HEALTH CARE COMPLIANCE ASSOCIATION’S

21st Annual Compliance Institute

MARCH 26–29, 2017
NATIONAL HARBOR, MD
GAYLORD NATIONAL

QUESTIONS? JENNIFER.PARRUCCI@CORPORATECOMPLIANCE.ORG

LAST CHANCE TO REGISTER

COMPLIANCE-INSTITUTE.ORG
Dear Compliance Colleague:

You’re not alone. Whether it’s the challenges you have in your compliance program, or feeling a bit isolated at work, you’ll find yourself in very good company at the 2017 Compliance Institute in National Harbor, just outside of Washington, DC.

I hope you’ll join me there from March 26–29, along with more than 3,000 other members of the healthcare compliance community.

As you look through the agenda, you’ll find a rich selection of sessions filled with insights into our common compliance challenges. The program is loaded up with practical advice on handling everything from the essential elements of an effective healthcare compliance program to challenges such as data security, RAC audits, Stark Law, HIPAA, and more.

You’ll also find inspirational thinking and ideas that take you outside the box, and even out of this world: you’ll hear a former astronaut discuss his 100+ days in space, and what he learned about managing risk.

Looking for connections back on this planet? You’ll experience a wide range of networking opportunities to help you connect with others in our field. Bounce ideas off of each other when you’re at the meeting. And don’t forget to do the same when you get back home.

Whether you work full time as a compliance officer, whether you work as an internal auditor or fraud examiner or another related profession, whether you are a consultant or vendor to the compliance community, don’t miss this once-a-year opportunity to join more than 3,000 healthcare compliance professionals all under one roof.

Join us at the 2017 Compliance Institute. And be sure to come by and say hi.

Sincerely,

Roy Snell
CEO, HCCA
To make your session selection easier, we’ve arranged the sessions into learning tracks. Follow one track all the way through, or hop around between them. No matter what you choose, you’ll find our tracks a fast, easy way to help pick the right Compliance Institute sessions for you.

**General Compliance/Hot Topics**
Here’s the track for everything from the basics of Compliance 101 to hot topics like healthcare reform. Learn what you need to know from compliance officers, regulators, outside counsel, in-house counsel, auditors, providers and industry experts.

**Long-Term Care**
Keep abreast of the changing regulations for skilled nursing facilities, including best practices for developing an effective compliance program, and the latest information on auditing and monitoring compliance programs now regulated by the Patient Protection and Affordable Care Act.

**Privacy & IT Compliance**
Understand the privacy, breach, and information security compliance issues that continue to emerge. And learn how to integrate privacy and security issues into the overall compliance program.

**Physician Compliance**
You’ll learn vital information related to small and large physician practices, research billing for physicians, academic medical centers, hospitals, and health systems.

**Compliance Lawyer**
Learn the legal basis for the compliance issues you manage. These sessions are presented by experienced and knowledgeable lawyers, from inside and outside the government. They understand the law and can make it more understandable.

**Auditing & Monitoring**
How do you know your compliance program is working? Auditing and monitoring is key to measuring effectiveness and improvement. Learn the practices that you need to read the vital signs of your compliance program.

**Internal Audit**
Increase your understanding and approach to healthcare internal audit. Designed to increase awareness of audit opportunities in the healthcare compliance arena as well as provide tools and techniques to aide you in your audits, this track is loaded with useful information to jump-start your audit efforts. Experienced professionals present their approach to address key audit areas in the healthcare industry.

**How to Succeed as a Compliance Professional**
The more effective your leadership, the more effective your compliance program. The sessions in this track will help you develop your skills and increase your value to the compliance program and the organization for which you work.

**Quality of Care**
Quality of care is one of the newest compliance challenges. Hear from compliance officers, doctors, nurses, and other healthcare providers as they provide you with the information, tools and processes needed to help you do quality work on quality of care.

**Advanced Discussion Groups**
If you’re an experienced compliance professional, or if you’re looking for a more interactive program, this track is for you. Each session is designed to involve everyone in the room. There are no formal presentations, just discussion facilitated by industry experts.
Help the profession

Become a mentor or find a mentor

Connect with peers

Take advantage of live sessions at the Compliance Institute

SUNDAY, MARCH 26

SpeedNetworking 7:30–8:30 AM
SpeedMentoring 12:00–1:00 PM

Sign up at hcca-info.org/speedmentor

But don’t wait to enroll. Space is limited.

Want to build your network?
Sign up for our SpeedNetworking session. It’s a fun way to connect with peers who share your challenges.

Want to give back to the profession by sharing your expertise?
Sign up to be a mentor. You’ll be connected face-to-face with compliance professionals looking for an experienced practitioner to guide them.

Looking for a mentor?
The SpeedMentoring session is the place to meet one-on-one with potential mentors.

Whichever option you choose, you are in control of the people you will connect with! Both events offer several individual one-on-one meetings and provide an excellent opportunity to learn from one another and initiate long-lasting professional relationships.

* A limit of 1.5 CCB credits per year may be earned by participating in SpeedNetworking or SpeedMentoring sessions.
Become Certified

Certified in Healthcare Compliance (CHC)®

Certified in Healthcare Privacy Compliance (CHPC®)

Certified in Healthcare Research Compliance (CHRC)®

Certified in Healthcare Compliance-Fellow (CHC-F)®

Showcase your healthcare compliance knowledge and experience

A few letters after your name can make a big difference.

Why do people add JD, MBA, or CPA after their name? They know those initials add credibility.

Become Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, or a Certified in Healthcare Compliance-Fellow (CHC-F)®.

Applying to become certified is easy.

To learn what it takes to earn the CHC, CHRC, CHPC, or CHC-F designation, visit compliancecertification.org.
HCCA is in the process of applying for additional credits. If you do not see information on your specific accreditation and would like to make a request, please contact us at 952-988-0141 or 888-580-8373 or email ccb@compliancecertification.org. Visit HCCA’s website, hcca-info.org, for up-to-date information.

ACHE: The Health Care Compliance Association is authorized to award 19 hours of pre-approved ACHE Qualified Education credit (non-ACHE) for this program toward advancement, or recertification in the American College of Healthcare Executives. Participants in this program wishing to have the continuing education hours applied toward ACHE Qualified Education credit should indicate their attendance when submitting and application to the American College of Healthcare Executives for advancement or recertification.

Board of Nursing: The Health Care Compliance Association is pre-approved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 23.1 contact hour(s). The following states will not accept CA Board of Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit CA Board of Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology Nurses who are certified by ONCC may request CA Nursing Credit (check box or indicate “Nursing” on the CEU form).

CLE: The Health Care Compliance Association is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and a Texas Accredited Sponsor. HCCA will apply to selected MCLE states for CLE approval. An approximate maximum of 19.25 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance. Visit www.compliance-institute.org for a complete listing of CLE approvals.

(ISC)²: The (ISC)² does not endorse or “approve” any events or education in advance, however any curriculum that falls within at least one of the 10 domains of knowledge for the CISSP can be used by participants in continuing education activities to satisfy their CPE credit needs. Portions of this event may qualify for CPE credits. All (ISC)² members are responsible for validating CPE credit worthiness no matter what the circumstances. HCCA will provide all supporting documentation required for members to submit to (ISC)² for credit approval. To view the (ISC)² 10 domains of knowledge visit https://www.isc2.org/sscp/default.aspx and view the links within the Certifications tab drop-down menu.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit and may not accept one-half credits. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. Website: nasba.org. A recommended maximum of 23.0 credits based on a 50-minute hour will be granted for the entire learning activity. This program addresses topics that are of a current concern in the compliance environment. This is an update, group-live activity. For more information regarding administrative policies such as complaints or refunds, call 888-580-8373 or 952-988-0141.

PRIM&R: Some portions of this program may meet the requirements for CPIA continuing education. The CPIA Council accepts documentation of continuing education hours when the topics fall within the CPIA Body of Knowledge. If you are unsure about whether a specific session meets these requirements you should consult with PRIM&R. Some portions of this program may meet the requirements for CIP continuing education. CCIP accepts documentation of continuing education hours when the topics fall within the CIP Body of Knowledge and the education is intended to be beyond initial, basic or fundamental level education. If you are unsure about whether a specific session meets these requirements you should consult with PRIM&R.

RACC: Attendees seeking CRA credits through the Research Administrators Certification Council (RACC) may request a certificate of attendance from HCCA by completing an Application for Continuing Education and indicating RACC/CRA on the form. A certificate of attendance along with a complete brochure should be submitted to RACC at the end of each individual’s RACC renewal period. The Research Administrators Certification Council (RACC) promotes the concept of voluntary certification by examination for all research and sponsored programs administrators. Certification in research and sponsored programs administration is highly valued and provides formal recognition of basic knowledge in the field.

SoCRA: The Society of Clinical Research Associates (SoCRA - SoCRA.org) accepts documentation of candidate participation in continuing education programs for recertification if the program is applicable to clinical research regulations, operations or management, or to the candidate’s clinical research therapeutic area. This program offers 19 hours of CE credit. SoCRA’s requirements for recertification Continuing Education credit are quite general, as they pertain to clinical research regulations, operations and management, and to the therapeutic area of the clinical research in which the candidate participates. We therefore leave it to the candidate to determine whether a course or program would be acceptable for SoCRA’s CE requirement. SoCRA does not “validate” individual training courses/workshops.
Compliance Certification Board (CCB)®: CCB has awarded a maximum of 23.1 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance–Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional–Fellow (CCEP-F)®, Certified Compliance & Ethics Professional–International (CCEP-I)®.

Sunday Pre-Conference: 6.6 CCB (3.3 AM/3.3 PM)
Monday: 6.6 CCB
Tuesday: 5.7 CCB
Wednesday: 4.2 CCB
Total possible CCB award for standard attendance in non-“Speed” sessions: 23.1 CCB
Possible additional CCB credits from SpeedNetworking or SpeedMentoring sessions: 1.5 CCB
A limit of 1.5 CCB credits per year may be earned by participating in SpeedNetworking or SpeedMentoring sessions.
POSSIBLE MAXIMUM TOTAL: 24.6 CCB

Plan now to take a CHC, CHPC, or CHRC certification exam at the Compliance Institute

All exams will be held Wednesday, March 29, from 1:30–4:30 PM*
EXAM CHECK-IN: 1:00 PM

To download exam applications, visit compliance-institute.org and click the “Certification” tab. Exam applications should be mailed or faxed separately from the conference registration as directed on the exam application.

To be eligible to sit for an exam, candidates must acquire 20 CCB continuing education units, ten of which must come from “live” events or trainings. For a full list of exam requirements, please visit compliancecertification.org. One clock hour of session time at the Compliance Institute counts for 1.2 CCB CEUs. CCB CEUs are calculated on a 50-minute hour.

If you wish to receive credits for your attendance at this conference, you must complete and submit a Continuing Education Application.

If you have questions, please email ccb@compliancecertification.org or call 888-580-8373.

*Actual exam duration is 120 minutes per the candidate handbooks
Asra Ali [P18], Compliance and Risk Manager, HealthScape Advisors, Downers Grove, IL

Betty Baber-Kinsey [405], CHC, Phys. Practices Compliance Officer, Tenet Healthcare, Richardson, TX

Lyn Bentley [103], Vice President, Quality & Regulatory Affairs, AHCA, Washington, DC

Vicki Bokar [402, W8], RN, Senior Director Corporate Compliance, Cleveland Clinic, Cleveland, OH

Pamela Brecht [506], JD, Attorney/Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP, Philadelphia, PA

Gregory Anderson [W5], Partner, Horne LLP, Hattiesburg, MS

Cliff Baker [204], Managing Partner, Meditology Services, Atlanta, GA

Brian Bewley [411], Shareholder, Polsinelli PC, Nashville, TN

Karen Bommelje [310], BSN, CHC, HCS-D, Senior Manager, Compliance, Simone Healthcare Consultants, LLC, Woodstock, GA

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Sarah Bowman [101], MBA, RHIA, CHC, Consulting Manager, PYA, Knoxville, TN

Benjamin Burton [P4], CHP, Health Information Management Consultant, First Class Solutions, Inc, Biddeford, ME

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Nancy Beckley [603], President, Nancy Beckley & Associates LLC, Milwaukee, WI

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Frank Cohen [208], MBB, MPA, Director of Analytics, Doctors Management LLC, Spring Hill, FL
Speakers

Scott Erven [504], Managing Director, Health Industries Advisory Cyber Security & Privacy, PwC, Los Angeles, CA

Nadia Fahim-Koster [W4], MBA, Director, IT Risk Management, Meditology Services, Atlanta, GA

Joan Feldman [708], Partner, Shipman & Goodwin, LLP, Hartford, CT

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Patrick Garcia [702], Associate Counsel, Hall, Render, Killian, Heath & Lyman, P.C., Annapolis, MD

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Susan Gillin [107], Deputy Branch Chief, Administrative and Civil Remedies Branch, Office of Counsel to the Inspector General, Washington, DC

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Catherine Gorman-Klug [W16], RN, Director of Quality, Nuance Communications, Inc., Burlington, MA

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Michael Granston [P6], Director, Commercial Litigation Branch, Fraud Section, Civil Division, US Dept of Justice, Washington, DC

Nancy Griswold [102], Chief Administrative Law Judge, Department of Health and Human Services, Office of Medicare Hearings and Appeals, Falls Church, VA

Anna Grizzle [W6], CHC, CPA, CPC, Compliance Professional, Horsham, PA

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Shawn Halcsik [603], CHC, Corporate Compliance Officer, Encore Rehabilitation, Milwaukee, WI
<table>
<thead>
<tr>
<th>Name</th>
<th>Position/Role</th>
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<tbody>
<tr>
<td>Debi Hinson</td>
<td>[W9], RRT, RRT-NPS, CHC, CHP, CCEP, CHRC, Chief Research &amp; Associate Compliance Officer, Columbus Regional Health, Mineral Bluff, GA</td>
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<tr>
<td>Kimberly Huey</td>
<td>[P19], President, KGG Reimbursement Consulting, Alabaster, AL</td>
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<tr>
<td>Nicole Huff</td>
<td>[305, W18], DHA, MBA, CHSP, Chief Compliance &amp; Privacy Officer, St. Luke’s Univ Health Network, Bethlehem, PA</td>
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<tr>
<td>Matt Jackson</td>
<td>[P4], Director, Protiviti Inc, Dallas, TX</td>
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<tr>
<td>Lisa Ishii</td>
<td>[P24], Associate Professor of Otolaryngology, John Hopkins Hospital, Lutherville, MD</td>
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<tr>
<td>Al Josephs</td>
<td>[AD6, AD6B, 707], CHC, Senior Director, Risk Assessment and Culture, Tenet Healthcare Corporation, Dallas, TX</td>
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<tr>
<td>Paula Koenig</td>
<td>[P26], CHC, Corporate Compliance Officer, Numotion, Bloomington, IN</td>
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<tr>
<td>Jay Hodes</td>
<td>[304], President, Colington Consulting, Burke, VA</td>
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<tr>
<td>David N. Hoffman</td>
<td>[P24], Chief Compliance Officer, Physician Affiliate Group of New York, P.C., New York, NY</td>
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<tr>
<td>Christina A. Hughes</td>
<td>[W13], Counsel, Powers Pyles Sutter &amp; Verville, PC, Washington, DC</td>
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<tr>
<td>Deborah Johnson</td>
<td>[W18], Sr Director Compliance and Internal Audit, Peach State Health Plan, Mableton, GA</td>
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<td>Walter Johnson</td>
<td>[P16, W2], CCEP, CCEP-I, CHC, CHPC, Director of Compliance &amp; Ethics, Kflege Government Solutions, Fairfax, VA</td>
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<tr>
<td>Jennifer Kildea Dewane</td>
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<td>[205], RHIT, CPC, Chief Compliance &amp; Privacy Ofcr &amp; Chief Operations Ofcr, Galicia Medical Group, Wichita, KS</td>
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<td>Sarah Kessler</td>
<td>[506], Associate Counsel, OIG HHS, Washington, DC</td>
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<td>Kenneth Kraft</td>
<td>[702], Senior Counsel, OIG HHS, Washington, DC</td>
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<td>Elizabeth A. Hughes</td>
<td>[W13], CHC, Director, TMF Health Quality Institute, Houston, TX</td>
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<td>Marian Hughlett</td>
<td>[P5], CHC, CHPC, CHRC, Regional Privacy Officer, Catholic Health Initiatives, Louisville, KY</td>
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<td>Web Hull</td>
<td>[W22], CIPP, Privacy, Data Protection, &amp; Compliance Advisor, Global Privacy &amp; Compliance Group, Barrington, RI</td>
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<td>Nan Impink</td>
<td>[P17], SVP, Assoc. General Counsel, Sava Senior Care Administrative Services, Atlanta, GA</td>
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<td>Walter Johnson</td>
<td>[P16, W2], CCEP, CCEP-I, CHC, CHPC, Director of Compliance &amp; Ethics, Kflege Government Solutions, Fairfax, VA</td>
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<td>Jawanna King</td>
<td>[AD4, AD4B], CHC, Regional Compliance Director, Tenet Healthcare Corporation, Rock Hill, SC</td>
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<td>Michael Johnson</td>
<td>[511], CEO, Clear Law Institute, Arlington, VA</td>
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<tr>
<td>Ruth Krueger</td>
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<td>Jeramy D. Kuhn</td>
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<td>Craig Holden</td>
<td>[P20], Chairman &amp; COO, Baker Ober Health Law Group, Baltimore, MD</td>
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<td>Kristine Koontz</td>
<td>[P15], Vice President of Quality and Corporate Integrity, Keystone Human Services Inc, Harrisburg, PA</td>
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<td>Deb Hinson</td>
<td>[W9], RRT, RRT-NPS, CHC, CHP, CCEP, CHRC, Chief Research &amp; Associate Compliance Officer, Columbus Regional Health, Mineral Bluff, GA</td>
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<td>Kimberly Huey</td>
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<td>Nicole Huff</td>
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<tr>
<td>Matt Jackson</td>
<td>[P4], Director, Protiviti Inc, Dallas, TX</td>
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<td>[P26], CHC, Corporate Compliance Officer, Numotion, Bloomington, IN</td>
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<td>Jay Hodes</td>
<td>[304], President, Colington Consulting, Burke, VA</td>
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<tr>
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<tr>
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<tr>
<td>Nancy Kennedy</td>
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<td>[506], Associate Counsel, OIG HHS, Washington, DC</td>
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<td>Jared Krawczyk</td>
<td>[605], Mathematician, Nektar Analytics, Milwaukee, WI</td>
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<td>Kathryn Krenz</td>
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<tr>
<td>Ruth Krueger</td>
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<tr>
<td>Jeramy D. Kuhn</td>
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Speakers

Nathaniel Lacktman [106], CCEP, Partner, Foley & Lardner LLP, Tampa, FL

Laura Laemmle-Weidenfeld [P20], JD, Partner, Jones Day, Washington, DC

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Tony Lesser [P22], Advisory Senior Manager, Deloitte & Touche LLP, Chicago, IL

Daniel Levinson [GS1], Inspector General, Dept of Health & Human Services, Washington, DC

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Peter Merrill [604], Director Information Systems, Dartmouth Hitchcock, Lebanon, NH

Melissa McCarthy [109], AVP, Deputy Chief Corporate Compliance Officer, Northwell Health, Great Neck, NY
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<td><strong>Cristine Miller</strong> [609], CHC, Partner, Mountjoy Chilton Medley LLP, Louisville, KY</td>
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<td><strong>Sue Murrin</strong> [711], Deputy Inspector General for Evaluation and Inspections, Office of Evaluation and Inspections, OIG</td>
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<tr>
<td><strong>Charles Oppenheim</strong> [706], Partner, Hooper Lundy Bookman, PC, Los Angeles, CA</td>
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<tr>
<td><strong>Melissa Prince</strong> [101], Director, Compliance &amp; Privacy, Piedmont Athens Regional, Athens, GA</td>
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<td><strong>Andrea Riccelli</strong> [305], CHC, Spvr, Provider Services Compliance, St. Lukes University Hospital, Bath, PA</td>
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<td><strong>Sandra Miller</strong> [206], Attorney, Womble Carlyle Sandridge &amp; Rice, LLP, Greenville, SC</td>
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<tr>
<td><strong>F. Lisa Murtha</strong> [P28], CHC, CHRC, Senior Managing Director, FTI Consulting, Philadelphia, PA</td>
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<td><strong>Laurie A. Oberembt</strong> [P20], Senior Trial Counsel, U.S. Department of Justice, Washington, DC</td>
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<td><strong>Greg Radinsky</strong> [701], JD, MBA, CHC, CCEP, VP, Chief Corporate Compliance Officer, Northwell Health, New Hyde Park, NY</td>
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<td><strong>Dan Mimmnauh [604]</strong>, Client Engagement Associate, CORL Technologies, Atlanta, GA</td>
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<td><strong>Tracey M. Nixon</strong> [W13], CHC, Principal, ROC Healthcare Advisors, Frisco, TX</td>
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<td><strong>Steven Orquist</strong> [202], CCEP, CHC-F, CHRC, Managing Director, Aegis Compliance &amp; Ethics Center LLP, Chicago, IL</td>
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<td><strong>Laura Range</strong> [707], Vice President, Deputy Chief Compliance Officer, Tenet Healthcare Corporation, Dallas, TX</td>
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<td><strong>Julie Mitchell</strong> [203], JD, Attorney, Mitchell Day Law Firm, PLLC, Ridgeland, MS</td>
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<td><strong>Alan Norquist</strong> [P7], CEO &amp; Founder, Veriphyr, Inc., Los Altos, CA</td>
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<td><strong>Robert Owens</strong> [711], Deputy Inspector General for Management and Policy, OIG HHS, Washington, DC</td>
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<td><strong>Michael Rosen</strong> [501], Co-Founder, ProviderTrust Inc, Nashville, TN</td>
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<td><strong>Debbie Morgan</strong> [308], CHC, CPC, Compliance Officer, Clinica Family Health, Lafayette, CO</td>
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<td><strong>Jenny O’Brien</strong> [410, AD7, AD7B], CHC, CHPC, UnitedHealthcare Chief Compliance Officer, UnitedHealthcare, Minnetonka, MN</td>
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<td><strong>Marc S. Raspanti</strong> [P6], Esq., Pietragallo Gordon Alfano Bosick &amp; Raspanti, LLP, Philadelphia, PA</td>
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<td><strong>Beth Rosenbaum</strong> [503], Sr. VP Chief Counsel, Kindred Healthcare, Louisville, KY</td>
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<td><strong>Michelle Moses</strong> [W19], Associate, Marshall, Dennehey, Warner, Coleman &amp; Goggin, Philadelphia, PA</td>
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<td><strong>Tynan Olechncy</strong> [W14], MBA/MPH, CVA, Consulting Principal, PYA, Atlanta, GA</td>
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<td><strong>Emily Reilly</strong> [211], JD, CHC, CHPS, Compliance Administrator, WellStar Health System, Inc, Marietta, GA</td>
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<td><strong>Timothy Murray</strong> [P13], MS, MT (ASCP), CHC, National Director Laboratory Compliance, Corporate Responsibility, Catholic Health Initiatives, Denver, CO</td>
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<td><strong>Anthony Oliva</strong> [W16], National Medical Director, Nuance/JATA Health Care, Clayton, NC</td>
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<td><strong>Joan Podleski</strong> [AD10, AD10B], CCEP, CHPC, CHRC, Chief Privacy Officer, Children’s Health, Dallas, TX</td>
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<td><strong>Frank Rosinia</strong> [W21], Chief Quality Officer, JPS Health Network, Fort Worth, TX</td>
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<td><strong>Iliana Peters</strong> [104], JD, LLM, Senior Advisor for HIPAA Compliance and Enforcement, HHS Office for Civil Rights, Washington, DC</td>
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<td><strong>Garrett Reisman</strong> [GS4], Director of Space Operations, SpaceX, Hawthorne, CA</td>
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Speakers

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<td>Peter Thomas</td>
<td>Principal, Powers Pyles Sutter &amp; Verville, Washington, DC</td>
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<td>Majed Tomeh</td>
<td>Advisor, Veriphyr, Inc, Mountain View, CA</td>
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<td>Tracy Tracy</td>
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<td>Bernadette Underwood</td>
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Contribute to HCCA's 2017

Silent Auction
to benefit America’s Fund

Our 2016 auction raised $16,760 for America’s Fund, a charity dedicated to providing resources and financial support to critically injured members of the U.S. Armed Forces and their families. Help us raise even more in 2017!

You can help by donating an item to auction. Here are some ideas:

• Handmade jewelry
• Handmade art
• Handmade quilts
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• Tickets to sporting events, theatre, comedy
• Autographed memorabilia
• Anything creative and fun that you would purchase

Learn more about contributing to this worthy event: compliance-institute.org/silentauction

Or contact Kortney Nordrum:
kortney.nordrum@corporatecompliance.org
952-405-7928
Read the document naturally.
Program at a glance

Monday, March 27 | Conference

6:30–7:30 AM  Yoga (pre-registration is required—space is limited)

7:00 AM–6:00 PM  Conference Registration

7:00–8:30 AM  Continental Breakfast in Exhibit Hall

8:30–8:45 AM  Opening Remarks

8:45–9:15 AM  GENERAL SESSION: OIG Update – Daniel R. Levinson, Inspector General, Department of Health & Human Services

9:15–10:15 AM  GENERAL SESSION: How to Be a Wildly Effective Compliance Officer – Kristy Grant-Hart, Owner, Spark Compliance Consulting

10:15–11:00 AM  Networking Break in Exhibit Hall

11:00 AM–12:00 PM  BREAKOUT SESSIONS

12:00–1:00 PM  Networking Luncheon

1:00–1:30 PM  Dessert & Networking Break in the Exhibit Hall

1:30–2:30 PM  BREAKOUT SESSIONS

2:30–3:00 PM  Networking Break in Exhibit Hall

Tracks

- General Compliance/Hot Topics
- Long-Term Care
- Privacy & Security
- Physician Compliance
- Compliance Officer
- Auditing & Monitoring
- Internal Audit
- How to Succeed as a Compliance Professional
- Quality of Care
- Advanced Discussion Groups
- Industry Immersion

Session Selection is not available for these sessions.

Advanced Discussion Groups will be filled on a first-come, first-served basis. Attendance is limited to the first 50 attendees.
### Monday, March 27 | Conference

#### 3:00 – 4:00 PM

**BREAKOUT SESSIONS**

| 302 | The Blame Game: Accountability in Healthcare Compliance – Rick Kam, President & Co-Founder, ID Experts |
| 303 | Making the Most of a CIA – Donny J. Thiel, Director, ProviderTrust; Laura Ellis, Senior Counsel, OIG HHS |
| 304 | Managing the Business Associate Relationship: From Onboarding to Breaches – William J. Roberts, Associate, Shipman & Goodwin, LLP; Jay Hodges, President, Colington Consulting |
| 305 | Split Shared/Consulting Services…to Split Share or Consult Is the Question – Nicole S. Huff, Chief Compliance & Privacy Officer, St. Luke’s University Health Network; Andrea J. Riccili, Supervisor, Provider Services Compliance, St. Luke’s University Hospital |
| 307 | Physician Arrangements: Conducting the Audit & Ensuring a Resolution – Juliette Stancil, Regional Compliance Officer, Presence Health; Anne E. Brumell, Regional Compliance Officer, Presence Health |
| 308 | Auditing Compliance for Clinical Documentation and Coding: Collaboration Is Key! – Debbie G. Morgan, Compliance Officer, Clinica Family Health; Justin Wheeler, VP of Clinical Services, Clinica Family Health |
| 309 | Risk: A Fundamental 4-Letter Word for Compliance Professionals – Frank Ruelas, Facility Compliance Professional, St. Joseph’s Hospital and Medical Center/Dignity Health |
| 310 | You Don’t Need to Be a Wizard to Solve Today’s Compliance Challenges: Seven Steps to Ensure Your Compliance Program Follows the Yellow Brick Road – Karen Bommelje, Senior Manager, Compliance, Simione Healthcare Consultants, LLC; John R. Hamilton, Vice President of Compliance, Kindred Healthcare |
| 311 | Overlapping Surgery Developments – Sara Kay Wheeler, Partner, King & Spalding; Alana Sullivan, Chief Compliance Officer, Erlanger Health System |
| 401 | Down the Rabbit Hole: Compliance Investigations, Corrective Action Planning, and Self-Disclosure – Tony Maida, Partner, McDermott Will & Emery; Anne S. Daly, Corporate Compliance Officer, Ann & Robert H. Lurie Children’s Hospital of Chicago |
| 402 | Congratulations on that New Hospital/Provider Practice Acquisition! Compliance Lessons Learned the Hard Way – Donald A. Sinko, Chief Integrity Officer, Cleveland Clinic; Vicki R. Bokar, Senior Director Corporate Compliance, Cleveland Clinic |
| 403 | Mitigating Hot Button Risk Areas in Home Health & Hospice – Kathryn A. Krenz, Clinical Analyst, Brookdale Senior Living; Kimberly M. Hrehor, Director, TMF Health Quality Institute |
| 404 | Mobile Health (mHealth) Applications in a Healthcare Environment – Brandon Goulter, Facility Compliance Professional, Dignity Health; Steven R. Baruch, Service Area Compliance Director, Dignity Health |
| 408 | Dynamic Board Reports: What Do They Really Want to Know? – Ruth Krueger, Enterprise Compliance Program Manager, Sanford Health; Cindy J. Matson, VP Health Services Compliance, Sanford Health |
| 409 | How to Get More Linkedln Views than Roy: Practical Tips for Improving Your LinkedIn Profile & Getting Employers to Seek You Out – Brenda K. Manning, JD, CHC, CHPC, Privacy & Regulatory Affairs Director University of Minnesota Physicians |
| 410 | Medicare FDRs and Compliance Programs: What the Feds Expect and Tips for Ensuring Your Organization Satisfies the Requirements – Heather J. Fields, Shareholder, Chair – Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c.; Catherine M. Boerner, President, Boerner Consulting LLC; Jenny M. O’Brien, Chief Compliance Officer, UnitedHealthcare; Michael Holper, SVP Integrity & Audit Services, Trinity Health |
| 411 | The Business of Health Care Fraud Enforcement: A Pragmatic Discussion and Assessment – Heather J. Fields, Shareholder, Chair – Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c.; Catherine M. Boerner, President, Boerner Consulting LLC; Jenny M. O’Brien, Chief Compliance Officer, UnitedHealthcare |
| 412 | Strategies for Managing Potential Overpayments and Compliance with the 60-Day Rule – Brian Bewley, Shareholder, Polsinelli PC; Jeffrey Fitzgerald, Shareholder, Polsinelli PC |
| 413 | Social Media Risks – Lynda Hilliard, Healthcare Compliance Professional, Hilliard Compliance Consulting |

#### 4:00 – 4:30 PM

**Networking Break in Exhibit Hall**

#### 4:30 – 5:30 PM

**BREAKOUT SESSIONS**

| 401 | Down the Rabbit Hole: Compliance Investigations, Corrective Action Planning, and Self-Disclosure – Tony Maida, Partner, McDermott Will & Emery; Anne S. Daly, Corporate Compliance Officer, Ann & Robert H. Lurie Children’s Hospital of Chicago |
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**Advanced Discussion Groups** will be filled on a first-come, first-served basis. Attendance is limited to the first 50 attendees. **SESSION SELECTION IS NOT AVAILABLE FOR THESE SESSIONS.**
Tuesday, March 28 | Conference

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
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<tbody>
<tr>
<td>6:00–7:30 AM</td>
<td>Zumba (pre-registration is required — space is limited)</td>
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<tr>
<td>7:00 AM–4:00 PM</td>
<td>Conference Registration</td>
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<tr>
<td>7:00–8:30 AM</td>
<td>Continental Breakfast</td>
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<tr>
<td>8:30–8:45 AM</td>
<td>Opening Remarks</td>
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<td>8:45–9:30 AM</td>
<td>GENERAL SESSION: Wonders of Spaceflight and Its Risks: Lessons from</td>
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<td>the Space Shuttle Program—Dr. Garrett Reisman, Director of Space</td>
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<td>Operations, SpaceX</td>
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<tr>
<td>9:30–10:30 AM</td>
<td>Networking Break in Exhibit Hall</td>
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**BREAKOUT SESSIONS**

**TRACKS**

- **GENERAL COMPLIANCE/HOT TOPICS**
- **LONG-TERM CARE**
- **PRIVACY & SECURITY**
- **PHYSICIAN COMPLIANCE**
- **COMPLIANCE LAWYER**
- **AUDITING & MONITORING**
- **INFORMATION AUDIT**
- **HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL**
- **QUALITY OF CARE**
- **ADVANCED DISCUSSION GROUPS**
- **INDUSTRY IMMERSION**

**1:00–2:00 PM BREAKOUT SESSIONS**

- **G01 Compliance, The C-Suite, and The Board Of Directors: What To Report And How?** – George Breen, Shareholder, Epstein Becker & Green PC; Lisa Melamed, Vice President of Corporate Compliance and Corporate Compliance Officer, Laser Spine Institute; Karen Glassman, Senior Counsel, Office of Counsel to the IG, DHHS
- **G02 How to Use and Not Abuse MGMA and Other Survey Data in FMV Compliance Programs: Why Flawed Data Usage Leads to Increased Compliance Risk** – Timothy Smith, Senior Managing Director, Ankura Consulting Group; Meghan Wong, Assistant Director, Data Solutions, MGMA
- **G03 Are You Billing the New PT and OT Evaluation Codes Properly?** – Shawn M. Halcsik, Corporate Compliance Officer, Encore Rehabilitation; Nancy J. Beckley, President, Nancy Beckley & Associates LLC
- **G04 Study of 1000 Vendor Security Practices** – Peter Merrill, Director Information Systems, Dartmouth Hitchcock; Danny Minnema, Client Engagement Associate, CORL Technologies
- **G05 How to Develop Benchmarking Scorecards to Transition to Risk-Based Physician Auditing/Monitoring** – Jared Krawczyk, Mathematician, Nektar Analytics; Andrei M. Costantino, VP of Integrity & Compliance, Trinity Health
- **G06 Self-Disclosure: Obligations, Options, Outcomes** – Frank Sheeder, Partner, Alston & Bird; Tony Maida, Partner, McDermott Will & Emery; David Fuchs, Associate Counsel, Office of Counsel to the IG, U.S. Department of Health and Human Services
- **G07 CMS Provider Network Accuracy: Risk Assessment and Monitoring Strategies for Medicare Advantage Plans** – Philip J. Masser, Medicare Compliance Officer, Geisinger Health Plan
- **G08 How to Get Audit-Ready in 7 Steps** – Kimberly B. Holmes, Senior Vice President & Counsel, Cyber Insurance, Liability & Emerging Risks, ID Experts
- **G09 Building Your Healthcare Compliance Resume** – Christine M. Miller, Partner, Mountjoy Chilton Medley LLP
- **G10 What’s Next in Washington?** – Kimberly Brandt, Chief Oversight Counsel, US Senate Finance Committee, Majority Staff
- **G11 Compliance Is Ruff: A Dog's Approach** – Kimberly A. Lansford, Chief Compliance Officer, Penn State Health; Carol Lansford, Service Dog Trainer; Gabe II, Service Dog, Warrior Canine Connection
- **A058 Pointers and Pitfalls in Managing Work-Life Balance, Stress, and Burnout in the Compliance Profession** – Shawn DeGroot, Global Business Process Management Compliance Officer, Navigant Cymetrix
- **A068 Operational Components of a Compliance Program** – Alvin Josephs, Senior Director, Risk Assessment and Culture, Tenet Healthcare Corporation; Ryan Whitehill, Manager Ethics & Compliance Training, Tenet Healthcare Corporation
- **A078 Compliance Programs for Provider-owned Health Plans and Alternative Payment Programs** – Jenny M. O’Brien, Chief Compliance Officer, UnitedHealthcare; Michael Holper, SVP Integrity & Audit Services, Trinity Health
Tuesday, March 28 | Conference

2:00–2:30 PM  Networking Break

2:30–3:30 PM  BREAKOUT SESSIONS

- 701 Helpful Tips for Value Based Payment (VBP) Compliance Programs – Aaron J. Lund, Director of Compliance & Privacy Officer, Northwell Health; Greg Radinsky, VP, Chief Corporate Compliance Officer, Northwell Health
- 702 Strategic Considerations in Resolving Voluntary Disclosures to CMS, OIG, and DOJ – Patrick Garcia, Associate Counsel, Hall, Render, Killian, Heath & Lyman, P.C.; Kenneth Kraft, Senior Counsel, OIG HHS
- 703 Cybersecurity in the Post-Acute Arena – Amy Brantley, EVP, Chief Compliance Officer, Golden Living; Lisa Spears, Privacy and Information Security Officer, Reliant Post Acute Care
- 704 Bored with Your Board’s Lack of Interest? – Joseph Dickinson, Counsel, Tucker Ellis; Marti Arvin, Vice President of Audit Strategy, CynegistTek
- 705 Physician Training on Medical Necessity: What Is Important for Clinical Trials and How Does It Impact Revenue Integrity? – Kelly M. Willenberg, Owner, Kelly Willenberg, LLC
- 706 Kickback and Stark Law Developments – Katherine Laufer, Partner, Latham & Watkins, LLP; Charles B. Oppenheim, Partner, Hooper Lundy Bookman, PC; Heather Westphal, OIG HHS
- 707 Risk Assessments and Work Plans: Key Spokes in the Circle of Compliance – Al Josephs, Senior Director, Risk Assessment and Culture, Tenet Healthcare Corporation; Laura Range, Vice President, Deputy Chief Compliance Officer, Tenet Healthcare Corporation; Kate Dunn, Compliance Officer, Tenet Healthcare Corporation
- 708 Conducting an Internal Compliance Investigation When the Government Claims You Have False Claims – Joan W. Feldman, Partner, Shipman & Goodwin, LLP
- 709 Strategies for Professionalism When Tantrums Aren’t an Option – Jay P. Anstine, President, Bluebird Healthlaw Partners, LLC
- 710 Challenges for Academic Medical Centers – Lisa A. Taylor, Director and Chief Compliance Officer, UC Health; B. Brett Short, Chief Compliance Officer, UK HealthCare/University of KY
- 711 OIG Panel – Robert Owens, Deputy Inspector General for Management and Policy, OIG HHS; Christi Grimm, Chief of Staff, OIG, Department of Health & Human Services; Gary Cantrell, Deputy Inspector General for Investigations, OIG HHS; Gregory Demskes, Chief Counsel to the Inspector General, HHS OIG; Gloria Jarmon, Deputy Inspector General for Audit Services, OIG HHS; Sue Murrin, Deputy Inspector General for Evaluations and Inspections, Office of Evaluation and Inspections, OIG
- ADB8 Beyond the Law: Business Ethics & the Compliance Officer – Ryan Meade, Director Regulatory Compliance Studies, Loyola University Chicago School of Law
- AD98 Strategies for Managing Potential Overpayments and Compliance with the 60-Day Rule – Sara Kay Wheeler, Partner, King & Spalding
- AD108 Privacy and Research – Joan Podleski, Chief Privacy Officer, Children’s Health; Brian Annulis, Partner, Meade Roach & Annulis, LLP

Wednesday, March 29 | Post-Conference

7:30 AM–12:00 PM  Conference Registration

8:00–9:45 AM  BREAKOUT SESSIONS

- W1 Decrypting a Ransomware Strategy – Rebecca Warren, Partner, Obermayer Rebmann Maxwell & Hippel LLP; Hussein Syed, Chief Information Security Officer, RW/Barnabas Health; Erica Woebse, Attorney, Obermayer Rebmann Maxwell & Hippel LLP
- W2 How the “Three Amigos” of a Compliance Program—Compliance Officer, Legal, and Human Resources—Can Work Together to Support and Advance an Effective Compliance Program – William K. Wong, Sr. Coding & Compliance Educator/Auditor, Providence Health & Services; Walter E. Johnson, Director of Compliance & Ethics, Kforce Government Solutions; Frank Ruelas, Facility Compliance Professional, St. Joseph’s Hospital and Medical Center/Dignity Health
- W3 340B Drug Pricing Self-Disclosures and Repayments: Success Stories and Lessons Learned – Karolyn Woo-Miles, Principal, Deloitte & Touche LLP; Emily Cook, Partner, McDermott Will & Emery; Anne S. Daly, Corporate Compliance Officer, Ann & Robert H. Lurie Children’s Hospital of Chicago
- W4 How to Navigate and Survive a Mega Breach – Nadia Fahim-Koster, Director, IT Risk Management, Meditology Services; Erin Fleming Dunlap, Shareholder, Polsinelli PC; Abby Bonjean, Abby Bonjean, Associate, Polsinelli PC; Regina Verde, Compliance and Privacy Officer, University of Virginia Health System
- W5 Lessons Learned: How Recent Enforcement Cases Provide Insight into Effective Compliance Programs for FMV and Commercial Reasonableness – Timothy Smith, Senior Managing Director, Ankura Consulting Group; Gregory Anderson, Partner, Home LLP
- W6 Government Investigations and Compliance Matters: Roadmap for In-House Counsel and Compliance Professionals – Anna Grizzle, Partner, Bass, Berry & Sims PLC; Ryan Stumphauzer, Partner, O’Quinn Stumphauzer & Sloman; Mahnu Daver, Partner, Arnold & Porter Kaye Scholer LLP
- W7 Designing a Successful Analytics-Based Hospital Compliance Program and Securing Cross-Department Endorsement – Kate Conklin, Compliance Officer, UT Southwestern Medical Center; Trissi Gray, Assistant Director for Health System Affairs, UT Southwestern Medical Center
- W8 Monitoring and Auditing HIPAA Compliance – Donald A. Sinko, Chief Integrity Officer, Cleveland Clinic; Vicki R. Bokar, Senior Director Corporate Compliance, Cleveland Clinic
- W9 STRESS Makes You Distracted, Distracted, Dumb & Dead! – Debi I. Hinson, Chief Research and Associate Compliance Officer, Columbus Regional Health; Albert Eaton, Director of Behavioral Science, Family Medicine Residency, Midtown Medical Center
- W10 Driving Quality of Care Through Culture Change Strategies: Identifying Culture Challenges, Collecting Data to Show Value for Change, and Creating Culture Change by Demonstrating What’s in It for Me? – Jazal Clemens, Compliance Program Manager, Stanford University
- W11 Building Your Toolbox to Manage Conflict of Interest: Sunshine, Open Payments, and Investigations – Rebecca M. Scott, Clinical Research Comp Manager and COI Manager, UK HealthCare; Andrew H. Hill, Clinical Research Charge Auditor, UK Healthcare Office of Corporate Compliance; CJ Wolf, Senior Compliance Executive, Healthicity
Program at a glance

Wednesday, March 29 | Post-Conference

9:45 – 10:00 AM  Networking Break

10:00 – 11:45 AM  BREAKOUT SESSIONS

W12 Criminal and Civil Enforcement Trends: Focus on Federal Enforcement of Fraud and Abuse Involving Hospice Programs and Opioid Abuse – Sean Bosack, Attorney, Godfroy & Kohn, S.C.; Michelle Frazier, VP Chief Compliance Officer, Aurora Health Care; Stacy Gerber Ward, Partner, von Briesen and Roper; Christine Anusbigian, Specialist Leader, Deloitte & Touche LLP

W13 Medicare Overpayment 60-Day Rule: What Your Compliance and Auditing Departments Need to Know – Tracey M. Nixon, Principal, ROC Healthcare Advisors; Christina A. Hughes, Counsel, Powers Pyles Sutter & Verville, PC; Peter W. Thomas, Principal, Powers Pyles Sutter & Verville

W14 How to Overcome Growing Pains by Maturing your Compliance Program from the Wonder Years to the Golden Years: Physician-Hospital Arrangements – Tyman Olechny, Consulting Principal, PIA; Ross Burris, Shareholder, Polsinelli PC; Valerie G. Rock, Consulting Manager, PIA

W15 Privacy Officer Roundtable – Adam Greene, Partner, Davis Wright Tremaine, LLP; Marti Arvin, Vice President of Audit Strategy, SynergisTek

W16 Pay for Performance 2017: Meeting New Physician Quality Reporting and Payment Requirements – Catherine Gorman-Klug, Director of Quality, Nuance Communications, Inc.; Anthony Oliva, Chief Medical Officer, Nuance Communications, Inc.

W17 Compound Pharmacy Prosecutions: Past Lessons and Future Trends – Ryan Stumphauzer, Partner, O’Quinn Stumphauzer & Sloman; Elizabeth D. Shaw, Partner, RezLegal, LLC; Jason Mehta, Assistant United States Attorney, U.S. Attorney’s Office for the Middle District of Florida

W18 Effective Auditing Program for Managed Care Plans – Nicole S. Huff, Chief Compliance & Privacy Officer, St. Luke’s University Health Network; Deborah M. Johnson, Senior Director Compliance and Internal Audit, Peach State Health Plan

W19 MIPS, APMS, QRUR, and CMS Data: How Do Your Physicians Compare? – D. Scott Jones, VP, Healthcare Compliance and Risk, HPIIX Medical Mutual Insurance Company; Michelle Moses, Associate, Marshall, Dennehey, Warner, Coleman & Goggin

W20 Mergers and Acquisitions for Compliance Professionals – Sharon Blackwood, Chief Compliance Officer, Palmetto Health Tuomey; Jose A. Tabuena, Compliance and Privacy, PrincipledEdge; Donnessa Vessakosol, Manager, Strategic Value Group, LLC

W21 Don’t Let Your Quality Program Face the Risk Apocalypse: Practical Approaches to Implementing and Integrating ERM and Compliance with Quality – Ron Skillens, SVP, ERM & Chief Compliance Officer, JPS Health Network; Frank Rosinia, Chief Quality Officer, JPS Health Network


1:00 PM  Check-in for CHC, CHPC, and CHRC Certification Exams

1:30 – 4:30 PM  CHC, CHPC, and CHRC Certification Exams (actual exam duration is 120 minutes per the candidate handbooks)

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MEDIA PARTNERS
Saturday, March 25

1:00 – 7:30 PM
Conference Registration

1:00 – 4:00 PM
11th Annual Volunteer Project

Sunday, March 26

7:30 AM – 6:00 PM
Conference Registration

7:30 – 8:30 AM
SpeedNetworking

9:00 AM – 12:00 PM
PRE-CONFERENCE BREAKOUT SESSIONS
(includes 15-minute break)

INDUSTRY IMMERSION

P1 Large Hospital Systems
SUNDAY, 9:00 AM – 12:00 PM
Cheryl Wagonhurst, Owner, Law Office of Cheryl Wagonhurst
Suzie Draper, VP Business Ethics & Compliance, Intermountain Healthcare
John Steiner, Health Care Compliance Professional
Margaret J. Hambleton, VP Corporate Compliance, Dignity Health
- Learn how to benchmark your program against other large hospitals and health systems through use of polling software
- Understand challenges relevant to large hospitals and large health systems
- Engage in dialogue with your colleagues regarding operational solutions and best practices for large hospitals and health systems

LONG-TERM CARE

P2 Compliance Program Start Up: What Are the Basics Needed for Your Infrastructure?
SUNDAY, 9:00 AM – 12:00 PM
Debbie Troklus, Managing Director, Aegis Compliance & Ethics Center LLP
Sheryl Vacca, Chief Risk Officer, Providence St Joseph Health
- Describe the fundamental elements of a compliance program
- Identify ways to leverage current resources
- Provide tips on getting organization buy in

P3 Keep Them Talking to You: A Culture of Trust & Integrity Improves Quality, Safety, and Organizational Outcomes!
SUNDAY, 9:00 AM – 12:00 PM
Karla Dreisbach, Vice President of Compliance, Friends Services for the Aging
Sarah Finnegan, Vice President of Compliance, Kindred Healthcare
Kelly Lipscomb, Attorney, Lane Powell
Jeremy D. Kuhn, Corporate Compliance Officer & Privacy Officer, Care Initiatives
- Review how the principles of trust & integrity impact organizational approaches to improve reporting and decrease misconduct
- Achieve business objectives by influencing the workforce to focus on principle-centered performance
- Employees form opinions about an organization’s ethics. The surprising tips tricks and perceptions

GENERAL COMPLIANCE/HOT TOPICS

P4 HIPAA Compliance That Addresses the Risks of Today and Will Grow with You in the Future
SUNDAY, 9:00 AM – 12:00 PM
Kevin Dunnahoo, Senior Manager, Protiviti
Matt Jackson, Director, Protiviti
Benjamin Burton, Health Information Management Consultant, First Class Solutions, Inc
- Understand the latest industry trends/developments and potential for a government audit
- Using the 7 elements as a framework and the HIPAA Audit protocol as a guide to create a foundation for HIPAA compliance
- Learn about OCR’s focus and continued scrutiny on risk analysis efforts while understanding techniques taken by leading organizations to minimize risk exposure in conjunction with these efforts

PHYSICIAN COMPLIANCE

P5 Navigating the Physician Acquisition Experience
SUNDAY, 9:00 AM – 12:00 PM
Valerie T. Cloud, Assistant Regional Corporate Responsibility Officer, Catholic Health Initiatives
Marian E. Hughlett, Regional Privacy Officer, Catholic Health Initiatives
- Landscape—An overview of the physician acquisition landscape, identifying the setting, assets and participants with their associated roles, perspectives and objectives
- Potential Pitfalls—A review of frequently encountered challenges and pitfalls that can delay or derail completion of the acquisition journey
- Road Map—A compilation of tools, ideas, and lessons learned to facilitate a successful expedition through the process of acquiring a physician practice
P6 False Claims Act Developments
SUNDAY, 9:00 AM – 12:00 PM
John T. Boese, Counsel, Fried Frank Harris Shriver & Jacobson LLP
Michael D. Granston, Director, Commercial Litigation Branch, Fraud Section, Civil Division, US Department of Justice
Gary W. Eiland, Partner, King & Spalding LLP
Marc S. Raspanti, Esq., Pietragallo Gordon Alfano Bosick & Raspanti, LLP

P7 Immediately Address IT Access Compliance Challenges with These Techniques, Using Tools You Already Have
SUNDAY, 9:00 AM – 12:00 PM
Alan Norquist, CEO & Founder, Veriphyr, Inc.
Majed Tomeh, Advisor, Veriphyr, Inc
- Hands-on workshop. Learn techniques to detect IT access compliance issues now using software you know and have, and with data your systems are already producing. Data sets will be distributed to attendees (bring your laptop).
- After general instructions on using tools and techniques the speakers will circulate and assist attendees individually to detect IT access compliance issues in the dataset.
- There will be a brief review of IT compliance challenges of particular interest to healthcare organizations.

P8 EMR, CTMS and Clinical Trial Billing Audits: How These Tools Can Help You As An Internal Auditor
SUNDAY, 9:00 AM – 12:00 PM
Kelly M. Willenberg, Owner, Kelly Willenberg, LLC
Cynthie M. Lawson, Consultant, Self-Employed
- EMR and billing audits
- CTMS and billing audits
- Clinical Trial review and revenue cycle integrity

P9 Strategies to Build An Effective Compliance and Ethics Program
SUNDAY, 9:00 AM – 12:00 PM
Deann M. Baker, Sutter Care at Home Compliance Officer, Sutter Health
Dwight Claustre, Director, Aegis Compliance & Ethics Center, LLP
- Discuss best practice strategies to establish a strong compliance and ethics program framework.
- Provide and review essential materials and resources for our toolboxes to strengthen our proficiency.
- Engage participants in discussion regarding methodologies to reinforce our programs and key partnerships through defined accountabilities and metrics.

P10 Drug Diversion Enforcement Trends, Investigation, and Prevention
SUNDAY, 9:00 AM – 12:00 PM
Regina F. Gurvich, Director, Contract Administration & Integrity Monitoring Program, New York City Health & Hospitals Corporation
Gary Cantrell, Deputy Inspector General for Investigations, OIG HHS
- Drug diversion is an ongoing risk for providers, and the DEA is increasing their enforcement of suspected diversion.
- This session will discuss recent DEA enforcement trends and developments, and offer practical recommendations for pharmacy and compliance professionals to prevent or investigate drug diversion when it occurs.
- On the basis of the case study, discuss practical aspects of investigation, data analysis, reporting/resolution and corrective action plan.

P11 Minimizing Stark Law Execution Risks
SUNDAY, 9:00 AM – 12:00 PM
Laura Martin, National Chair, Health Care Practice Group, Katten Muchin Rosenman LLP
Louis Glaser, Partner, Katten Muchin Rosenman LLP
- This panel will address the most complex Stark Law issues facing health systems and physician groups with an optional ethical component. The purpose is to equip attendees to analyze and address complex Stark Law issues arising from physician arrangements.
- Specific issues to be covered include: Fair Market Pitfalls, Practical safeguards against non-compliant payments, Physician group practice structures, Stark Law implications of losses and subsidies and prevention of rogue arrangements.
- As Stark Law Compliance can create ethical quandaries for in-house counsel if management contravenes policy requirements or resists addressing identified issues, a long version of this program includes a review of relevant rules of professional conduct.

P12 A Case Study: How to Conduct an Effective and Compliant Internal Investigation
SUNDAY, 9:00 AM – 12:00 PM
Jennifer A. Kildea Dewane, Deputy General Counsel, CareSource
Mark R. Chilson, EVP General Counsel, CareSource
Jeffrey E. McFadden, Partner, Stradley Ronon Stevens & Young, LLP
- Beginning the Internal Investigation: When to Initiate, Defining the Scope of the Investigation, and Developing a Strategy and Plan for the Investigation.
- Ethical Issues: Who will Conduct the Investigation, Litigation Holds, Attorney/Client Privilege Issues, and Joint Defense Agreements.
P13 Laboratory Compliance: Maintaining Compliance in an Uncertain and Changing Environment

SUNDAY, 9:00 AM – 12:00 PM
Robert E. Mazer, Principal, Baker Ober Health Law Group
Tim Murray, National Director Laboratory Compliance, Corporate Responsibility, Catholic Health Initiatives
Barbara Senters, Chief Compliance & Ethics Officer, Ameritox

- Compliance issues facing today’s laboratories including topics such as reporting requirements under the Protecting Access to Medicare Act (PAMA), lab developed tests (LDTs), marketing arrangements, toxicology testing, test orders, custom panels, medical necessity issues and CLIA requirements.
- Recent initiatives related to enforcement of legal and regulatory authorities, including increased use of private contractors, actions under Medicare enrollment authorities, proceedings against lab executives and referring physicians, reverse false claims (failure to return overpayments), and related protective strategies.
- Practices that can result in imposition of sanctions, steps to limit related legal and regulatory risk, and responding to unlawful competitor activities.

P14 Discover How Managed Care Plans are Responding to Their Obligation in Detecting, Investigating, and Preventing Fraud and Abuse in the Health Care System

SUNDAY, 9:00 AM – 12:00 PM
Caron R. Cullen, President, Positive Compliance Outcomes, Inc.
Katherine M. Leff, Director, Special Investigations, CareSource
Mary Beach, Operations Director, Trusted Third Party for HFPP, HMS
Bernadette Underwood, Senior Clinical Investigator/Recovery Manager, Affinity Health Plan

- Learn strategies to address claim system clinical edits, clearinghouse review/actions, high-risk provider audits, and both pre-pay and post-pay software programs.
- Share significant investigative case results and financial outcomes and examine what worked and what did not including the preparation of corrective action plans.
- Explore the development of Health Fraud Prevention Partnerships to help achieve best practices and best outcomes.

P15 Leveraging DMAIC and Active Management for Sustainable Quality Improvements

SUNDAY, 1:30 – 4:30 PM
Kristine Koontz, Vice President of Quality and Corporate Integrity, Keystone Human Services Inc
Amy Short, Administrative Director, Center for Improvement Science

- Trace a successful DMAIC quality improvement effort from start to finish using data and examples from the speaker’s completed project.
- Throughout the presentation, participants will have opportunities to apply key learnings to situations at their home institutions via exercises and group discussion.
- The presentation will conclude with a strong focus on the use of “Active Management” (versus auditing) to sustain improvements past the conclusion of a project.

P16 Compliance Investigations 101: CO Toolbox Essentials

SUNDAY, 1:30 – 4:30 PM
Walter E. Johnson, Director of Compliance & Ethics, Kforce Government Solutions
Dawn E. Lambert, Chief Privacy Officer, IASIS Healthcare
Cindy W. Hart, CPA, CHC, CPC, Compliance Professional
Adam K. Weinstein, Vice President for Regulatory Affairs, New York-Presbyterian/Queens

- Interviewing Basics: Strategies to get the information you need from the employees; why covering privacy, security, HR and legal aspects are important during and after the interview.
- Partnerships: Knowing when to engage Legal for establishing privilege and possibly IT to collect substantial evidence; HR is a powerful ally and often, management too!
- Using SBAR (and other tools) to document the investigation using clear, concise, and legible structure.

P17 CMS Final Rule: Reform of Requirements for Long Term Care Facilities

SUNDAY, 1:30 – 4:30 PM
Barbara Duffy, Shareholder, Lane Powell
Paula Sanders, Principal and Healthcare Chair, Post & Schell, P.C.
Nan Impink, Executive Vice President, Compliance and Regulatory Affairs, SavaSeniorCare Administrative Services, LLC

- Analyze the new Requirements of Participation (ROPs) for Long Term Care (LTC) Facilities and the three implementation phases.
- Evaluate the ways in which the new ROPs are intended to improve the quality of life, care and services in LTC facilities, optimize resident safety, reflect current professional standards and the role of compliance in meeting and maintain these goals.
- Examine the intersection between compliance and LTC facilities’ QAPI programs as identified in the ROPs; Strategize about how to assure LTC facilities will be surveyed for the effectiveness of their compliance and ethics programs under the ROPs.
SUNDAY

P18 Is Your Security Incident a Data Breach? Uncle Sam Wants to Know
SUNDAY, 1:30 – 4:30 PM
Mahmood Sher-Jan, CEO, RADAR, Inc.
Asra Ali, Compliance and Risk Manager, HealthScape Advisors
Laura Merten, Chief Privacy Officer, Advocate Health Care
Patricia Shea, Partner, K&L Gates LLP
  - Learn how to know if your privacy or security incident is a reportable breach and examine why it’s important to define an event vs. security incident vs. privacy incident vs. data breach
  - Understand why privacy/compliance and security should work together on incident response
  - Learn what are the best practices and how they can protect your customers’ sensitive data against cyber threats

P19 Compliant Physician Documentation and Coding in an Electronic Medical Record
SUNDAY, 1:30 – 4:30 PM
Kimberly G. Huey, President, KGG Coding & Reimbursement Consulting
Sandra K. Giangreco, Coding Compliance Audit Senior Manager, CHAN Healthcare
  - CMS Documentation Guidelines were developed before the widespread use of electronic medical records, yet these guidelines are still the standard by which physician’s document and code. This session will highlight the challenges of documenting in EMR
  - Review the results of governmental and private payer audits as they relate to electronic documentation
  - Provide strategies for compliant documentation in an electronic medical record, including discussions with vendors, educating providers, responding to audits

P20 Anatomy of a False Claims Act Case: Investigation, Litigation, Negotiation, Resolution
SUNDAY, 1:30 – 4:30 PM
Craig Holden, Chairman & COO, Baker Ober Health Law Group
Laura Laemmle-Weidenfeld, Partner, Jones Day
Amy Easton, Of Counsel, Phillips and Cohen LLP
Lisa Re, Assistant Inspector General for Legal Affairs, Office of Inspector General, Department of Health & Human Services
Laurie A. Oberembt, Senior Trial Counsel, U.S. Department of Justice
  - The Investigation Phase: subpoenas, witness interviews and more
  - The Litigation Phase: Discovery and Motions Practice
  - The Resolution Phase: Settlements, CIAs and Relator issues

P21 Achieving 340B Program Integrity
SUNDAY, 1:30 – 4:30 PM
Chris Wasik, Consulting Manager, CHAN Healthcare
Jerry E. Lear, Senior Vice President, CHAN Healthcare
  - Identify areas to consider as part of your 340B compliance monitoring program, including suggested audit procedures that will provide a full picture of your current program and areas for potential optimization
  - Discuss the HRSA and Manufacturer audit process while also identifying best practice strategies to adequately prepare
  - Present the most frequently identified audit issues, root causes and potential action plans to mitigate the risks moving forward

P22 Auditing Emerging Compliance Risk Areas
SUNDAY, 1:30 – 4:30 PM
Debi Weatherford, Executive Director Internal Audit, Piedmont Healthcare
Tony Lesser, Advisory Senior Manager, Deloitte & Touche LLP
  - Discussion of Emerging Compliance Risk Areas
  - In depth review of key areas on risks/monitoring/controls
  - Sharing of best practices to address the risk

P23 Enabling Compliance Across the Organization: Toolkits for Operational Compliance
SUNDAY, 1:30 – 4:30 PM
Anne S. Daly, Corporate Compliance Officer, Ann & Robert H. Lurie Children’s Hospital of Chicago
Barbara Martinson, Compliance Program Director, Banner Health
  - Foster compliance activities by enabling operators at local level to understand, recognize, and respond for risks of noncompliance by equipping them with the knowledge and tools necessary to mitigate and prevent risk of noncompliance
  - Create three-part toolkits with legal or regulatory requirement or concern, template for identifying and reporting compliance activity, and template for addressing compliance matter in a uniform fashion across the organization
  - Provide mechanisms for tracking, trending, and reporting results of toolkit implementation to involved operators to aid corrective action and to leaders and committees to empower effective oversight of compliance activities and results
QUALITY OF CARE

P24 Swords into Plowshares: Leveraging Clinical Data Quality Excellence and Data Mining Tools for Promoting Quality of Care
SUNDAY, 1:30 – 4:30 PM
Aloha McBride, Principal, Ernst & Young
Marc Schulman, Executive Director, Ernst & Young
David N. Hoffman, Chief Compliance Officer, Physician Affiliate Group of New York, P.C.
Lisa Ishii, Associate Professor of Otolaryngology, John Hopkins Hospital

- Data-mining has long been used by government enforcement agencies as a means of extracting overpayments and penalties from healthcare provider organizations. With the growth of data analytics tools, those same techniques can now be turned to the constructive task of monitoring and improving quality of care through the automated generation and distribution of actionable exception reports.

- However, in order to unlock this opportunity, clinical data quality needs to be improved. We will examine the criticality of clinical data quality and ask the key question of WHY we are not treating clinical data with the same rigor, diligence, control and auditability as financial data?

- We will explore how COSO, Enterprise Risk Management and High Reliability Organizing principles can help drive clinical data quality, reporting integrity, and diagnosis accuracy and reduce potential patient harms. We’ll also discuss how the organization can work synergistically to better utilize data and achieve desired quality outcomes.

GENERAL COMPLIANCE/HOT TOPICS

P25 Whistle While You Work: How to Prevent Activity Leading to Whistleblower Actions and Protect Health Organizations and Medical Practices from Whistleblower Threats
SUNDAY, 1:30 – 4:30 PM
Jacqueline N. Bloink, Professor, Saddleback College
Christine Zack, Senior VP, Chief Risk Officer, Fundamental Administrative Services LLC
Linda W. Taetz, Senior Vice President & Compliance Officer, Mariner Health Care
Linda Woolf, Managing Partner, Goodell, DeVries, Leech & Dann

- Whistle Blower of the largest False Claims case in Arizona shares tips on how your organization can develop an active and effective compliance plan to protect your organization.

- Hindsight is 20/20. Be proactive and design compliance steps that you can easily implement to assist your Board with the tools they need to protect your organization from risks that may end up resulting in fines as well as damage your reputation.

- Prior Los Angeles Police Commissioner—now White Collar Crime Attorney; Long Term Care Chief Officer; Long Term Care Attorney; and HIM/HIT Professor / Compliance Expert team up to assist Boards across the USA to protect their organization.

INDUSTRY IMMERSION

P27 Academic Medical Center Compliance: Tips, Traps, and Emerging Best Practices
SUNDAY, 1:30 – 4:30 PM
David Lane, Deputy Compliance Officer, University of California
Dan J. Weissburg, Chief Compliance & Privacy Officer, University of California San Diego Health
Ajay Vyas, Deputy Director of Healthcare Compliance, University of Southern California

- Revenue cycle compliance within the academic medical center environment including specific challenges with research billing and overlapping surgeries.

- Issues around conflict of interest and conflict of commitment—working with clinical research faculty and faculty working with outside funded research.

- Privacy, security, and academic freedom—how they intersect and how they are different.

P28 Research Law and Compliance: 2016–2017 Year In Review
SUNDAY, 1:30 – 4:30 PM
F. Lisa Murtha, Senior Managing Director, FTI Consulting

- Understand the DHHS OIG’s Research Investigation and Enforcement Focus Areas for the 2017 Fiscal Year.

- Learn about recent investigations and settlements from federal government agencies charged with oversight and enforcement in clinical research (E.g. OHRP, ORI, FDA, DOJ, etc.)

- Hear about federal agency recent guidance in areas such as human research protections, grants management, conflicts of interest and understand the status of the NPRM related to updates to the Common Rule (45 CFR Part 46, et. seq.)

4:30 – 6:00 PM
Networking Reception in Exhibit Hall
Monday, March 27

6:30 – 7:30 AM
Yoga
Pre-registration required—space is limited

7:00 AM – 6:00 PM
Conference Registration

7:00 – 8:30 AM
Continental Breakfast in Exhibit Hall

8:30 – 8:45 AM
Opening Remarks

8:45 – 9:15 AM
GENERAL SESSION: OIG Update
Daniel R. Levinson, Inspector General, Department of Health & Human Services

9:15 – 10:15 AM
GENERAL SESSION: How to Be a Wildly Effective Compliance Officer
Kristy Grant-Hart, Owner, Spark Compliance Consulting
- Learn specific influence, persuasion and motivation techniques to help you be a powerful business asset
- Find out how to deal with burnout and learn when to say, “That’s not my job!”
- Super-charge how you and others perceive you and your role

10:15 – 11:00 AM
Networking Break in Exhibit Hall

11:00 AM – 12:00 PM
BREAKOUT SESSIONS

GENERAL COMPLIANCE/HOT TOPICS
101 340B Compliance: Life after a HRSA Audit and Implementing a Corrective Action Plan
MONDAY, 11:00 AM – 12:00 PM
Sarah E. Bowman, Consulting Manager, PYA
Melissa C. Prince, Director, Compliance & Privacy, Piedmont Athens Regional
- Case Study: Piedmont Athens Regional’s HRSA audit and resulting corrective action plan (Case Study will include manufacturer repayment, process changes and independent audit activities)
- Recent independent 340B assessment results
- Best practices for implementing internal auditing and monitoring tools, including leading practice action plans

LONG-TERM CARE
103 Highlights of the CMS Final Rule: Reform of Requirements for Long Term Care Facilities—The Impact on Compliance
MONDAY, 11:00 AM – 12:00 PM
Kris D’Ann Maples, Counsel/Compliance Director, Hillcrest Health Services
Lyn Bentley, Vice President, Quality & Regulatory Affairs, AHCA
- General overview of Requirements of Participation
- Specifics on requirements of the new requirements as it relates to Compliance & Ethics
- Responsibilities as a compliance professional beyond having a compliance program

104 OCR Enforcement Update
MONDAY, 11:00 AM – 12:00 PM
Iliana Peters, Senior Advisor for HIPAA Compliance and Enforcement, HHS Office for Civil Rights
- Recent important guidance from OCR
- Current trends in HIPAA enforcement, including lessons learned from settlement agreement cases
- Latest statistics on breaches reported to OCR

105 The Best Approach to Design Effective Corrective Action Plans (CAP)
MONDAY, 11:00 AM – 12:00 PM
Deann M. Baker, Sutter Care at Home Compliance Officer, Sutter Health
Christos G. Arvanitis, Compliance Officer, Sutter Health
- Discuss and review the CMS Guidance for Performing Root Cause Analysis (RCA)
- Provide resources tools and techniques
- Review the RCA, CAP and monitoring documentation best practices
### COMPLIANCE LAWYER

**106 Telehealth: Legal and Compliance Issues**

**MONDAY, 11:00 AM – 12:00 PM**

**Nathaniel Lacktman**, Partner, Foley & Lardner LLP  
**Anna Whites**, Attorney, Anna Whites Law Office

- Advances in telemedicine promote good patient care, but the rules when building a multi-state arrangement can be daunting. This course discusses the hot topics compliance professionals should look out for.
- Participants will discuss: coding and billing, interjurisdictional Medicare enrollment, second opinions and licensure, corporate practice of medicine, HIPAA and privacy.
- Q&A will be strongly encouraged. Attendees will receive checklists and handouts. The session will also address telemedicine in mental health and crisis management.

### INTERNAL AUDIT

**108 Leveraging Internal Audit to Improve Quality of Care Metrics**

**MONDAY, 11:00 AM – 12:00 PM**

**Shawn A. Stevison**, Manager, Healthcare Consulting, Dean Dorton

- Identify key Evidence-Based Practices (EBPs) which drive Quality of Care metrics, such as Falls, Restraints, Surgical Never Events, CAUTI and CLABS.
- Provide guidance as to how Internal Audit can be leveraged to assist in identifying effectiveness of EBP implementations.
- Discuss common findings from internal audits of EBPs.

### AUDITING & MONITORING

**107 Elements of a Successful Corporate Integrity Agreement**

**MONDAY, 11:00 AM – 12:00 PM**

**Peter Dressel**, Senior Managing Director, FTI Consulting  
**JoAnne Little**, Chief Compliance Officer, LHC Group  
**Susan Gillin**, Deputy Branch Chief, Administrative and Civil Remedies Branch, Office of Counsel to the Inspector General

- LHC Group’s CIA: Lessons Learned.
- Keys to success for Independent Review Organizations.
- Compliance Program development post-CIA.

### ADVANCED DISCUSSION GROUP

**AD1 Social Media Risks**

**MONDAY, 11:00 AM – 12:00 PM**

**Lynda Hilliard**, Healthcare Compliance Professional, Hilliard Consulting

- Hiring concerns.
- HIPAA compliance.
- Responding to healthcare questions.

**AD2 Dealing with Privacy Breaches**

**MONDAY, 11:00 AM – 12:00 PM**

**Darrell Contreras**, Chief Compliance Officer, Millennium Health

- Learn how to assess a violation of the HIPAA Privacy Regulations.
- Understand how to perform a risk assessment for “low probability of compromise”.
- Discuss and assess the factors for determining if a breach is reportable.

### GENERAL COMPLIANCE/HOT TOPICS

**109 Top 10 Things a Compliance Professional Needs to Know About Coding**

**MONDAY, 11:00 AM – 12:00 PM**

**Melissa J. McCarthy**, AVP, Chief Corporate Compliance Officer, Northwell Health

- Learn how to approach Hot Coding Topics for Audit.
- Learn how to “talk the talk” and “walk the walk” about coding issues.
- Learn about the top 10 Coding issues in the industry and how to develop controls within the Compliance Program.

**110 Behavioral Health Compliance: It Doesn’t Need to be a Mystery**

**MONDAY, 11:00 AM – 12:00 PM**

**Michelle Calloway**, Attorney, McGuireWoods  
**Rebekah Stewart**, Chief Ethics and Compliance Officer, Diamond Healthcare

- Identify top risk areas in behavioral health compliance: documentation, medical necessity, supervision, credentials, screening/background checks; privacy of behavioral health and substance abuse treatment records; opioid treatment programs.
- Discuss how changes in the regulatory and operational landscape are impacting behavioral health compliance (e.g., increased utilization of telemedicine and non-physician practitioners).
- Review strategies and mechanisms for auditing behavioral health records to achieve compliance.

**111 The Former Ameritox Whistleblower and the Ameritox Compliance Officer, Together**

**MONDAY, 11:00 AM – 12:00 PM**

**Barb Senter**, Chief Compliance & Ethics Officer, Ameritox  
**Debra Maul**, Former Whistleblower, Ameritox

- Hear how to use the compliance program to deter employees from becoming relators.
- Learn how to go beyond CIA requirements to improve the compliance program and company culture as well as how to sustain it after the CIA ends.
- Understand the importance of Compliance & Human Resources department partnership in enhancing compliance program effectiveness.

### GENERAL COMPLIANCE/HOT TOPICS

**112 How to Succeed as a Compliance Professional**

**MONDAY, 11:00 AM – 12:00 PM**

**Barb Senter**, Chief Compliance & Ethics Officer, Ameritox

- Know About Coding Professional Needs to Be a Mystery.
- Understand the importance of Compliance & corporate integrity programs.
- Learn how to go beyond CIA requirements to improve the compliance program and company culture.
- Discuss how to approach and “walk the walk” about coding issues.
- Learn about the top 10 Coding issues in the industry and how to develop controls within the Compliance Program.

### ADVANCED DISCUSSION GROUP

**ADVANCED DISCUSSION GROUP**

**AD1 Social Media Risks**

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- Hiring concerns.
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- Learn how to assess a violation of the HIPAA Privacy Regulations.
- Understand how to perform a risk assessment for “low probability of compromise”.
- Discuss and assess the factors for determining if a breach is reportable.
1:30 – 2:30 PM
BREAKOUT SESSIONS

GENERAL COMPLIANCE/HOT TOPICS

201 Compliance Today, Effectiveness Tomorrow: The Necessary Steps to Success
MONDAY, 1:30 – 2:30 PM
Bret S. Bissey, Senior VP Compliance Services, MediTract, Inc.
Kenneth Zeko, Senior Vice President, CHAN Healthcare
Sean R. McKenna, Shareholder, Greenberg Traurig, LLP
- Explore the evolution of the healthcare compliance market over the last 20 years through a first-hand perspective of landmark investigations/settlements, while making preparations for increased risk which will be prevalent in the future
- Learn about significant developments in the compliance marketplace in recent years, including the Yates Memo, updated OIG and DOJ guidance, and Supreme Court FCA rulings; and how to implement leading practices to mitigate against an enforcement action
- Compliance problems are ubiquitous and should be proactively addressed with an effective compliance program. This discussion will focus on the tools to “sell” your program, demonstrate its effectiveness, and protect your entity against increasing risk

202 The OIG’s New CIA Form: How Your Compliance Program Can Benefit
MONDAY, 1:30 – 2:30 PM
Steven W. Ortquist, Managing Director, Aegis Compliance & Ethics Center LLP
Nicole Caucci, Senior Counsel, OIG HHS
- New CIA Provisions: What They (Could) Mean for Your Compliance Program
- Do the New Provisions Enhance Compliance Programs?
- How to Sell the New Provisions Without the Benefit of the CIA “Stick”

LONG-TERM CARE

203 Dealing with a Worthless Services Allegation
MONDAY, 1:30 – 2:30 PM
Michael D. Smith, Chief Compliance Officer, Foundation Health Services, Inc.
Julie B. Mitchell, Attorney, Mitchell Day Law Firm, PLLC
- Factors to Consider in Moving Forward with Settlement vs. Litigation
- Negotiating a Settlement & Quality Care CIA
- Living Life with a Quality Care Monitor

PRIVACY & IT COMPLIANCE

204 Successfully Resolving a Multi-Year OCR Investigation
MONDAY, 1:30 – 2:30 PM
Cliff Baker, Managing Partner, Meditology Services
Adam Greene, Partner, Davis Wright Tremaine, LLP
Karen M. Eastmond, Chief Compliance Officer, CenterLight Health System
- After multiple years of an OCR investigation related to a health plan breach, the investigation was successfully closed in 2016
- The successful conclusion to this investigation is attributed to the health plan’s transparency and responsiveness
- This presentation will summarize the experience and share the organizational, legal and compliance lessons learned from the multi-disciplinary team that responded to the investigation

PHYSICIAN COMPLIANCE

205 Cleaning Up the Low Hanging Fruit to Protect Your Physician Practices
MONDAY, 1:30 – 2:30 PM
Vicki L. Dwyer, Chief Compliance Officer, Valley View Hospital
Nancy C. Kennedy, Chief Compliance & Privacy Officer; Chief Operations Officer, Galichia Medical Group
- Learn about the “low hanging fruit” in most physician practices that can open the door to potential fraud and abuse
- This session will provide overviews of high risk areas for physician practices such as “Incident To” billing, Billing 99211, Anti-coagulation Clinics, Physician Supervision of Diagnostic Testing, Use of Locum Tenens, and how you can determine your risks
- Learn the steps to take to effectively minimize the risks to your organization and physicians through simple and effective education, auditing, and refunding processes

COMPLIANCE LAWYER

206 “Random” is Not Necessarily “Valid”: Managing and Defending Against Statistics in Audits and FCA Claims
MONDAY, 1:30 – 2:30 PM
Tracy M. Field, Partner, Parker Hudson Rainer & Dobbs
Sandra Miller, Attorney, Womble Carlyle Sandridge & Rice LLP
- With the recent increases in government investigations and FCA cases, understanding the weaknesses and defenses available to counter overpayment and false claims is essential to protecting providers against these allegations
- Learn to identify flawed statistical extrapolations and flawed assumptions
- Learn practical suggestions for defending audit overpayments and false claim allegations based on statistical extrapolation
Learn how to defend yourself against CMS
Create your own samples for conducting self-disclosure and internal audits
Get a solid understanding of the different types of sampling techniques available and which work best under different circumstances
Create your own samples for conducting self-disclosure and internal audits
Learn how to defend yourself against CMS and private payer audits that use poor sampling techniques

Why do this work? Internal drug diversion in healthcare is on the rise and regulatory agencies are taking action. This has been exhibited by the Massachusetts General Hospital DEA settlement in 2015. We will highlight key learnings from their action plan.

Attendees will walk through a Diversion Risk Round using the monitoring tool which provides baseline information to clinical leaders on the security of medication storage, dispensing, wasting, and inventory management.

Attendees will hear specific examples of issues found during the Risk rounds and the improvements made as a result. We will share our learnings and provide a detailed plan that could be applied at your organization.

What are the top 10 COI disclosures? Does this vary based on the bed size or academic and research intensity of an organization? Does the for-profit vs. not-for-profit status of an institution influence the types and numbers of disclosures made?

Using publicly available and proprietary databases containing millions of disclosures, the speakers will provide insight into how compliance professionals can use data to gain useful insights and to identify and reduce COI risk at their institutions.

Increased awareness of electronic health record capabilities has resulted in an exponential increase in the legal demands for the production of audit logs for use in litigation.

What are a covered entities obligations under HIPAA to retain these audit logs?

Are audit logs an accurate source of information on which to base clinical activity for litigation purposes?

Discuss the primary differences between the roles of risk and compliance

Identify the key areas where risk and compliance can gain synergies in their functional activities, e.g.: risk assessments, planning, training, communications, auditing and monitoring, etc.

Discuss metrics for identifying return on investment for this partnership

Come prepared to share your most effective compliance tools.
### ADVANCED DISCUSSION GROUP

**AD5 Pointers and Pitfalls in Managing Work-Life Balance, Stress, and Burnout in the Compliance Profession**

**MONDAY, 1:30 – 2:30 PM**

**Shawn DeGroot**, Global Business Process Management Compliance Officer, Navigant Cymetrix

- To bring compliance professionals together for a professional exchange of common stress points
- Discuss the personal impact of the electronic communication
- Learn from each other’s knowledge and experience

### GENERAL COMPLIANCE/HOT TOPICS

**302 The Blame Game: Accountability in Healthcare Compliance**

**MONDAY, 3:00 – 4:00 PM**

**Rick Kam**, President & Co-Founder, ID Experts

- Learn best practices about how to best manage your business associate and examine what a business associate agreement should entail
- Learn adequate data safeguards and security policies and procedures in order to respond effectively to a data breach
- Learn what your organization can do to be prepared for a security incident

### LONG-TERM CARE

**303 Making the Most of a CIA**

**MONDAY, 3:00 – 4:00 PM**

**Donna J. Thiel**, Director, ProviderTrust

Laura Ellis, Senior Counsel, OIG HHS

- How to get past the "this isn't fair" phase
- How to make the most of the expertise of the Monitors and the OIG
- Top five tips to implementing a CIA

### PRIVACY & IT COMPLIANCE

**304 Managing the Business Associate Relationship: From Onboarding to Breaches**

**MONDAY, 3:00 – 4:00 PM**

**William J. Roberts**, Associate, Shipman & Goodwin, LLP

Jay Hodes, President, Colington Consulting

- Health care providers and payers have a duty to ensure that the vendors in which they entrust PHI will protect it and use it appropriately—we will discuss business associate onboarding strategies, pitfalls and best practices
- Ensuring ongoing compliance with HIPAA and other privacy laws by your business associates is challenging—we will discuss monitoring your business associates, auditing rights and handling disputes
- Business associates are a leading cause of breaches for health care providers and payers—we will discuss how to best prepare your organization upfront should a breach occur and special considerations for handling a business associate breach

### COMPLIANCE LAWYER

**305 Split Shared/Consulting Services...to Split Share or Consult Is the Question**

**MONDAY, 3:00 – 4:00 PM**

**Nicole S. Huff**, Chief Compliance Officer, St. Luke’s University Health Network

**Andrea J. Riccelli**, Supervisor, Provider Services Compliance, St. Luke’s University Hospital

- Discuss clinical case scenarios to understand the importance of billing accurately for split shared and consulting E/M services
- Review an audit plan used to validate levels of E/M codes
- How to code split/shared or consulting services rendered by providers in the same specialty in an office setting

### PHYSICIAN COMPLIANCE

**306 Advice of Counsel and Good Faith Reliance: Best Practices in a Risky Environment**

**MONDAY, 3:00 – 4:00 PM**

**Kevin G. McAnaney**, Attorney, Law Office of Kevin G McAnaney

**James Sheehan**, Chief, Charities Bureau, NY Attorney General

- The necessary elements for reliance upon that legal advice and the form the advice may take, and the consequences of that form (oral or written, the stated facts and assumptions upon which the advice is based)
- Collateral damage—the individual and organizational consequences of asserting an advice of counsel defense, including waiver of applicable privileges, securities and public reporting, discovery of attorney and client files
- The ethical duties and responsibilities of the attorney when the client asserts an advice of counsel claim, the "oops" problem in depositions, and the doctrine of "selective disclosure"
AUDITING & MONITORING

307 Physician Arrangements: Conducting the Audit & Ensuring a Resolution
MONDAY, 3:00 – 4:00 PM
Juliette Stancil, Regional Compliance Officer, Presence Health
Anne E. Brummell, Regional Compliance Officer, Presence Health

Conduct a mock audit of a physician arrangement by reviewing key areas of focus as defined by the Stark Law
Discuss the role distinctions between the compliance officer and legal counsel when issues are identified
Learn how to effectively report results to get management & legal buy-in

INTERNAL AUDIT

308 Auditing Compliance and Clinical Documentation: Collaboration Is Key!
MONDAY, 3:00 – 4:00 PM
Debbie G. Morgan, Compliance Officer, Clinica Family Health
Justin Wheeler, VP of Clinical Services, Clinica Family Health

Learn how an effective partnership between Compliance, Coding and Clinical leadership can lead to an effective internal auditing and education program
Hear how an Auditing Compliance Committee and a Clinical Documentation Improvement Committee work together to identify trends and develop effective provider education that increases provider engagement and improves accuracy rates
Leave this session with a template for creating an effective internal auditing program that engages providers, EHR trainers, coders and compliance and results in clinical documentation improvements

HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

309 Risk: A Fundamental 4-Letter Word for Compliance Professionals
MONDAY, 3:00 – 4:00 PM
Frank Ruelas, Facility Compliance Professional, St. Joseph’s Hospital and Medical Center/Dignity Health

Understand how to break down risk into its fundamental components so that associated or related mitigating activities are better aligned to reduce the identified risk to an acceptable level
Identify the strengths and weaknesses of using qualitative and quantitative approaches to assessing risk and how they differ and how they are surprisingly similar
Evaluate how other compliance program elements, particularly auditing and monitoring, can provide valuable and meaningful information on how well the identified risks are being managed

GENERAL COMPLIANCE/HOT TOPICS

310 You Don’t Need to Be a Wizard to Solve Today’s Compliance Challenges: Seven Steps to Ensure Your Compliance Program Follows the Yellow Brick Road
MONDAY, 3:00 – 4:00 PM
Karen Bommelje, Senior Manager, Compliance, Simione Healthcare Consultants, LLC
John R. Hamilton, Vice President of Compliance, Kindred Healthcare

Current trends in government enforcement in Home Health and Hospice
Seven elements of an effective compliance program and practical solutions to assist organizations in the implementation of their compliance program
Overview of current Home Health and Hospice CIAs to include DHSS and OIG expectations for compliance programs

ADVANCED DISCUSSION GROUP

AD6 Operational Components of a Compliance Program
MONDAY, 3:00 – 4:00 PM
Alvin Josephs, Senior Director, Risk Assessment and Culture, Tenet Healthcare Corporation
Ryan Whitehill, Manager Ethics & Compliance Training, Tenet Healthcare Corporation

What are the fundamental safeguards and how do we monitor?
Share your approach to annual compliance training
How do you measure compliance program effectiveness?

AD7 Compliance Programs for Provider-owned Health Plans and Alternative Payment Programs
MONDAY, 3:00 – 4:00 PM
Jenny M. O’Brien, Chief Compliance Officer, UnitedHealthcare
Michael Holper, SVP Integrity & Audit Services, Trinity Health

Who are the providers operating Medicare Advantage plans or participating in Medicare’s Accountable Care Organization or other alternative payment programs (e.g. bundled payments)
Compliance Program implications and expectations
Regulatory oversight and areas of audit and enforcement focus

AD8 Beyond the Law: Business Ethics & the Compliance Officer
MONDAY, 3:00 – 4:00 PM
Ryan Meade, Director Regulatory Compliance Studies, Loyola University Chicago School of Law

Examine 4 business ethics scenarios that compliance officers find themselves in
Discuss approaches to examining ethical quandaries
Dialogue on the boundaries and limits to decisions that are legal questions but ethical questions

4:00 – 4:30 PM Networking Break in Exhibit Hall

ADVANCED DISCUSSION GROUP

AD9 Risk: A Fundamental 4-Letter Word for Compliance Professionals
MONDAY, 3:00 – 4:00 PM
Frank Ruelas, Facility Compliance Professional, St. Joseph’s Hospital and Medical Center/Dignity Health

Understand how to break down risk into its fundamental components so that associated or related mitigating activities are better aligned to reduce the identified risk to an acceptable level
Identify the strengths and weaknesses of using qualitative and quantitative approaches to assessing risk and how they differ and how they are surprisingly similar
Evaluate how other compliance program elements, particularly auditing and monitoring, can provide valuable and meaningful information on how well the identified risks are being managed

GENERAL COMPLIANCE/HOT TOPICS

311 Overlapping Surgery Developments
MONDAY, 3:00 – 4:00 PM
Sara Kay Wheeler, Partner, King & Spalding
Alana Sullivan, Chief Compliance Officer, Erlanger Health System

Current trends in government enforcement in Home Health and Hospice
Seven elements of an effective compliance program and practical solutions to assist organizations in the implementation of their compliance program
Overview of current Home Health and Hospice CIAs to include DHSS and OIG expectations for compliance programs

Networking Break in Exhibit Hall
4:30 – 5:30 PM
BREAKOUT SESSIONS

GENERAL COMPLIANCE/HOT TOPICS

401 Down the Rabbit Hole: Compliance Investigations, Corrective Action Planning, and Self-Disclosure
MONDAY, 4:30 – 5:30 PM
Tony Maida, Partner, McDermott Will & Emery
Anne S. Daly, Corporate Compliance Officer, Ann & Robert H. Lurie Children's Hospital of Chicago
- Explore best practices and the roles of Legal, Compliance and outside counsel in conducting internal compliance reviews, corrective action planning, and disclosure decision-making
- Review the analysis for determining whether an overpayment has been received and compliance with the 60 Day Overpayment Rule
- Discuss the benefits and risks of self-disclosure and strategic considerations in deciding where to disclose

402 Congratulations on that New Hospital/Provider Practice Acquisition! Compliance Lessons Learned the Hard Way
MONDAY, 4:30 – 5:30 PM
Donald A. Sinko, Chief Integrity Officer, Cleveland Clinic
Vicki R. Bokar, Senior Director Corporate Compliance, Cleveland Clinic
- Why Compliance and Internal Audit need to be part of the acquisition due diligence team (and what can go wrong if they are not)
- How to prioritize compliance activities in the immediate post-acquisition period
- How integration of an effective compliance program can mitigate acquired compliance risks

LONG-TERM CARE

403 Mitigating Hot Button Risk Areas in Home Health & Hospice
MONDAY, 4:30 – 5:30 PM
Kathryn A. Krenz, Clinical Analyst, Brookdale Senior Living
Kimberly M. Hrehor, Director, TMF Health Quality Institute
- Explore current and potential future risk areas in home health and hospice
- Learn what to look for in your documentation and how to improve it
- Review practical tips/tools such as PEPPER to lower risk and prepare for auditors

PRIVACY & IT COMPLIANCE

404 Mobile Health (mHealth) Applications in a Healthcare Environment
MONDAY, 4:30 – 5:30 PM
Brandon Goulter, Facility Compliance Professional, Dignity Health
Steven R. Baruch, Service Area Compliance Director, Dignity Health
- Legal and Privacy implications regarding the expansion of mobile health applications in combination with patient-centric care
- HIPAA and the liability for clinical providers in a world of Smartphones, Tablets, and Smartwatches
- Discussion of the oversight process for health apps, including FDA, FCC, and federal regulations

PHYSICIAN COMPLIANCE

405 Making Compliance Work in Physician Practices
MONDAY, 4:30 – 5:30 PM
Betty Baber-Kinsey, Phys. Practices Compliance Officer, Tenet Healthcare
- How to Effectively Communicate Across Practices
- Training Methods for Practices—It's All About Buy In
- Major Initiatives Impacting Physician Practices

COMPLIANCE LAWYER

406 Federal Administrative Sanctions: Exclusion and Civil Money Penalties
MONDAY, 4:30 – 5:30 PM
Julie Kass, Lawyer, Baker Ober Health Law Group
Lauren Marziani, Senior Counsel, OIG HHS
- OIG's focus on its Affirmative Administrative Remedy Authority
- Navigating CMS' Revocation Authority—it's not the same as exclusion
- The impact of the government administrative remedies on providers

AUDITING & MONITORING

407 Research Risks Assessments: What Must be Considered and Why
MONDAY, 4:30 – 5:30 PM
Sarah Fowler-Dixon, Education Specialist, Human Research Protection Office, Washington University School of Medicine
- Discuss the importance of research risks for compliance officers
- Delve into how research risks affect approval, IRB review, consent, and indemnifications
- Describe how risk can be minimized using preliminary risk assessments

INTERNAL AUDIT

408 Dynamic Board Reports: What Do They Really Want to Know?
MONDAY, 4:30 – 5:30 PM
Ruth Krueger, Enterprise Compliance Program Manager, Sanford Health
Cindy J. Matson, VP Health Services Compliance, Sanford Health
- Board Expectations
- Key Metrics to share
- Program risk and growth needs
**MONDAY**

**How to Succeed as a Compliance Professional**

409 How to Get More LinkedIn Views than Roy: Practical Tips for Improving Your LinkedIn Profile & Getting Employers to Seek You Out

**Monday, 4:30 – 5:30 PM**

Brenda K. Manning, JD, CHC, CHPC, Privacy & Regulatory Affairs Director, University of Minnesota Physicians

- Learn valuable tips on how to make yourself stand out in a sea of over 400 million users
- Find out about common mistakes users make with their profiles
- Learn how to attract employers, network and stop wasting time with the online job application black hole

**GENERAL COMPLIANCE/HOT TOPICS**

410 Medicare FDRs and Compliance Programs: What the Feds Expect and Tips for Ensuring Your Organization Satisfies the Requirements

**Monday, 4:30 – 5:30 PM**

Heather L. Fields, Shareholder, Chair - Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c.

Catherine M. Boerner, President, Boerner Consulting LLC

Jenny M. O’Brien, Chief Compliance Officer, UnitedHealthcare

- Understand the current status of Medicare managed care compliance program requirements for “first tier” and “downstream” and “related” entities
- Learn how to effectively achieve compliance
- Gain insights for negotiating compliance program provisions in managed care agreements

**GENERAL COMPLIANCE/HOT TOPICS**

411 The Business of Health Care Fraud Enforcement: A Pragmatic Discussion and Assessment

**Monday, 4:30 – 5:30 PM**

Brian Bewley, Shareholder, Polsinelli PC

Jeffrey Fitzgerald, Shareholder, Polsinelli PC

- Review of noteworthy settlements and enforcement activity from the last two years
- Discussion of significant FCA and health care fraud court decisions
- Trends, implications, and predictions for the future

**Tuesday, March 28**

6:00 – 7:30 AM

**Zumba**

Pre-registration required—space is limited

7:00 AM – 4:00 PM

**Conference Registration**

7:00 – 8:30 AM

**Continental Breakfast in Exhibit Hall**

8:30 – 8:45 AM

**Opening Remarks**

8:45 – 9:30 AM

**GENERAL SESSION:**

Human Trafficking and Modern Slavery: The Next Compliance Challenge

Moderator: Adam Turteltaub, Vice President of Membership Development, Society of Corporate Compliance and Ethics

Margaret J. Hambleton, VP Corporate Compliance, Dignity Health

William Shepherd, Partner, Holland & Knight

9:30 – 10:30 AM

**GENERAL SESSION:**

Wonders of Spaceflight and Its Risks: Lessons from the Space Shuttle Program

Dr. Garrett Reisman, Director of Space Operations, SpaceX

- The challenges and rewards of high risk environments at NASA and in commercial space flight
- How to encourage a culture where people speak up and listen
- Where cultures go wrong and the consequences when they do

10:30 – 11:00 AM

**Networking Break in Exhibit Hall**
What are the challenges and some best practices surrounding appealing and preventing medical necessity denials. What data should you be tracking to meet OIG and CMS metrics for quality and compliance? What additional data will you be required to track if under a CIA. We will review recent CIA's for what the OIG requires.

In this session we will discuss what an effective data dashboard could look like in order to stay as informed as possible on key metrics. In today's data driven world, there is so much that can be tracked, learned and made transparent in order to maintain Why data and metrics matter in an effective compliance program and who are the key stakeholders and how to coordinate data. What data should you be tracking to meet OIG and CMS metrics for quality and compliance. What additional data will you be required to track if under a CIA. We will review recent CIA's for what the OIG requires.

What should be included in a denials management and prevention strategy. The standard appeal processes for medical necessity denials. What are the challenges and some best practices surrounding appealing and preventing medical necessity denials. In this session we will discuss what an effective data dashboard could look like in order to stay as informed as possible on key metrics. In today's data driven world, there is so much that can be tracked, learned and made transparent in order to maintain Why data and metrics matter in an effective compliance program and who are the key stakeholders and how to coordinate data. What data should you be tracking to meet OIG and CMS metrics for quality and compliance. What additional data will you be required to track if under a CIA. We will review recent CIA's for what the OIG requires.

Discuss how to deploy an effective compliance program to ensure medical device security risks can be mitigated to an acceptable level. Identify how healthcare Information Security needs to be adapted in order to address and mitigate the risk of a cyber-attack against medical devices. Identify the current security risks associated to medical devices as a result of our research and ongoing work with federal agencies and medical device manufacturers. Physicians as leaders; identifying opportunities for physicians to engage in compliance program oversight. Physicians as partners; opportunities in the day to day operations of the compliance program. Physicians as champions; leveraging relationships to demonstrate program compliance.
**TUESDAY**

**GENERAL COMPLIANCE/HOT TOPICS**

509 How to Keep Your Head Above Water in a Sea of Change

**TUESDAY, 11:00 AM – 12:00 PM**

Rick Irby, Senior Director, U.S. Ethics and Compliance Health and Wellness Practice Compliance, Walmart Stores, Inc.

JoAnn M. Stevens, Senior Director, U.S. Ethics and Compliance, Health and Wellness Operations Compliance, Walmart Stores, Inc.

- Strategies to identify what types of change your organization is dealing with and how to respond accordingly so you aren’t left wondering “how did I get here?”
- The friends and enemies of a successful Compliance Professional... which do you possess?
- Ever left wondering, now that I am here, what do I do next? We have some best practices that will help you define a path forward.

**ADVANCED DISCUSSION GROUP**

AD4B Program Operational Components: Monitoring and Safeguards

**TUESDAY, 11:00 AM – 12:00 PM**

Jawanna King, Regional Compliance Director, Tenet Healthcare Corporation

Jeff Paul, Regional Compliance Director, Tenet Healthcare

- Discussion of the routine monitoring processes
- Share ideas on how to identify and develop program safeguards
- Come prepared to share your most effective compliance tools

12:00 – 1:00 PM

**Networking Luncheon**

1:00 – 2:00 PM

**BREAKOUT SESSIONS**

**GENERAL COMPLIANCE/HOT TOPICS**

511 Investigative Interviewing: What Researchers Have Found Works and Doesn’t Work

**TUESDAY, 11:00 AM – 12:00 PM**

Michael Johnson, CEO, Clear Law Institute

- In this educational and entertaining session from a former U.S. Department of Justice attorney, you will learn which techniques are effective and which are not
- Learn how to use scientifically validated interviewing techniques proven to obtain more information from witnesses
- Learn advanced interviewing techniques that make it easier to differentiate between truth-tellers and those who are deceptive

**ADVANCED DISCUSSION GROUP**

AD2B Dealing with Privacy Breaches

**TUESDAY, 11:00 AM – 12:00 PM**

Darrell Contreras, Chief Compliance Officer, Millennium Health

- Learn how to assess a violation of the HIPAA Privacy Regulations
- Understand how to perform a risk assessment for “low probability of compromise”
- Discuss and assess the factors for determining if a breach is reportable

**GENERAL COMPLIANCE/HOT TOPICS**

601 Compliance, The C-Suite, and The Board Of Directors: What To Report And How?

**TUESDAY, 1:00 – 2:00 PM**

George Breen, Shareholder, Epstein Becker & Green PC

Lisa Melamed, Vice President of Corporate Compliance and Corporate Compliance Officer, Laser Spine Institute

Karen Glassman, Senior Counsel, Office of Counsel to the IG, DHHS

- Maintaining an effective compliance program in a heightened enforcement climate while still helping to move the business forward
- Effective communication strategies for interacting with Senior Management and the Board
- How to address problem areas, given the increased risk of enforcement—both civil and criminal—and the focus on individual accountability with the leadership team

**ADVANCED DISCUSSION GROUP**

AD3B The Partnership of Risk and Compliance

**TUESDAY, 11:00 AM – 12:00 PM**

Sheryl Vacca, Chief Risk Officer, Providence St Joseph Health

- Discuss the primary differences between the roles of risk and compliance
- Identify the key areas where risk and compliance can gain synergies in their functional activities, e.g.: risk assessments, planning, training, communications, auditing and monitoring, etc.
- Discuss metrics for identifying return on investment for this partnership

**GENERAL COMPLIANCE/HOT TOPICS**

510 Join the JV (Joint Venture) Team! Best Practices for Providers, Payers and Vendors to Align Business Development, Legal Affairs and Corporate Compliance and Control Compliance Risk Before and After a Joint Venture Go-Live

**TUESDAY, 11:00 AM – 12:00 PM**

J. Eric Sandhusen, Compliance Director & Privacy Officer, Northwell Health

- Overview of Different JV Models: JV models to best align the partners and purposes: new enterprises, purchased assets, equity ownership models, and management services agreements, with a brief overview of Stark issues
- Decision-Making and Joint Governance: Managing divergent interests to get buy-in from partners. Define a Code of Conduct, converge policies, and delineate responsibility for implementing and maintaining an effective compliance program
- Auditing, Monitoring and Reporting: Due diligence during development, DRA and OMIG requirements, navigating a Risk Assessment and audit Work Plan, addressing findings, and reporting for independence and accountability
TUESDAY

602 How to Use and Not Abuse MGMA and Other Survey Data in FMV Compliance Programs: Why Flawed Data Usage Leads to Increased Compliance Risk
Timothy Smith, Senior Managing Director, Ankura Consulting Group
Meghan Wong, Assistant Director, Data Solutions, MGMA
TUESDAY, 1:00 – 2:00 PM

- Understand the facts about what the survey data represent and how the data can be used appropriately for FMV.
- What data analytics show about the relationship of compensation to productivity, quality, and compensation stacking.
- Learn to use statistically valid and supportable methods in applying data for FMV physician compensation.

603 Are You Billing the New PT and OT Evaluation Codes Properly?
Shawn M. Halcsik, Corporate Compliance Officer, Encore Rehabilitation
Nancy J. Beckley, President, Nancy Beckley & Associates LLC
TUESDAY, 1:00 – 2:00 PM

- Understand definitions of new PT and OT evaluation codes.
- Learn the components that will determine the level of the evaluation code billed.
- Take away an audit tool to ensure your therapy departments compliance.

604 Study of 1000 Vendor Security Practices
Peter Merrill, Director Information Systems, Dartmouth Hitchcock
Danny Minnaugh, Client Engagement Associate, CORL Technologies
TUESDAY, 1:00 – 2:00 PM

- This presentation will cover the results from the most expansive study conducted to date of the security practices for 1000 vendors that provide products and services to healthcare providers and payers.
- The results of the study includes fascinating statistics about the prevalent gaps in security required to protect PHI.
- The presentation also includes practical case study for proactively mitigating your vendor breach risk.

605 How to Develop Benchmarking Scorecards to Transition to Risk-Based Physician Auditing/Monitoring
Jared Krawczyk, Mathematician, Nektar Analytics
Andrei M. Costantino, VP of Integrity & Compliance, Trinity Health
TUESDAY, 1:00 – 2:00 PM

- Explore and give examples of the critical benchmarking techniques needed to assess the risk of a physician’s coding patterns.
- Demonstrate how to organize the analysis results into one comprehensive physician compliance scorecard.
- Discuss how to apply the benchmarking results to create a proactive risk-based audit plan. (Providing actual example audit plans)

606 Self-Disclosure: Obligations, Options, Outcomes
Frank Sheeder, Partner, Alston & Bird
Tony Maida, Partner, McDermott Will & Emery
David Fuchs, Associate Counsel, Office of Counsel to the IG, U.S. Department of Health and Human Services
TUESDAY, 1:00 – 2:00 PM

- Obligations: A review of the relevant legal requirements and environment, including the 60 Day Rule.
- Options: How to decide whether to disclose and where to disclose.
- Outcomes: What to expect in resolving the disclosure under each option.

607 CMS Provider Network Accuracy: Risk Assessment and Monitoring Strategies for Medicare Advantage Plans
Philip J. Masser, Medicare Compliance Officer, Geisinger Health Plan
TUESDAY, 1:00 – 2:00 PM

- Discussion of CMS communications regarding their concerns and the impact to beneficiaries when network information is incorrect.
- How to develop auditing activities including an assessment to identify potential risk areas for ongoing monitoring.
- How to set up routine monitoring activities and documenting/disseminating results.

608 How to Get Audit-Ready in 7 Steps
Kimberly B. Holmes, Senior Vice President & Counsel, Cyber Insurance, Liability & Emerging Risks, ID Experts
TUESDAY, 1:00 – 2:00 PM

- Learn what it takes to get audit-ready pass an OCR audit with success, and meet compliance requirements.
- Learn why a four-factor risk assessment is one of the most important things you can do to assess your risks, determine your security holes, and make necessary patches.
- Learn how to be proactive and take control of your privacy, security, and compliance practices, including building the right team, gathering the facts, preparing your responses.

609 Building Your Healthcare Compliance Resume
Cristine M. Miller, Partner, Mountjoy Chilton Medley LLP
TUESDAY, 1:00 – 2:00 PM

- Starting to build your healthcare compliance resume can be a daunting task. These tips and suggestions from a seasoned professional will assist you in becoming a well-rounded compliance professional and assist you in setting your career in motion.
- What do you want your career to be? What types of modalities interest you? What types of jobs should you consider as you build your resume and how do you know if they are right? Are there people you can ask? How do you evaluate your progress?
- Learn, learn, learn all you can about your chosen profession. Develop resources, join organizations, attend conferences. Above all, get involved. Develop lifetime relationships. Become the compliance professional you admire.

610 What’s Next in Washington?
Kimberly Brandt, Chief Oversight Counsel, US Senate Finance Committee, Majority Staff
TUESDAY, 1:00 – 2:00 PM

- A look at what is coming down the pipe from regulators.
- The likely impact of the new Administration on healthcare enforcement.
- What Congress is thinking about in terms of healthcare legislation.
How do you measure compliance program effectiveness?

Learn from each other’s knowledge and experience.

Discuss the personal impact of the electronic communication

AD7B Compliance Programs for Provider-owned Health Plans and Alternative Payment Programs

2:00 – 2:30 PM Networking Break

2:30 – 3:30 PM BREAKOUT SESSIONS

701 Helpful Tips for Value Based Payment (VBP) Compliance Programs

3:30 – 4:30 PM

704 Bored with Your Board’s Lack of Interest?

4:30 – 5:30 PM
705 Physician Training on Medical Necessity: What Is Important for Clinical Trials and How Does It Impact Revenue Integrity?
TUESDAY, 2:30 – 3:30 PM
Kelly M. Willenberg, Owner, Kelly Willenberg, LLC
- Create a sustainable monitoring program for PI's
- Auditing and monitoring to help the cause not hinder it
- Leverage expertise and tools to do so

706 Kickback and Stark Law Developments
TUESDAY, 2:30 – 3:30 PM
Katherine Lauer, Partner, Latham & Watkins, LLP
Charles B. Oppenheim, Partner, Hooper Lundy Bookman, PC
Heather Westphal, OIG HHS
- Enforcement trends and other recent developments in kickback and Stark Law
- What changes to expect from the Trump administration
- Practical tips for navigating kickback and Stark Law compliance

707 Risk Assessments and Work Plans: Key Spokes in the Circle of Compliance
TUESDAY, 2:30 – 3:30 PM
AI Josephs, Senior Director, Risk Assessment and Culture, Tenet Healthcare Corporation
Laura Range, Vice President, Deputy Chief Compliance Officer, Tenet Healthcare Corporation
Kate Dunn, Compliance Officer, Tenet Healthcare Corporation
- Why risk assessments are essential
- A simple approach to conducting a Risk assessment
- Compiling and reporting findings

708 Conducting an Internal Compliance Investigation When the Government Claims You Have False Claims
TUESDAY, 2:30 – 3:30 PM
Joan W. Feldman, Partner, Shipman & Goodwin, LLP
- Learn when and how you should conduct an internal investigation; How to get started; Who to interview; Preserving the Attorney Client Privilege; Working with Government Attorneys
- What are the major pitfalls to avoid during internal investigations?
- How the recent U.S. Supreme Court Decision in Escobar will impact internal investigations, the government and qui tam relators

709 Strategies for Professionalism When Tantrums Aren’t an Option
TUESDAY, 2:30 – 3:30 PM
Jay P. Anstine, President, Bluebird Healthlaw Partners, LLC
- Learn strategies for remaining professional under challenging circumstances such as conducting investigations and consulting to defensive leaders
- Learn strategies for communicating professionally with physicians and healthcare leaders
- Learn how to better manage yourself in order to reduce your overall stress

710 Challenges for Academic Medical Centers
TUESDAY, 2:30 – 3:30 PM
Lisa A. Taylor, Director and Chief Compliance Officer, UC Health
R. Brett Short, Chief Compliance Officer, UK HealthCare/University of KY
- Dealing with teaching physicians and residents
- Documentation in the medical record
- How to manage the academic mission—teaching, research and healthcare

711 OIG Panel
TUESDAY, 2:30 – 3:30 PM
Robert Owens, Deputy Inspector General for Management and Policy, OIG HHS
Christi Grimm, Chief of Staff, OIG, Department of Health & Human Services
Gary Cantrell, Deputy Inspector General for Investigations, OIG HHS
Gregory Demske, Chief Counsel to the Inspector General, HHS OIG
Gloria Jarmon, Deputy Inspector General for Audit Services, OIG HHS
Sue Murrin, Deputy Inspector General for Evaluation and Inspections, Office of Evaluation and Inspections, OIG
Don’t miss HCCA’s 11th Annual Volunteer Project

Saturday, March 25, 2017
1:00 – 4:00 PM

About the Volunteer Project
In addition to networking with your peers and listening to expert speakers, attending HCCA’s Compliance Institute gives you the opportunity to help a Washington DC-area charity. Registration is free for this event and provides you with a volunteer t-shirt and snacks. It is a great networking opportunity with fellow conference attendees, speakers, and HCCA board members. Be sure to select the volunteer project option on your registration form.

Volunteers will help prepare and package hygiene and toiletry kits. Volunteers will assist with the sorting of various toiletry items and pack them into kits for local organizations. The project involves standing. Please be sure to wear closed-toe, comfortable shoes.

About Volunteers of America Chesapeake
Volunteers of America Chesapeake, Inc. is a non-profit organization whose mission is to inspire self-reliance, dignity and hope through health and human services.

Through a dedicated and committed team of management, staff and volunteers and guided by our Core Values: Caring, Respect, Faith, Quality and Trust—Volunteers of America Chesapeake helps thousands of people each year throughout Maryland, Virginia and the District of Columbia at more than 30 programs serving:

• Those experiencing homelessness or at risk of becoming homeless
• Individuals with mental illness
• Individuals and families of individuals with intellectual/developmental disabilities
• Those in need of affordable housing
• Those in need of supportive services
• Those recovering from substance abuse addictions
• Those involved in community corrections

For more information about volunteering, contact Beckie Smith at beckie.smith@corporatecompliance.org
Regional Compliance Conferences
January 20 • Atlanta, GA
February 3 • Orlando, FL
February 10 • Portland, OR
February 17 • Dallas, TX
February 23 • 24 • Anchorage, AK
March 3 • St. Louis, MO
March 10 • Washington, DC
March 17 • Charlotte, NC
April 21 • New Orleans, LA
May 5 • Columbus, OH
May 12 • New York City, NY
May 18–19 • San Juan, PR
June 2 • Philadelphia, PA
June 9 • Seattle, WA
June 16 • Orange County, CA
September 8 • Boston, MA
September 15 • Minneapolis, MN
September 25 • Kansas City, MO
September 29 • Indianapolis, IN
October 6 • Pittsburgh, PA
October 12–13 • Honolulu, HI
October 20 • Denver, CO
October 27 • Chicago, IL
November 3 • Louisville, KY
November 10 • Phoenix, AZ
November 17 • Nashville, TN
December 1 • San Francisco, CA
December 8 • Houston, TX

Web Conferences
Explore hot topics in healthcare compliance with instant and up-to-date education from the convenience of your office. HCCA announces new conferences regularly, and prior sessions are available for purchase. Visit hcca-info.org/webconferences to learn more.

National Conferences
Managed Care Compliance Conference
January 29–February 1 • Scottsdale, AZ

Board Audit Committee Compliance Conference
February 27–28 • Scottsdale, AZ

21st Annual Compliance Institute
March 26–29 • National Harbor, MD

Research Compliance Conference
June 4–7 • Baltimore, MD

Clinical Practice Compliance Conference
October 15–17 • Phoenix, AZ

Healthcare Enforcement Compliance Institute
October 29–November 1 • Washington, DC

Basic Compliance Academies
January 23–26 • New York, NY
February 6–9 • Scottsdale, AZ
March 6–9 • New Orleans, LA
April 24–27 • Chicago, IL
May 1–4 • San Francisco, CA
June 19–22 • Las Vegas, NV
July 24–27 • Boston, MA
August 7–10 • New York, NY
August 14–17 • Washington, DC
September 11–14 • Philadelphia, PA
October 2–5 • Nashville, TN
October 23–26 • Scottsdale, AZ
November 13–16 • Orlando, FL
December 4–7 • San Diego, CA

Healthcare Privacy Basic Compliance Academies
March 13–16 • New Orleans, LA
June 19–22 • Las Vegas, NV
October 23–26 • Scottsdale, AZ
November 6–9 • Boston, MA

Research Basic Compliance Academies
March 13–16 • New Orleans, LA
November 6–9 • Boston, MA

NEW
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Learn more at hcca-info.org/events and start planning now.
Wednesday, March 29

7:30 AM – 12:00 PM
Conference Registration

8:00 – 9:45 AM
POST-CONFERENCE BREAKOUT SESSIONS

W1 Decrypting a Ransomware Strategy
WEDNESDAY, 8:00 – 9:45 AM
Rebecca Warren, Partner, Obermayer Rebmann Maxwell & Hippel LLP
Hussein Syed, Chief Information Security Officer, RWJBarnabas Health
Erica Woebse, Attorney, Obermayer Rebmann Maxwell & Hippel LLP

- Phishing emails, unpatched programs, compromised websites, oh my! Learn from RWJBarnabas Health CISO Hussein Syed how to ensure that your organization has the technical safeguards to protect against ransomware attacks and minimize the damage caused by an attack
- Extra! Extra! Get your policies and procedures! Learn about the policies and procedures that are crucial to your organization preparing for and surviving a ransomware attack, including data incident policies, business continuity plans, etc
- You are the weakest link. Goodbye. An employee opening an innocent looking email is the simplest and most common way that a cyberattack begins. Learn how to train your employees about the threat of ransomware

W2 How the “Three Amigos” of a Compliance Program—Compliance Officer, Legal, and Human Resources—Can Work Together to Support and Advance an Effective Compliance Program
WEDNESDAY, 8:00 – 9:45 AM
William K. Wong, Sr. Coding & Compliance Educator/Auditor, Providence Health & Services
Walter E. Johnson, Director of Compliance & Ethics, Kforce Government Solutions
Frank Ruelas, Facility Compliance Professional, St. Joseph’s Hospital and Medical Center/Dignity Health

- Assess and identify how Compliance, Legal, and HR play critical roles in several key elements of a compliance program to include those related to policies and procedures, auditing and monitoring, response and investigation, and enforcement
- Identify and learn how to align the strengths and weaknesses of these three areas so as to optimize their overall, collective contributions to the development of a compliance program.
- Identify risks to mitigate the potential of sub optimization in the level of collaboration among Compliance, Legal, and HR given their respective duties that support an effective compliance program

W3 340B Drug Pricing Self-Disclosures and Repayments: Success Stories and Lessons Learned
WEDNESDAY, 8:00 – 9:45 AM
Karolyn Woo-Miles, Principal, Deloitte & Touche LLP
Emily Cook, Partner, McDermott Will & Emery
Anne S. Daly, Corporate Compliance Officer, Ann & Robert H. Lurie Children’s Hospital of Chicago

- Discuss the mechanics of the 340B self-disclosure requirements and handling of compliance violations in the following areas: duplicate discounts, patient definition (diversion), Group Purchasing Organization (GPO) prohibition, orphan drug exclusion
- Present real life examples and recommendations for dealing with the gray areas in 340B guidance and discusses the ramifications of the proposed mega-guidance. We will also convey leading examples when defining what constitutes a material breach
- Discuss key financial, operational and compliance factors to consider when assessing if a 340B self-disclosure is warranted. Sharing of success stories and lessons learned when working with the drug manufacturers in good-faith on financial remedies

W4 How to Navigate and Survive a Mega Breach
WEDNESDAY, 8:00 – 9:45 AM
Nadia Fahim-Koster, Director, IT Risk Management, Meditology Services
Erin Fleming Dunlap, Shareholder, Polsinelli PC
Regina Verde, Compliance and Privacy Officer, University of Virginia Health System

- Discovery, investigation, data evaluation and remediation
- Notification process and mitigation strategies
- Lessons learned and recommendations for prevention
Compliance-institute.org

**Wednesday, 8:00 – 9:45 AM**

**W5 Lessons Learned: How Recent Enforcement Cases Provide Insight into Effective Compliance Programs for FMV and Commercial Reasonableness**

**WEDNESDAY, 8:00 – 9:45 AM**

**Timothy Smith**, Senior Managing Director, Ankura Consulting Group

**Gregory Anderson**, Partner, Horne LLP

- Learn what FMV and CR issues are driving recent enforcement activity for hospital-physician arrangements and transactions
- Use lessons learned from recent cases to analyze organizational processes, practices, and outcomes and identify high-risk FMV/CR compliance risk areas
- Develop improved organizational structures and processes to manage and reduce real world FMV/CR compliance risk

**COMPLIANCE LAWYER**

**W6 Government Investigations and Compliance Matters: Roadmap for In-House Counsel and Compliance Professionals**

**WEDNESDAY, 8:00 – 9:45 AM**

**Anna Grizzle**, Partner, Bass, Berry & Sims PLC

**Ryan Stumphauzer**, Partner, O’Quinn Stumphauzer & Sloman

**Mahnu Daver**, Partner, Arnold & Porter Kaye Scholer LLP

**W7 Designing a Successful Analytics-Based Hospital Compliance Program and Securing Cross-Department Endorsement**

**WEDNESDAY, 8:00 – 9:45 AM**

**Kate Conklin**, Compliance Officer, UT Southwestern Medical Center

**Trissi Gray**, Assistant Director for Health System Affairs, UT Southwestern Medical Center

- Proactively identify hospital billing audit risk by transitioning from a manual to automated process by leveraging a risk rules engine, reducing costs and increasing efficiencies
- Manage claims at risk through sophisticated algorithms and analytics
- Ensure all departments, especially those beyond compliance, are onboard and see the role that the entire enterprise plays in compliance

**INTERNATIONAL AUDIT**

**W8 Monitoring and Auditing HIPAA Compliance**

**WEDNESDAY, 8:00 – 9:45 AM**

**Donald A. Sinko**, Chief Integrity Officer, Cleveland Clinic

**Vicki R. Bokar**, Senior Director Corporate Compliance, Cleveland Clinic

- Learn how Cleveland Clinic structured its Integrity Office to leverage Internal Audit and Corporate Compliance resources
- Gain insight on how the Clinic developed its approach to monitoring HIPAA compliance, involving both Internal Audit and Corporate Compliance resources
- Learn about tools and processes that can be used to identify and reduce the risk of HIPAA incidences

**HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL**

**W9 STRESS Makes You Distracted, Distraught, Dumb & Dead!**

**WEDNESDAY, 8:00 – 9:45 AM**

**Debi I. Hinson**, Chief Research and Associate Compliance Officer, Columbus Regional Health

**Albert Eaton**, Director of Behavioral Science, Family Medicine Residency, Midtown Medical Center

- How stress affects YOU emotionally, physically & cognitively
- Your stress: Identifying its origins & developmental processes
- Realistically managing stress—it’s not just fixed with a day at the spa

**QUALITY OF CARE**

**W10 Driving Quality of Care Through Culture Change Strategies: Identifying Culture Challenges, Collecting Data to Show Value for Change, and Creating Culture Change by Demonstrating What’s in It for Me?**

**WEDNESDAY, 8:00 – 9:45 AM**

**Jalal Clemens**, Compliance Program Manager, Stanford University

- Designing, collecting, and comparing data statistics and survey results on quality of care to pinpoint stagnation or potential cultural barriers to improving quality of care within your organization
- Understanding the existing culture, individual psychology and creating culture and change champions by leveraging the Centers for Medicare and Medicaid Services (CMS) Quality Strategy, the HHS National Quality Strategy and other guidelines
- Examples and discussions of inexpensive programs, friendly competitions, and other tools that can be used to drive targeted changes in quality of care within organizations

**GENERAL COMPLIANCE/HOT TOPICS**

**W11 Building Your Toolbox to Manage Conflict of Interest: Sunshine, Open Payments, and Investigations**

**WEDNESDAY, 8:00 – 9:45 AM**

**Rebecca M. Scott**, Clinical Research Comp Manager and COI Manager, UK HealthCare

**Andrew H. Hill**, Clinical Research Charge Auditor, UK HealthCare Office of Corporate Compliance

**CJ Wolf**, Senior Compliance Executive, Healthicity

- Explore the key points of the Sunshine Act
- Explain Industry’s approach to “Sunshine” reporting and the Open Payments lifecycle
- Leverage your resources to conduct meaningful investigations when data doesn’t match

9:45 – 10:00 AM

**Networking Break**
10:00 – 11:45 AM
POST-CONFERENCE BREAKOUT SESSIONS

GENERAL COMPLIANCE/HOT TOPICS

W12 Criminal and Civil Enforcement Trends: Focus on Federal Enforcement of Fraud and Abuse Involving Hospice Programs and Opioid Abuse
WEDNESDAY, 10:00 – 11:45 AM
Sean Bosack, Attorney, Godfrey & Kahn, S.C.
Michelle Frazier, SVP Chief Compliance Officer, Aurora Health Care
Stacy Gerber Ward, Partner, von Briesen and Roper
Christine Anusbigian, Specialist Leader, Deloitte & Touche LLP
- During the past year, the Office of Inspector General and Depart of Justice have increased scrutiny of fraud and abuse involving Hospice programs and opioid prescribing patterns. Recent cases illustrate that Hospice programs are fertile ground for fraud
- Learn how civil and criminal enforcement processes are being used to combat the problems associated with Hospice providers caring for non-terminal pts, and involving commonly abused opioids, by identifying high-risk beneficiaries and outlier prescribers
- Areas of the False Claims Act, Medicare Administrative Contractor coverage guidelines, local coverage determinants and Medicare Hospice eligibility will be highlighted to assist attendees in developing and maintaining effective compliance programs

GENERAL COMPLIANCE/HOT TOPICS

W13 Medicare Overpayment 60-Day Rule: What Your Compliance and Auditing Departments Need to Know
WEDNESDAY, 10:00 – 11:45 AM
Tracey M. Nixon, Principal, ROC Healthcare Advisors
Christina A. Hughes, Counsel, Powers Pyles Sutter & Verville, PC
Peter W. Thomas, Principal, Powers Pyles Sutter & Verville
- Review the key legal, operational and technical takeaways from the ACA 60-Day Report and Repay Statute
- Discuss the implications of reasonable diligence—and credible information—as defined in the clarified rule
- Review strategies for proactive compliance activities that will reduce risk of overpayments and limit exposure of provider

GENERAL COMPLIANCE/HOT TOPICS

W14 How to Overcome Growing Pains by Maturing your Compliance Program from the Wonder Years to the Golden Years: Physician-Hospital Arrangements
WEDNESDAY, 10:00 – 11:45 AM
Tynan Olechny, Consulting Principal, PYA
Ross Burris, Shareholder, Polsinelli PC
Valerie G. Rock, Consulting Manager, PYA
- The Wonder Years: Understand common legal and regulatory compliance pitfalls in new and maturing physician-hospital relationships
- Coming of Age: Learn to successfully implement key operational and compliance success factors and instill a culture of compliance post-transaction to ensure long term success
- Gray Zone: Present best practices in handling complex and subjective government guidance to protect your investment, including critical planning steps and key considerations in contract renewals

PRIVACY & IT COMPLIANCE

W15 Privacy Officer Roundtable
WEDNESDAY, 10:00 – 11:45 AM
Adam Greene, Partner, Davis Wright Tremaine, LLP
Marti Arvin, Vice President of Audit Strategy, CynergisTek
- Highly interactive discussion of the most challenging privacy issues facing privacy officers and other privacy staff
- Audience members will select the privacy issues that they would like to discuss, and will have the opportunity to learn from each other’s experience
- Focused on practical solutions to some of the toughest day-to-day privacy challenges

PHYSICIAN COMPLIANCE

W16 Pay for Performance 2017: Meeting New Physician Quality Reporting and Payment Requirements
WEDNESDAY, 10:00 – 11:45 AM
Catherine Gorman-Klug, Director of Quality, Nuance Communications, Inc.
Anthony Oliva, Chief Medical Officer, Nuance Communications, Inc.
- As the healthcare industry shifts away from fee-for-service medicine, physicians will be rated and paid for services based on quality of care and outcomes
- Medical practices must ensure physician quality of care measures are publically reported as part of the PQRS and MACRA
- This session will provide compliance professionals with all the information, strategies and tools needed to comply with the PQRS and MACRA
**WEDNESDAY**

**COMPLIANCE LAWYER**

**W17 Compound Pharmacy Prosecutions: Past Lessons and Future Trends**

**WEDNESDAY, 10:00 – 11:45 AM**

Ryan Stumphauzer, Partner, O’Quinn Stumphauzer & Sloman

Elizabeth D. Shaw, Partner, RezLegal, LLC

Jason Mehta, Assistant United States Attorney, U.S. Attorney’s Office for the Middle District of Florida

- Panelists will discuss some of the most common fact patterns that led to government scrutiny, including medical necessity, Anti-Kickback Statute concerns, verification of prescriptions, and other topics.
- Panel will also include a conversation about parallel proceedings, the perils associated with such investigations, and best practices for defending parallel proceedings.
- Further conversation will focus on future investigative priorities and emerging trends in government investigations.

**AUDITING & MONITORING**

**W18 Effective Auditing Program for Managed Care Plans**

**WEDNESDAY, 10:00 – 11:45 AM**

Nicole S. Huff, Chief Compliance & Privacy Officer, St. Luke’s University Health Network

Deborah M. Johnson, Senior Director Compliance and Internal Audit, Peach State Health Plan

- Discuss impact of CMS annually published protocols on Managed Care Plan Auditing Program
- Review case scenarios to understand how to audit Medicaid managed care plans
- Understand the importance of auditing vendor transactions for compliance

**W19 MIPS, APMS, QRUR, and CMS Data: How Do Your Physicians Compare?**

**WEDNESDAY, 10:00 – 11:45 AM**

D. Scott Jones, VP, Healthcare Compliance and Risk, HPIX Medical Mutual Insurance Company

Michelle Moses, Associate, Marshall, Dennehey, Warner, Coleman & Goggin

- MIPS, APMS, QRUR reports, and CMS data provide a wealth of information that can be used for—or against—your doctors. How do your physicians compare? How can you best use the big data available now?
- Physicians may not be aware of the extensive on-line profile they now have, thanks to CMS. How do you alert your doctors to review—and if needed, challenge published—performance data?
- With the implementation of MIPS and APMS, even more data will be available to the public and for CMS review. How do you help doctors navigate the quality minefield and present the best image and earn the best reimbursement?

**INTERNAL AUDIT**

**W20 Mergers and Acquisitions for Compliance Professionals**

**WEDNESDAY, 10:00 – 11:45 AM**

Sharon Blackwood, Chief Compliance Officer, Palmetto Health Tuomey

Jose A. Tabuena, Compliance and Privacy, PrincipledEdge

Donnessa Vessakosol, Manager, Strategic Value Group, LLC

- Pre-transaction: The compliance perspective of due diligence
- Post-transaction: integrating policies and procedures across a variety of departments
- Auditing and integration of documentation, coding billing operations, and evaluating referral relationships

**HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL**

**W21 Don’t Let Your Quality Program Face the Risk Apocalypse: Practical Approaches to Implementing and Integrating ERM and Compliance with Quality**

**WEDNESDAY, 10:00 – 11:45 AM**

Ron Skillens, SVP, ERM & Chief Compliance Officer, JPS Health Network

Frank Rosinia, Chief Quality Officer, JPS Health Network

- Learn practical approaches of working with physician leadership to identify, integrate, and measure quality risks and metrics in an ERM program
- Discuss effective methods of discussing and reporting ERM from a quality and compliance perspective with senior management and the Board
- Learn and discuss approaches to developing quality risk champions to help drive positive outcomes in your quality program

**GENERAL COMPLIANCE/HOT TOPICS**

**W22 Do You Know What Your Business Associates’ Subcontractors & Vendors are Doing with Your PHI & ePHI?**

**WEDNESDAY, 10:00 – 11:45 AM**

Web Hull, Privacy, Data Protection, & Compliance Advisor, Global Privacy & Compliance Group

- Learn how to identify, assess, audit, & monitor the Subcontractors & Vendors that your Business Associates use. Learn the privacy, security, and compliance requirements regarding your Business Associates’ Subcontractors & Vendors
- Hear effective strategies for gaining cooperation and compliance from your Business Associates regarding their Subcontractors & Vendors. Take home tips, tools, and tactics for managing your Business Associates’ Subcontractors & Vendors
- Address the difficult topics—What if you don’t approve of one of your Business Associates’ Subcontractors or Vendors? What if one of your BAs’ Subcontractors or Vendors has a data breach? How do you terminate a BA’s Subcontractor or Vendor?

**1:00 PM**

**Check-In for CHC, CHPC, and CHRC Certification Exams**

**1:30 – 4:30 PM**

**CHC, CHPC, and CHRC Certification Exams**

Actual exam duration is 120 minutes per the candidate handbooks
Exhibitors

Meet with representatives with solutions for you at the 2017 Compliance Institute

ACFE
ACL Services Ltd
Aegis Compliance & Ethics Center LLP
AHIA
AHIMA
Altegra Health
American Health Lawyers Association
Arizona State University College of Nursing & Health Innovation
Aruvio, Inc.
Aviacode
Barnes & Thornburg
Beacon Healthcare Systems
Berkely Reasearch Group
Bloomberg Law
The Bonadio Group
Bottomline Technologies
Captain Integrity
CARF International
CBIZ KA Consulting Services
Certiphi Screening, Inc.
Champion Healthcare Technologies
Clifton Larson Allen
The Coding Network LLC
Cognetyx Inc
Coker Group
Compliance Wave LLC
ComplianceLine, Inc.
Compliatric
Comply Assistant
Comply Guys
Contract Guardian/Rippe & Kingston
CORL Technologies
Crowe Horwath
CynergisTek
DataFile Technologies
Deloitte
Doctors Management LLC
Drexel University Online
ECRI Institute
EverCheck
FairWarning Inc
Focal Point Data Risk
FTI Consulting
GeBBS Healthcare Solutions
The George Washington University
GHX
GNYHA Ventures Inc
Hayes Mgmt Consulting/MD Audit
HCCS – A HealthStream Company
Health Endeavors
Health Forum
Health Information Associates
HealthCare Appraisers
Healthcare Compliance Recruiting
Healthcare Cost Solutions
Healthcare Resource Group
HealthDOX
Healthicity
HIPAA Solutions
HospitalPortal.net
Huron Consulting Group
Iatric Systems Inc
iContracts
IMA Consulting
INCompliance
Integrity Management Services, Inc.
Intersect Healthcare
Iron Mountain
Kinney Management Services
KIWI-TEK
KPMG
Language Services Associates, Inc
Litmos Healthcare
Lockpath
Loyola University Chicago
School of Law
LTC Integrity
Maize Analytics LLC
MatchWare
MCN Healthcare
MD Ranger Inc
MedeAnalytics
Medelearn
MediTract
MetricStream
Mitchell Hamline School of Law
MRO
NAVEX Global
Nelson Mullins Riley & Scarborough
NetDimensions Healthcare
Ntracts LLC
Nuance Communication Inc.
Osprey Software
Panacea Healthcare Solutions Inc
Physician Advisor On-Call
Pinnacle Healthcare Consulting
Policy Medical
Post Acute Advisors
Precheck
PricewaterhouseCoopers
Protensus
Protiviti
ProviderTrust
RADAR
RDAssociates
Reimbursement Management Consultants
REVEAL/md – Fi-Med Management
Ricoh
SAI Global
SDFI – TeleMedicine LLC
SecurityScorecard
SnapComms
Softek Illuminate, Inc.
STOPit Solutions
Strategic Management Services
Streamline Health
Streamline Verify
Sunstone Consulting
SureCheck USA
TeachPrivacy
United Audit Systems, Inc.
Verify Comply
Visys
Visante
Widener University
Delaware Law School
WinMagic
Wolters Kluwer
YouCompli
Zebu Compliance Solutions
HCCA has contracted group rooms with multiple hotels in National Harbor. Please see below for booking information. All sessions will take place at the Gaylord National Harbor. All hotels are within walking distance of the Gaylord National Harbor with the exception of MGM. HCCA will provide shuttle transportation to/from Gaylord National Harbor/MGM National Harbor Sunday through Wednesday. Details will be announced closer to the conference.

BOOK YOUR HOTEL ONLINE AT COMPLIANCE-INSTITUTE.ORG/HOTEL

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**HOTEL CANCELLATION POLICY:**
If you are staying at MGM National Harbor and you wish to cancel, please do so 48 hours prior to your scheduled arrival to avoid cancellation penalties.

**HOTEL CANCELLATION POLICY:**
If you are staying at Residence Inn National Harbor and you wish to cancel, please do so 48 hours prior to your scheduled arrival to avoid cancellation penalties.

**EARLY DEPARTURE FEE:** An early departure fee of $75.00 will apply if you check-out prior to the confirmed check-out date.

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**HOTEL GUARANTEE POLICY:**
All reservations must be secured with a valid credit card.

**HOTEL CANCELLATION POLICY:**
If you are staying at AC Hotels Marriott National Harbor and you wish to cancel, please do so 48 hours prior to your scheduled arrival to avoid cancellation penalties.

**HOTEL CANCELLATION POLICY:**
If you are staying at The Westin National Harbor and you wish to cancel, please do so 48 hours prior to your scheduled arrival to avoid cancellation penalties.

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**GAYLORD NATIONAL HOTEL & CONVENTION CENTER**
201 Waterfront Street
National Harbor, MD 20745

**SOLD OUT**
Call 877-382-7299 or go online: compliance-institute.org/hotel

The group rate is $279.00 per night for a standard room; rates quoted are for single/double occupancy plus applicable taxes (currently 18%). A daily resort fee of $21.19 plus the current room tax (currently 18%) will be charged per night in addition to the group room rate. This rate is good through Thursday, March 2, or until the block is full. Reserve online: compliance-institute.org/hotel

**MGM NATIONAL HARBOR**
101 MGM National Avenue
National Harbor, MD 20745

The HCCA group rate is $254 per night for single/double occupancy plus applicable taxes (currently 18%). A daily resort fee of $21.19 plus the current room tax (currently 18%) will be charged per night in addition to the group room rate. This rate is good through Thursday, March 2, or until the block is full. Reserve online: compliance-institute.org/hotel

**AC HOTELS MARriott NATIONAL HARBOR**
156 Waterfront Street
National Harbor, MD 20745

**SOLD OUT**
Call 301-749-2299 and reference the Health Care Compliance Association block, or go online: compliance-institute.org/hotel

The group rate is $279.00 per night for a standard room; rates quoted are for single/double occupancy plus tax (currently 18% per room per night and subject to change). The cut-off date to receive this rate is Friday, March 3, 2017.

**Residence Inn National Harbor**
192 Waterfront Street
National Harbor, MD 20745

**SOLD OUT**
Call 1-800-426-7866 and ask for the group name HCCA 21st Annual Compliance Institute or Group Code HCC, or go online: compliance-institute.org/hotel

The group rate is $269.00 per night for a standard room; rates quoted are for single/double occupancy plus tax (currently 18% per room per night and subject to change). If you book the group rate, Complimentary In-Room Internet are included in the group rate. The cut-off date to receive this rate is Friday, March 3, 2017.

**The Westin National Harbor**
171 Waterfront Street
National Harbor, MD 20745

**SOLD OUT**
Call 1-888-627-8104 and reference the HCCA Compliance Institute block, or go online to compliance-institute.org/hotel

The group rate is $279.00 per night for a standard room; rates quoted are for single/double occupancy plus tax (currently 18% per room per night and subject to change). If you book the group rate, Complimentary In-Room Internet are included in the group rate. The cut-off date to receive this rate is Friday, February 24, 2017.

**HOTEL GUARANTEE POLICY:**
All reservations must be secured with a valid credit card.

**HOTEL CANCELLATION POLICY:**
If you are staying at The Hampton Inn & Suites National Harbor and you wish to cancel, please do so 48 hours prior to your scheduled arrival to avoid cancellation penalties.

**PLEASE NOTE:**
Hotel accommodations are not included in your conference registration fee.

Reservation requests received after the listed cut-off dates or after the group blocks are filled (whichever comes first) will be accepted on a space and rate availability basis only.
REGISTRATION
Compliance Institute | March 26–29, 2017 | National Harbor, MD | Gaylord National

YOUR FULL NAME ____________________________
(please type or print)

Sharing your demographic information with HCCA will help us create better networking opportunities for you. Thank you for filling out this brief form.

1 Demographic Information

What is your functional job title? Please select one.

☐ Academic/Professor
☐ Administration
☐ Asst Compliance Officer
☐ Attorney (In-House Counsel)
☐ Attorney (Outside Counsel)
☐ Audit Analyst
☐ Audit Manager/Officer
☐ Billing Manager/Officer
☐ Charger Master
☐ Chief Compliance Officer
☐ CEO/President
☐ Chief Financial Officer
☐ Chief Information Officer
☐ Chief Medical Officer
☐ Chief Operating Officer
☐ Clinical
☐ Coder
☐ Compliance Analyst
☐ Compliance Coordinator
☐ Compliance Director
☐ Compliance Fraud Examiner
☐ Compliance Officer
☐ Compliance Specialist
☐ Consultant
☐ Controller
☐ Ethics Officer
☐ Executive Director
☐ General Counsel
☐ HIM Professional
☐ HIPAA/Privacy Officer
☐ Human Resources
☐ Medical Director
☐ Nurse
☐ Nurse Manager
☐ Patient Safety Officer
☐ Pharmacy Director
☐ Physician
☐ Quality Assurance/Quality of Care
☐ Regulatory Officer
☐ Reimbursement Coordinator
☐ Research Analyst
☐ Risk Manager
☐ Trainer/Educator
☐ Vice President
☐ Other (please list below)

What is your primary healthcare entity?

☐ Academic
☐ Ambulance/Transportation
☐ Behavioral Health
☐ Consulting Firm
☐ Durable Medical Equipment
☐ Government Provider
☐ Health System
☐ Health System/Teaching
☐ Home Care/Hospice
☐ Hospital
☐ Hospital/Teaching
☐ Integrated Delivery System
☐ Integrated Health System
☐ Laboratory
☐ Law Firm
☐ Long-Term Care
☐ Managed Care
☐ Medical Device Manufacturer
☐ Medical/Clinical Research
☐ Nursing
☐ Other Provider of Services/Products to Health Care Entities
☐ Payor/Insurance
☐ Pharmaceutical Manufacturer
☐ Physician Practice
☐ Rehabilitation
☐ Retail Pharmacy
☐ Third-Party Billing
☐ Other (please list below)

List others not listed above:


Please tell us if you are a first-time attendee:
☐ This is my first Compliance Institute

Registration continues on next page (over)
REGISTRATION

Compliance Institute | March 26–29, 2017 | National Harbor, MD | Gaylord National

2 Contact Information

☐ Mr  ☐ Mrs  ☐ Ms  ☐ Dr

Member ID (if applicable)

First name

MI

Last name

Country

State/Province    Zip/Postal code

City/Town

Street address

Job title

Credentials (CHC, CCEP, etc.)

Last name

First name   MI

Member ID (if applicable)

3 Session Selection

SELECT ONE SESSION PER TIME SLOT.

Advanced Discussion Groups will be filled on a first-come, first-served basis. Attendance is limited to the first 50 attendees.

SESSION SELECTION IS NOT AVAILABLE FOR THESE SESSIONS.

Additional costs apply if you select Pre-Conference (Sunday) or Post-Conference (Wednesday) sessions. Please select the appropriate checkboxes when choosing your Registration Options in Step 4.

4 Registration Options

☐ HCCA Members: MONDAY/TUESDAY ........................................ $1,199
☐ Non-Members: MONDAY/TUESDAY ..................................... $1,349
☐ New Membership & Registration: MON/TUE ........................ $1,399
☐ New members only. Dues regularly $295 annually.

☐ Pre-Conference: SUNDAY MORNING .................................. $175
☐ Pre-Conference: SUNDAY AFTERNOON .............................. $175
☐ Post-Conference: WEDNESDAY ....................................... $175
☐ Discount for 5 or more from same org. ................................. ($100)
☐ Discount for 10 or more from same org. ............................... ($150)

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

TOTAL $ ____________________________

5 Payment Options

☐ Check enclosed (payable to HCCA)
☐ Invoice me
☐ I authorize HCCA to charge my credit card (choose card below):

CREDIT CARD:  ☐ American Express  ☐ Discover  ☐ MasterCard  ☐ Visa

Due to PCI Compliance, please do not provide any credit card information via email. You may email this form to helpteam@hcca-info.org (without credit card information) and call HCCA at 888-580-8373 or 952-988-0141 with your credit card information.

Credit card account number

Credit card expiration date

Cardholder’s name

Cardholder’s signature

WAYS TO REGISTER

MAIL Include registration form with check payable to: HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

ONLINE Visit compliance-institute.org

FAX Include billing information and fax to 952-988-0146

EMAIL helpteam@hcca-info.org (do not email credit card details)

QUESTIONS? Call 888-580-8373 or email helpteam@hcca-info.org

By submitting this registration form you agree to the Terms and Conditions as stated on the Details page at right.
Make your hotel reservation now. See page 48 for detailed hotel and conference location information.

Hotel & conference location.
Gaylord National Hotel & Convention Center
201 Waterfront Street
National Harbor, MD 20745
Gaylord phone reservations: 877-382-7299

THE GAYLORD IS SOLD OUT. Please see all other hotel options and make your reservations online now: compliance-institute.org/hotel

You may stay at Gaylord National (the official conference hotel), MGM National Harbor, The Hampton Inn & Suites National Harbor, Residence Inn by Marriott National Harbor, AC Hotels by Marriott, or The Westin National Harbor. All meeting space for the conference is located in the Gaylord National Harbor Convention Center. All hotels are within walking distance of Gaylord National Harbor.

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NOTICE. Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888-580-8373.

Continuing education units. HCCA is in the process of applying for continuing education units. Approved credit totals are listed on page 6 of this brochure and are subject to change. If you have questions, please call 888-580-8373 or email ccb@compliancecertification.org. Visit the Compliance Institute website, compliance-institute.org, for the latest updates.

Prerequisites/advanced preparation. None.

Meals. Continental breakfast and lunch are provided on Monday and Tuesday. Coffee will be served on Sunday and Wednesday.

Conference handouts. Handouts will be available online approximately two weeks prior to the date of the conference. We will also have Internet Cafés available on-site where you can print session handouts. All handouts will also be available on the mobile app.

Special needs/concerns. Prior to your arrival, please call HCCA at 888-580-8373 if you have a special need and require accommodation to participate in the Compliance Institute. See the registration form to indicate any special requests for dietary accommodations you may require.

Dress code. Business casual dress is appropriate for conference attendees.

Recording. No unauthorized audio or video recording of HCCA Conferences is allowed.

TERMS AND CONDITIONS

Registration payment terms. Checks are payable to HCCA. Credit cards accepted include American Express, Discover, MasterCard, or Visa. HCCA will charge your credit card the correct amount should your total be miscalculated.

Tax deductibility. All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

Cancellations/substitutions. You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any HCCA service or product, except The Health Care Compliance Professional’s Manual. If a credit is applied towards an event, the event must take place prior to the credit’s expiration date. If you need to cancel your participation, notification is required by email at helpteam@hcca-info.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

Group discounts
5 or more. $100 discount for each registrant
10 or more. $150 discount for each registrant

Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Agreements & acknowledgments. I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgment freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA plans to take photographs and/or video at the HCCA Compliance Institute and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in the HCCA Compliance Institute, I grant HCCA the right to use my name, photograph, video and biography for such purposes.

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WHO ATTENDS THE INSTITUTE?

Healthcare compliance professionals
Risk managers
Privacy officers and other professionals
Coding and billing specialists
Consultants and attorneys
Healthcare regulators and other government personnel
Nurse managers and executives
Staff educators and trainers

Health information management specialists
Institutional chief information officers
Healthcare senior executives and leaders
Members of the board of trustees of healthcare enterprises
Physicians and other health professionals
Healthcare journalists, researchers, and policy makers