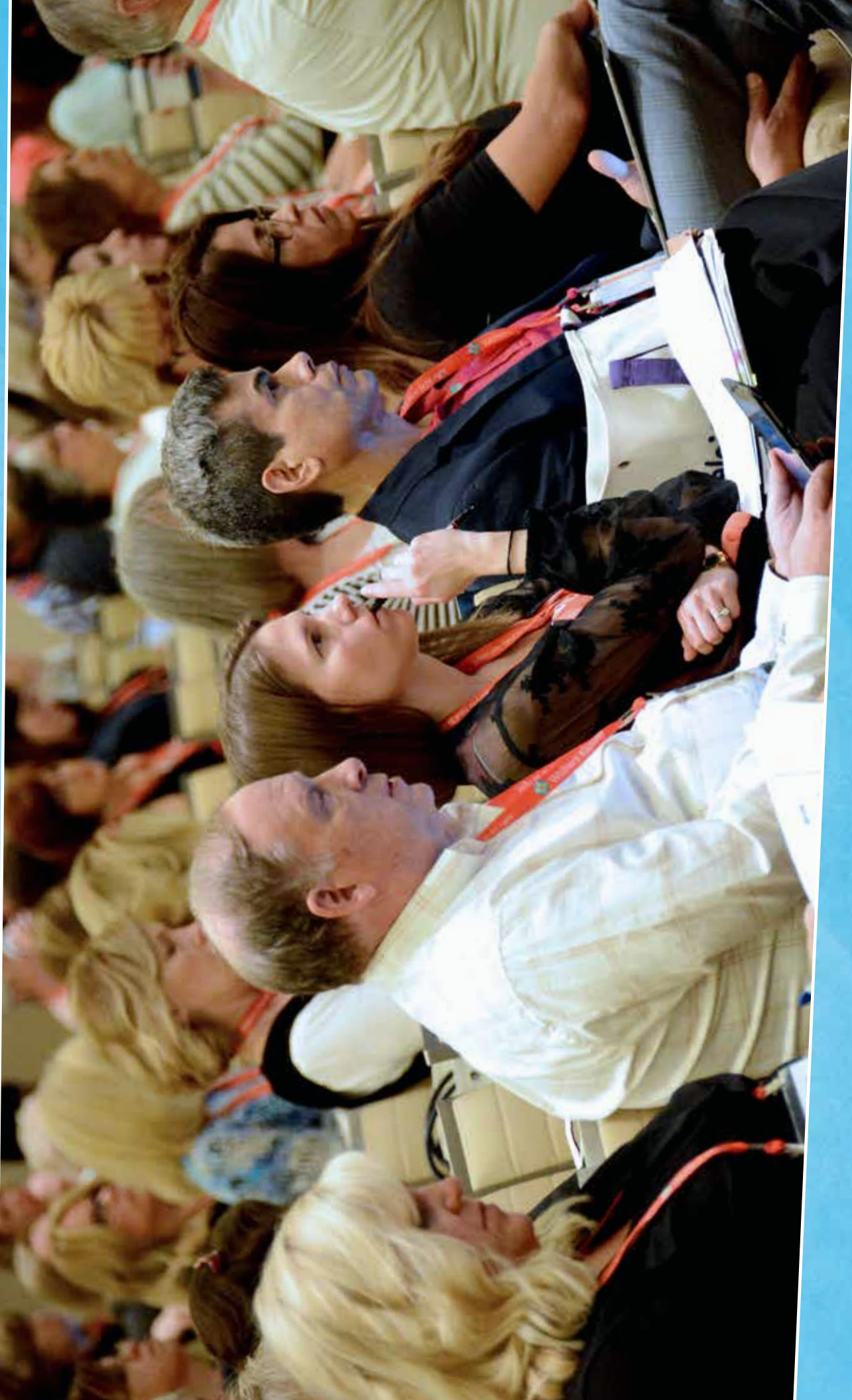
April

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
26	27	28	29	30	31	1
2	3	4	5	6	7	8
	2013 Intermountain Health Care Inc. agrees to \$25.5M to settle false claims Act and Stark allegations			2016 Former Massey CEO sentenced to one year in jail for role in deadly coal mine explosion	2016 Former Finmeccanica CEO sentenced to 4.5 years in jail in corruption case	2011 Johnson & Johnson agrees to \$77 million global FCPA settlement 2014 Bank of America agrees to nearly \$800M in fines and restitution over credit card marketing tactics
9	10	11	12	13	14	15
2014 HP reaches \$108M settlement over foreign bribery allegations. Russia subsidiary pleads guilty	2014 Judge accepts SAC Capital settlement, including guilty plea and \$1.8B penalty	 LAST DAY TO REGISTER AT THE EARLY BIRD RATE FOR HCCA'S 2017 Research Compliance Conference 2016 Former FIFA VP pleads guilty to four counts in bribery scandal				
	<i>Palm Sunday</i>	17	18	19	20	21
2008 WSJ reports that banks may be low-balling Libor scores 2013 Amgen settles allegations of pharmacy kickbacks for \$24.9M 2014 Astellas Pharma US Inc. to pay \$7.3M to resolve false claims act allegations relating to marketing of drug Mycamine	2013 Bank of America reaches \$500M settlement with investors over Countrywide debt 2014 CRC Health Corp., a substance abuse treatment facility, agrees to resolve False Claims Act allegations for \$9.25M				2010 Deepwater Horizon oil rig explodes 2016 Doctor sentenced to two years in prison for taking bribes in test-referrals scheme with New Jersey clinical lab	2016 Warner Chilcott sentenced to pay \$125M for health care fraud scheme
23	24	25	26	27	28	29
	Basic Compliance Academy® Chicago, IL					
2014 Amedisys Home Health Companies agree to pay \$150M to resolve False Claims Act allegations		2012 DOJ publicly declines to prosecute Morgan Stanley in FCPA case, citing effectiveness of company compliance program				
<i>Isra and Mi'raj begins at sundown</i>	1	2	3	4	5	6
30						
2014 New Jersey regional medical center pays hundreds of thousands to resolve kickback allegations 2015 Kolon Industries pleads guilty to trying to steal DuPont Kevlar trade secrets, penalized \$360M						



May

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
30	1 Basic Compliance Academy® San Francisco, CA 2015 \$60M civil fraud settlement with Accredo Health Group over kickback scheme involving prescription drugs 2015 Express Scripts unit Accredo agrees to pay \$60M over alleged kickback scheme 2015 BNP Paribas formally sentenced, fines and forfeitures total over \$9 billion	2 2013 Morgan Stanley and Goldman Sachs begin making \$247M in payments in settlement over foreclosure abuse allegations	3 2013 Adventist Health pays United States and State of California \$14.1M to resolve False Claims Act allegations	4 2015 Five southern CA ambulance companies pay more than \$11.5M to resolve kickback allegations 2015 DaVita settles whistleblower suit for \$495M	5 Regional Conference Columbus, OH <i>Cinco de Mayo</i>	6 2013 C.R. Bard agrees to pay \$48.26M to resolve False Claims Act Claims 2013 Ranbaxy pleads guilty and agrees to pay \$500M to resolve False Claims allegations 2014 Medicare fraud strike force charges 90 individuals for approximately \$260M in false billing
7 2015 16 hospitals pay \$15.69M to resolve False Claims Act allegations involving medically unnecessary psychotherapy services	8	9	10	11 2006 UnitedHealth announces it may need to restate as much as \$286M in earnings 2011 Raj Rajaratnam of Galleon Group found guilty on 14 counts	12 Regional Conference New York City, NY 2014 Avon announces \$135M FCPA settlement	13 2013 C.R. Bard agrees to pay \$48.26M to resolve False Claims Act Claims 2013 Ranbaxy pleads guilty and agrees to pay \$500M to resolve False Claims allegations 2014 Medicare fraud strike force charges 90 individuals for approximately \$260M in false billing
14 <i>Mother's Day</i>	15	16 2014 U.S. government fines GM a record \$35M for failing to disclose ignition switch problem more quickly	17	18 Regional Conference San Juan, PR	19	20 2015 Judge approves \$200M settlement over meningitis outbreak 2015 Medco pays \$79M to resolve kickback allegations 2015 Four banks agree to plead guilty and pay \$58 for rigging currency marketing
21	22	23	24	25	26 <i>Armed Forces Day</i>	27
2014 Pain clinic owner sentenced to 14 years in prison and ordered to forfeit \$6.3M in profits 2014 Pain clinic owner sentenced to 14 years in prison and ordered to forfeit \$6.3M in profits	2013 Health care clinic director sentenced to 111 months for role in \$63 million health care fraud scheme	2014 U.S. government fines GM a record \$35M for failing to disclose ignition switch problem more quickly	2013 ISTA Pharmaceuticals Inc. pleads guilty to federal felony charges and agrees to pay \$33.5M	2006 Kenneth Lay and Jeff Skilling found guilty on a combined 25 counts	2014 Former WellCare chief executive sentenced for healthcare fraud 2014 Credit Suisse pleads guilty, agrees to \$2.6B fine over helping US citizens avoid taxes	<i>Ramadan begins</i>
28 2014 Medtronic agrees to pay \$9.9 million to settle physician kickback allegations 2014 King's Daughters Medical Center to pay nearly \$41M to resolve allegations of false billing for unnecessary cardiac procedures and kickbacks 2015 TEVA Pharmaceuticals agrees to \$1.2 billion antitrust settlement	29 2013 Total agrees to \$398M bribery charges settlement <i>HCCA OFFICE CLOSED</i> <i>Memorial Day</i>	30 2014 Eric Shinseki resigns as secretary of the Department of Veterans Affairs	31	1	2	3



June

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
28	29	30	31	1	2	3
					Regional Conference Philadelphia, PA	
						2002 Dennis Kozlowski resigns from Tyco
4	5	6	7	8	9	10
Research Compliance Conference Baltimore, MD			CHRC Exam		Regional Conference Seattle, WA	
2002 Dennis Kozlowski charged with avoiding \$1 million in sales taxes 2003 Martha Stewart indicted 2014 GSK agrees to \$103M settlement over promotion of drugs <i>PemtecOST</i>	2014 Board-certified New Jersey pediatrician and internist sentenced to 20 months in prison for taking kickbacks	2014 GVM releases 315-page report on ignition switch recalls 2016 Pharmaceutical companies to pay \$50M to resolve false Claims Act allegations relating to Tarceva			2016 Manhattan U.S. attorney announces \$54M settlement against Salix Pharmaceuticals	
11	12	13	14	15	16	17
2013 Walgreens agrees to \$80M settlement for alleged Controlled Substances Act violations	2002 In-Clone's Sam Waksal arrested and charged with insider trading 2014 New Jersey doctor sentenced to 21 months in prison for taking cash kickbacks for patient referrals		2012 Allen Stanford sentenced to 110 years in jail in \$78 fraud case <i>Flag Day</i>			
					Regional Conference Orange County, CA	
				2014 SunTrust \$968M mortgage settlement announced	2010 BP agrees to set up \$20B oil spill fund	2005 Dennis Kozlowski and Mark Swartz convicted 2015 AT&T hit with \$100M fine over 'unlimited' data plans 2015 Civil fraud claims settled against Inspire Pharmaceuticals for misleading marketing
18	19	20	21	22	23	24
2015 National Medicare fraud takedown results in charges against 243 individuals for \$712M in false billing <i>Father's Day</i>	Basic Compliance Academy® Healthcare Privacy Basic Compliance Academy® Las Vegas, NV	2013 United Technologies found liable for \$473M in damages in Air Force engine case	<i>Solstice</i> <i>Laylat al-Qadr</i>	CHC & CHPC Exams		
				2016 National health care fraud takedown results in charges against 301 individuals for \$900M in false billing		
25	26	27	28	29	30	1
2002 Worldcom announces need to restate financial results 2002 Adelphia files Chapter 11	2002 Worldcom shares trade at \$21. Previous high was over \$64 2015 Former OtisMed CEO sentenced for selling unapproved surgical devices <i>Eid al-Fitr</i>	2012 Barclays settle with DOJ for \$453M in Libor scandal	2009 Technip SA agrees to pay \$338M after allegations of bribery in Nigeria 2016 VW reaches \$14.7 billion settlement in diesel scandal 2016 New Jersey clinical lab at center of largest physician bribery case ever prosecuted pleads guilty	2009 Bernard Madoff is sentenced to 150 years in prison, the maximum punishment for his crimes 2016 Medical device company agrees to pay \$8M to resolve claims it paid illegal kickbacks to physicians	2014 BNP Paribas agrees to plead guilty and pay \$8.8B 2016 Florida cardiologist and his practice pay millions and agree to three years of exclusion	





July

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
25	26	27	28	29	30	1
2 2010 DOJ extradites Italian to U.S. for alleged involvement in FCPA violation 2012 Glaxo Smith Kline pleads guilty and pays \$38 to resolve fraud and safety data allegations	3 2011 News of the World publishes last issue and closes down in wake of phone hacking scandal 2015 Detroit-area doctor receives 45 years for providing medically unnecessary chemotherapy to patients	4 HCCA OFFICE CLOSED Independence Day	5	6	7	8 2004 Adelphia's John Rigas convicted 2015 Doctor sentenced to 63 months for accepting \$1.8M in bribes for test referrals
9 2015 Former owner of Las Vegas endoscopy center sentenced to 71 months for fraud	10 2011 News of the World publishes last issue and closes down in wake of phone hacking scandal 2015 Detroit-area doctor receives 45 years for providing medically unnecessary chemotherapy to patients	11	12	13	14	15 2014 New York doctor admits taking bribes in test-referrals scheme with New Jersey clinical lab 2014 Pilot Flying J reaches \$92M settlement over fuel rebate skimming
16	17 2015 Two Louis Berger execs plead guilty to FCPA violations. Company pays \$17.1M	18	19	20	21	22 2009 Control Components, Inc. pleads guilty to an FCPA violation 2014 Former Alba CEO jailed for 16 months in UK for conspiracy to corrupt
23	24 Basic Compliance Academy® Boston, MA 2009 SAC Capital indicted	25 2013 Halliburton agrees to plead guilty to destroying documents in Gulf spill case 2014 Former Bizjet CEO pleads guilty to conspiracy to bribe government officials	26	27	28	29 2005 First death from defective GM ignition switches 2009 Avery Dennison Corp. settles with the SEC for improper payments to Chinese through foreign subsidiary
30 2013 JPMorgan Chase reaches \$410M settlement over energy prices 2013 DOJ announces \$490.9M settlement with Wyeth over off-label marketing of Rapamune 2014 Bank of America ordered to pay \$1.27B over 'Hustler' fraud	31	1	2	3	4	5



August

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
30	31	1	2	3	4	5
6	7	8	9	10	11	12
	Basic Compliance Academy® New York, NY			2015 Libor Scandal conspirator sentenced by UK court to 14 years	2014 Three former Innospec executives sentenced to jail in the UK for roles in bribery scheme	
	Healthcare Privacy Basic Compliance Academy® New York, NY			CHC Exam		
13	14	15	16	17	18	19
	Basic Compliance Academy® Washington, DC NEW					2015 Former SAP International exec pleads guilty to FCPA conspiracy charge
	2001 Jeff Skilling announces his resignation from Enron			2015 Citigroup reaches \$180M settlement over hedge funds	2014 PwC regulatory advisory unit receives two year NYC suspension	2013 Philip Falcone admits wrongdoing and agrees to \$18M settlement with SEC 2014 NGK Sparkplug agrees to plead guilty and pay \$2.1M for price fixing/did rigging 2014 Consent decree issued for \$300M for failure of StandardCharter to remediate AML deficiencies
20	21	22	23	24	25	26
		 LAST DAY TO REGISTER AT THE EARLY BIRD RATE FOR HCCA'S 2017 Clinical Practice Compliance Conference 2014 Goldman Sachs reaches \$1.2B mortgage settlement				
2014 Bank of America reaches \$17 billion mortgage settlement	28	29	30	31	1	2
27			 LAST DAY TO REGISTER AT THE EARLY BIRD RATE FOR HCCA'S 2017 Healthcare Enforcement Compliance Institute	2015 Three plead guilty to bribery-related charges involving Russian nuclear energy		
	2013 Merrill Lynch agrees to \$160M settlement in racial bias case					



September

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
27	28	29	30	31	1	2
						<p>2009 Pfizer announces settlement; terms specify Compliance Officer should not report to General Counsel</p> <p>2014 Court finds BP grossly negligent in Gulf spill</p> <p>2014 Pacific Gas & Electric penalized \$1.4 billion for pipeline blast</p> <p>2014 Halliburton agrees to \$1.1B Gulf Oil spill settlement</p>
3	4	5	6	7	8	9
	<p><i>HCCA OFFICE CLOSED</i></p> <p>Labor Day</p>	<p>2006 HP discloses to SEC it reviewed private phone records of board members</p>		<p>2006 Broadcom announces \$1.5 billion subtraction in earnings due to stock options irregularities</p>	<p>Eid al-Adha begins at sundown</p> <p>Regional Conference Boston, MA</p> <p>2014 NCAA lifts post-season ban of Penn State imposed after Sandusky scandal</p> <p>2014 Home Depot confirms data breach has occurred</p>	
10	11	12	13	14	15	16
<p>2009 Two Hollywood executives convicted for FCPA violations</p>	<p>Basic Compliance Academy® Philadelphia, PA</p> <p>2002 Tyco's Kozlowski and Swartz charged</p>			CHC Exam	<p>Regional Conference Minneapolis, MN</p>	<p>2003 Enron treasurer pleads guilty</p>
17	18	19	20	21	22	23
<p>2015 GM reaches \$900M settlement over ignition switches</p>	<p>2005 Tyco's Kozlowski and Swartz sentenced</p>	<p>2013 \$920M settlement announced in JP/Morgan Chase London Whale case</p> <p>2014 Three executives convicted in peanut plant salmonella case</p> <p>2014 China fines GSK \$489M for bribery. Orders executive deported</p>	<p>Rosh Hashanah begins at sundown</p> <p>Muharram begins at sundown</p>	<p>2002 Five execs at Adelphia indicted</p> <p>2015 Former peanut company executive sentenced to 28 years in contamination case</p>	Equinox	
24	25	26	27	28	29	30
	<p>Regional Conference Kansas City, MO</p> <p>2001 Ken Lay tells employees Enron shares are an "incredible bargain"</p>	<p>2002 Former Worldcom controller pleads guilty</p> <p>2013 Nine auto parts suppliers agree to \$740M settlement over price-fixing charges</p>			<p>Regional Conference Indianapolis, IN</p> <p>Yom Kippur begins at sundown</p>	<p>2015 Former Siemens Argentina CFO pleads guilty to conspiracy to violate FCPA</p>



October

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
1	2 Basic Compliance Academy® Nashville, TN	3	4	5 CHC Exam	6 Regional Conference Pittsburgh, PA	7
8	9	10	11 Sukkot begins at sundown	12 Regional Conference Honolulu, HI	13	14
15 2014 Former home healthcare agencies owner pleads guilty in \$22M Medicare fraud conspiracy	16 2014 Novartis reveals employee pleads guilty in Poland bribery case	17 2014 Extendicare Health Services agrees to pay \$38M to settle allegations over substandard nursing care and unnecessary rehabilitation therapy	18	19	20	21 2014 Medical Business Service agrees to pay \$1.95M False Claims Act settlement. 2014 Compounding pharmacist admits paying kickbacks for referrals, healthcare fraud
22 Clinical Practice Compliance Institute Phoenix, AZ	23 2009 Gallien Group Founder Bai Bajaratnam and five others arrested for alleged insider trading	24 Basic Compliance Academy® Healthcare Privacy Basic Compliance Academy® Scottsdale, AZ	25	26 Diwali	27 Regional Conference Denver, CO 2015 Barclays and Wachovia agreed to \$378M mortgage loans settlement	28 2014 FBS avoids \$140M fine by blowing whistle on Swiss Franc bank cartel
29 2014 DaVita to pay \$350M to resolve allegations of illegal kickbacks	30 2013 Bank of America found liable for Countrywide Fraud	31 2001 Andrew Fastow leaves Enron	1	2 CHC & CHPC Exams 2010 Glaxo Smith Kline pleads guilty and agrees to \$750M settlement 2011 Olympus admits to \$178 accounting fraud	3 Regional Conference Chicago, IL NEW	4 2013 Penn State reached \$59.7M settlement with 26 sexual abuse victims
Healthcare Enforcement Compliance Institute Washington, DC	2015 Allergan unit agrees to \$125M settlement and plead guilty to healthcare fraud	2015 Bank of America reaches \$335M mortgage settlement	Halloween			



November

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
29 Healthcare Enforcement Compliance Institute Washington, DC	30	31	1 All Saints Day	2	3 Regional Conference Louisville, KY	4 2013 Government announces SAC Capital to plead guilty to fraud and agrees to \$1.6B settlement 2013 Johnson & Johnson agrees to \$2.2B settlement over Risperdal marketing 2015 Deutsche Bank agrees to \$258M sanctions settlement
5	6 Healthcare Privacy Basic Compliance Academy® Research Basic Compliance Academy® Boston, MA	7 Halloween	8 Corporate Compliance & Ethics Week November 5-11	9 CHPC & CHRC Exams	10 HCCA OFFICE CLOSED Regional Conference Scottsdale, AZ	11 Veterans Day
12 Daylight Saving Time ends	13 Basic Compliance Academy® Orlando, FL	14	15	16 CHC Exam	17 Regional Conference Nashville, TN	18 2014 Bank of Tokyo Mitsubishi hit with \$315M penalty for sanctions violations report whitewash
19 2014 SBI Offshore pays \$240M to Dutch government, resolves bribery allegation and receives DOJ declination 2014 Five banks agree to \$3.3B FOREX rate manipulation settlement with US and UK regulators	20	21	22 2012 Walmart discloses an expanded internal investigation into bribery accusations in Mexico to Brazil, China, and India 2012 BP agrees to record \$4.5 billion in penalties in criminal settlement of Gulf oil spill	23	24	25
2013 JP Morgan agrees to \$13B settlement with government over mortgages	2015 Novartis reaches \$390M civil fraud settlements over kickback scheme	27	28	29 HCCA OFFICE CLOSED Thanksgiving Day	HCCA OFFICE CLOSED	2
26 2012 UBS AG fined \$476M by UK FSA in rogue trading scandal 2013 Weatherford agrees to \$252M FCPA settlement, three subsidiaries plead guilty. Company also agrees to \$100M export controls settlement	2012 US government temporarily bans BP from new federal contracts over "lack of business integrity" 2001 SEC investigation of Enron begins	29	30 2015 UK Serious Fraud Office announces first ever Deferred Prosecution Agreement Mawlid al-Nabi begins at Sundown	30	1	



December

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
26	27	28	29	30	1 Regional Conference San Francisco, CA	2 2001 Enron files for bankruptcy 2013 Bank of America and FreddieMac agree to \$404M settlement over Countrywide mortgage loans 2015 Judge orders Anadarko to pay \$159.5M over Deepwater Horizon disaster
3	4 Basic Compliance Academy® San Diego, CA	5 2013 EU imposes \$2.3B in fines on banks for rate rigging	6	7 CHC Exam	8 Regional Conference Houston, TX 2014 Supreme Group pleads guilty, agrees to pay \$389M in fines and damages for overcharging Penabgon	9
10	11 Basic Compliance Academy® Orlando, FL 2008 Bernard Madoff is arrested on counts of securities fraud, but is released on \$10M bail 2012 HSBC announces \$1.92B settlement in money laundering case	12 Hanukkah begins at sundown	13	14 CHC Exam	15	16
17	18	19	20	21	22 2015 LifeLock agrees to \$100M contempt settlement over failure to safeguard customer data	23 2015 Former SAP exec sentenced to 22 months in prison for Panama bribery
24	25 2013 Target data breach first reported	26 2012 UBS subsidiary pleads guilty of fraud in case with \$1.5B in fines	27 2012 Eli Lilly agrees to pay \$29.4 million to resolve allegations of possible corrupt business practices by overseas partners	28 SOLSTICE	29 2014 Bank Leumi reaches \$400M settlement with US over tax charges 2014 Alstrom agrees to \$772M FCPA settlement 2014 SFO secures first conviction under UK Bribery Act	30 2013 Wells Fargo agrees to \$541M settlement with Fannie Mae over defective home loans
31	1 Christmas Eve	2 HCCA OFFICE CLOSED Christmas Day	3 2001 Martha Stewart sells 3,928 shares of ImClone stock	4	5	6
	New Year's Eve	New Year's Day				

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