Learn strategies for tackling real-world healthcare compliance issues

Build connections with like-minded compliance professionals

Participate in activities to enhance your professional development

Register by January 7 to save

BOSTON
HCCA’s 23rd Annual Compliance Institute

APRIL 7–10, 2019
HYNES CONVENTION CENTER

compliance-institute.org
Questions? jennifer.parrucci@corporatecompliance.org
About the Compliance Institute

HCCA’s annual Compliance Institute is the most comprehensive conference for today’s healthcare compliance professionals. Learn strategies for tackling issues like quality of care, cyber security, risk management, audits, and the day-to-day challenges of the job. Leading industry experts cover real-world compliance issues, emerging trends, and practical applications. Regardless of your healthcare setting or compliance role, you’ll gain valuable insights into new and emerging risks and expand your network.

Attendees enjoy an array of conference features

- 100+ sessions organized into 14 tracks: choose one topic area or sample from several
- 60+ additional sessions in the pre- and post-conference
- Exhibit Hall with 100+ solution providers
- Professional headshots offered in the Exhibit Hall
- Professional development activities, including Braindates, a more meaningful way to network, and a LinkedIn booth, with experts to help boost your profile and online presence
- Other activities, including the 13th Annual Volunteer Project, First-timer Networking Breakfast, networking receptions, and the Fitness Challenge

Who should attend?

- Healthcare compliance professionals
- Risk managers
- Privacy officers and other professionals
- Coding and billing specialists
- Consultants and attorneys
- Healthcare regulators and other government personnel
- Nurse managers and executives
- Staff educators and trainers
- Health information management specialists
- Institutional chief information officers
- Healthcare senior executives and leaders
- Members of the board of trustees of healthcare enterprises
- Physicians and other health professionals
- Healthcare journalists, researchers, and policy makers

Become certified

Interested in getting certified? Apply to take the optional Certified in Healthcare Compliance (CHC)*, Certified in Healthcare Privacy Compliance (CHPC*), or Certified in Healthcare Research Compliance (CHRC)* exams on the last day of the conference.

A separate application and fee submitted directly to the Compliance Certification Board (CCB)* is required.

Conference benefits

- Learn about current hot topics such as healthcare reform, False Claims Act developments, data protection, hospital physician alignment, compliance effectiveness, and HIPAA privacy/data breaches.
- Understand new and emerging risks to healthcare organizations and develop strategies for addressing them.
- Collaborate and share ideas and solutions with healthcare compliance professionals at all levels and from around the world.
- Gain insights, skills, and tactics to help develop and maintain a more effective compliance program at your organization.
Follow a learning track

To make your selection easier, educational sessions are arranged by area of interest into learning tracks. You can follow one track all the way through or switch between several depending on your needs and interests.

- **Advanced Compliance**
  Have you been in the compliance profession for more than 10 years? Dive deep into compliance topics in these progressive sessions geared toward seasoned professionals.

- **Auditing & Monitoring**
  Auditing and monitoring is key to measuring effectiveness and improvement. Gain the knowledge and tools that you need to read the vital signs of your compliance program.

- **Behavioral Health**
  How do you balance behavior health compliance with quality patient care? Hear recommendations for identifying and mitigating compliance and privacy issues in a behavioral health environment.

- **Case Studies**
  Get an inside look at real-life compliance problems and what organizations have done to find effective solutions—or how they failed to mitigate risk.

- **Compliance Law**
  Learn the legal basis for the healthcare compliance issues you manage, such as False Claim Act developments, fraud and abuse, and Stark Law violations. Sessions are presented by experienced and knowledgeable lawyers from both inside and outside the government.

- **Discussion Groups**
  If you’re looking for a more interactive program, this track is for you. Each session is designed to involve everyone in the room. Join roundtable discussions on popular healthcare compliance issues facilitated by industry experts.

- **General Compliance/Hot Topics**
  Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

- **How to Succeed as a Compliance Professional**
  Our leadership abilities can have a drastic impact on your organization’s compliance program. Develop your skills, increase your value, and improve your effectiveness.

- **Internal Audit**
  Designed to increase awareness of audit opportunities in the healthcare compliance arena and provide tools and techniques to jump-start your auditing and monitoring efforts.

- **Post-Acute Care**
  Learn about best practices, enforcement trends, and other compliance issues pertaining to hospices, home healthcare providers, and skilled nursing care facilities.

- **Privacy & Security**
  Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

- **Quality of Care**
  Compliance officers, doctors, nurses, and other healthcare providers will provide you with the information, tools and processes needed for quality-of-care compliance.

- **Risk**
  Managing risk has become a top priority among healthcare organizations, as well as the government agencies that regulate them. Learn strategies and practical solutions to manage and mitigate organizational risk.

- **Physician Compliance**
  Receive vital healthcare compliance information specifically related to small and large physician practices, academic medical centers, medical research facilities, hospitals, and health systems.

*Discussion Groups are limited to 50 attendees and will be filled on a first-come, first-served basis. Pre-registration is not available for these sessions.*
Interested in becoming certified?

The Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Privacy Compliance (CHPC®), and Certified in Healthcare Research Compliance (CHRC)® exams will be offered at the Compliance Institute on Wednesday, April 10, 2019. A separate application and fee submitted directly to the Compliance Certification Board (CCB)® is required.

Exam check-in: 1:00 PM  
Exam time: 1:15–4:30 PM*

**Individuals must be preapproved to sit for the exams.** To qualify to sit for either of the exams, 20 Compliance Certification Board (CCB)® CEUs and the necessary work experience are required.

Compliance Institute sessions qualify as follows: 1.0 clock hour equals 1.2 CCB CEUs.

Visit compliance-institute.org for more information on how to apply for the CCB certification exams.

Questions?
Email ccb@compliancecertification.org or call +1 952.988.0141 or 888.580.8373.

Exam applications may be accepted on-site depending on exam availability. Please visit CCB certification staff at the HCCA booth for information.

**Approved credit types**
HCCA is in the process of applying for continuing education units. If you have questions, or if you do not see information on your specific accreditation, please contact CCB at ccb@compliancecertification.org.

*Actual exam duration is 120 minutes per the candidate handbook. Time range above includes mandatory exam procedures, proctor instructions, and additionally needed exam check-in time.
Continuing Education Units

HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. Visit HCCA’s website, hcca-info.org, for up-to-date information.

**Compliance Certification Board (CCB)**: CCB has awarded a maximum of 24.0 CEUs for these certifications: Certified in Healthcare Compliance (CHC)*, Certified in Healthcare Compliance-Fellow (CHC-F)*, Certified in Healthcare Privacy Compliance (CHPC)*, Certified in Healthcare Research Compliance (CHRC)*, Certified Compliance & Ethics Professional (CCEP)*, Certified Compliance & Ethics Professional-Fellow (CCEP-F)*, Certified Compliance & Ethics Professional-International (CCEP-I)*.

**Daily Breakdown of Maximum CCB CEUs:**
- **Sunday**: 7.2 CCB CEUs
- **Monday**: 6.0 CCB CEUs
- **Tuesday**: 7.2 CCB CEUs
- **Wednesday**: 3.6 CCB CEUs
- **Total Maximum CCB CEUs**: 24
SATURDAY, APRIL 6

12:00 – 4:00 PM  13th Annual Volunteer Project (pre-registration required)
1:00 – 7:00 PM  Conference Registration

SUNDAY, APRIL 7  |  PRE-CONFERENCE

7:00 AM – 6:00 PM  Conference Registration
7:30 – 8:30 AM  First-timer Networking Breakfast (by invitation)

8:45 – 10:15 AM  BREAKOUT SESSIONS

7:30 – 8:30 am  First-timer Networking Breakfast (by invitation)

Program at a glance

P1 HIPAA Privacy Officer 101 – Randy Lewis, HIPAA Privacy Officer, Orange County Government; Peter Miller, HIPAA Security Officer, Orange County Government
P2 Compliance Challenges for Advanced Practice Providers – Kimberly Huey, President, KGG Coding & Reimbursement Consulting; Sandra Giangreco Brown, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC
P3 False Claims Act Developments Part 1 – John T. Boese, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP; Gary W. Eliland, Partner, King & Spalding LLP; Michael Morse, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP
P4 Leveraging Your Compliance Committee: Practical Approaches to Maximize Your Compliance Committee’s Role, Overall Effectiveness, and Value to the Organization – Judith Marber Fox, Founder and CEO, JF Real Compliance Solutions; Danette Slevinski, Chief Compliance Officer, University Hospital
P5 Auditing & Monitoring for Health Insurers – Natalie Ramello, Vice President Chief Compliance & Risk Officer, CommunityCare
P6 Beyond the Elements: Operationalizing Compliance – Connor Rhoads, VP Corporate Compliance/Privacy Officer, Christian Horizons; Betsy Wade, Corporate Compliance Officer, Signature HealthCARE
P7 Compliance 2.0 – Andi Bosshart, SVP Corp Compliance/Privacy Officer, Community Health Systems; Tigel High, Vice President, Associate General Counsel, Legal, LifePoint Hospitals; Felicia Heimer, Senior Counsel, Office of Counsel To the Inspector General, U.S.
P8 Capture the Big Picture! Design a Risk Assessment Framework that Accurately Depicts Your Risk Landscape – Marcie Swenson, VP, Skyda Consulting; Wade Thornock, Compliance Director, Blue Cross of Idaho
P9 Physician, Quality and Compliance Collaboration for a More Effective Compliance Program – Donald Sinko, Chief Integrity Officer, Cleveland Clinic; Mark Sands, Chairman, Corp Compliance, Cleveland Clinic
P10 Compliance Program Start Up: What Are the Basics Needed for Your Infrastructure? – Debbie Trokious, Senior Managing Director, Ankura Consulting Group; Sheryl Vacco, SVP/Chief Risk Officer, Providence St Joseph Health
P11 Launching Ladies into Senior Leadership – Kristy Grant-Hart, Owner, Spark Compliance Consulting; Jenny O’Brien, Chief Compliance Officer, UnitedHealthcare; Kirsten Liston, Principal, Rethink Compliance

10:15 – 10:45 AM  Break

P12 Incident Response: Best Practices in Breach Management – Rita Bowen, VP Privacy, Compliance and HIM Policy, MRO; Melissa Landry, Assistant Vice President of HIM, Ochsner Health System
P13 Size Does Not Matter: How Any Physician Practice—Small or Large—Spots a Compliance Issue – Ann Bittinger, The Bittinger Law Firm; Tami Horton, Chief Compliance Counsel, Cancer Treatment Centers of America; Lisa Melamed, Vice President of Corporate Compliance and Corpopa, Vision Group Holdings
P14 False Claims Act Developments Part 2 – John T. Boese, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP; Gary W. Eliland, Partner, King & Spalding LLP; Michael Morse, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP
P15 Overcoming Management Pushback to Achieve Compliance – Michael McKeever, Director, Internal Audit, Saint Peter Healthcare System; Bret Bissey, Vice President, Chief Compliance Officer, Gateway Health
P16 The Intricate Journey of Auditing and Monitoring Clinical Contractual Agreements – Catherine Massoud, Compliance and Privacy Manager for External Affairs, University of KY, UK HealthCare; John Allen, Chief Administrative Officer, University of Kentucky, UK HealthCare
P17 Developing Your Hospice Compliance Risk Assessment – William Musick, President, Integrity3D; Cat Armato, Principal, Armato & Assoc; Marsha Lambert, VP, Compliance Resources, LLC
P18 What Big Data Reveals about Compliance Adherence and How to Launch and Sustain a Compliance-Driven Culture Built On Foundational Components that Reward Conformity, Enhance Productivity and Deliver Cost Savings Across an Organization – Amy Andersen, Vice President of Operations, Versys
P19 Increasing Compliance and Reducing Risk Through Information Governance Practices – Ann Meehan, Senior Consultant, Information Governance, Iron Mountain; Andi Bosshart, SVP Corp Compliance/Privacy Officer, Community Health Systems; Lee Ann Chapman, Corporate Compliance Director, Community Health Systems
P20 Physician Compliance and Risk Assessment: A Two-Year, Performance Improvement Continuing Medical Education Model to Improve Quality and Compliance – D. Scott Jones, Chief Compliance Officer, Augusta Health
P21 Compliance Program, Program Integrity, and Fraud, Waste, and Abuse—Where Does It All Fit? A Lesson in Marrying the Compliance Program, Program Integrity, and a Fraud, Waste, and Abuse (FWA) Prevention Program and Making it Work! – Amy Petschauer, Director, UnitedHealthcare Compliance, Program Integrity; Caron Cullen, Healthcare Consultant, Compliance Strategies, Inc
P22 Recent and Emerging Issues Related to Clinical Laboratory Testing and How to Prevent Them – Robert Mazer, Shareholder, Baker Ober Health Law Group; Barbara Senters, Chief Compliance & Ethics Officer, Sonic Healthcare USA

10:30 AM – 12:00 PM  BREAKOUT SESSIONS

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P22 Recent and Emerging Issues Related to Clinical Laboratory Testing and How to Prevent Them – Robert Mazer, Shareholder, Baker Ober Health Law Group; Barbara Senters, Chief Compliance & Ethics Officer, Sonic Healthcare USA

12:00 – 1:30 PM  Lunch (on your own)

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SUNDAY, APRIL 7 | PRE-CONFERENCE

1:30–3:00 PM

BREAKOUT SESSIONS

P23 An Effective Privacy Program Built Through Strategic Vision and Leadership Support – Christopher Terrell, Deputy Chief Compliance Officer & Privacy Officer, Encompass Health; Adam Greene, Partner, Davis Wright Tremaine, LLP; David Behinfar, Chief Privacy Officer, UNC Health Care System; Katherine Georger, Associate Compliance Officer, Duke Health

P24 Student Documentation: The Good, Bad, and Ugly – Brenda Mickow, Revenue Cycle Compliance Officer, Mayo Clinic; Michelle Densley, Director Billing Compliance, University of Utah

P25 Viewing Investigations from a Different Angle: Understanding the Varying Perspectives of Counsel, Compliance Officer and Prosecutor to Improve Your Internal Investigation Process – Heather Fields, Shareholder, Chair - Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c.; Lisa Estrada, Senior Vice President and Chief Compliance Officer, Fresenius Medical Care North America; James Sheehan, Chief, Charities Bureau, NY Attorney General

P26 Integrating Compliance Departments in Mergers – Wendy Trout, Director Corporate Compliance West, WellSpan Health System; Susan Shollenberger, Director Corporate Compliance East, WellSpan Health System


P28 Actively Assess and Audit Your Post-Acute Service Lines – Kathleen Hessler, Director, Compliance, Simione Healthcare Consultants, LLC; Janet Feldkamp, Nurse Attorney, Benesch, Friedlander, Coplan & Aronoff; Stella Hardy, Director of Compliance and Quality, Compassionate Care Hospice; Toni Parkinson, President, Administrative Systems Inc

P29 Smooth Sailing into Joint Venture Compliance: Providers, Payers and Vendors Are Aligning by Forming Joint Ventures, but Rough Seas Await Anyone Not Aware of Important OIG Caveats—Learn Best Practices for Smooth Sailing Into OIG’s Safe Harbors – J. Eric Sandhusen, Corp Compliance Dir & Privacy Officer, Northwell Health

P30 Risk Assessments: Building Your Risk Program, Developing Partnerships, and Mitigating Risk – Richard Golfin III, Compliance Officer, CenCal Health Headquarters; Renee Baine, Compliance Manager, Shriner’s Hospital for Children

P31 Research Compliance for the Hospital Compliance Officer – Katherine Cohen, Research Compliance Director, MedStar Health

P32 Research Compliance Operations vs Conducting a Complex Investigation – Al Josephs; Laura Jarrett, Senior Director Compliance, Children’s Health

P33 Three CIA Provisions that Will Enhance Your Compliance Program – Laura Ellis, Senior Counsel, OIG, U.S. Department of Health and Human Services; Michael Lampert, Partner, Ropes

3:00–3:15 PM Break

3:15–4:45 PM

BREAKOUT SESSIONS

P34 The Art of Conducting Effective HIPAA Privacy Intake and Investigative Interviews – Jessica Saldivar, Regional Director Compliance/Privacy, CHRISTUS Santa Rosa Health System; Melissa Andrews, System Privacy Officer, Trinity Mother Frances Health System

P35 Telehealth Contracting for Compliance Officers: Core Concepts, Best Practices and Tips – Jeremy Sherer, Associate Attorney, Hooper, Lundy & Bookman, PC; Christine Burke Worthen, Vice President Contract Negotiations, Northern Light Health

P36 Whistleblowers: Who Are They, Why Do They Blow the Whistle, and Managing the Risk – Barbara Senters, Chief Compliance & Ethics Officer, Sonic Healthcare USA; Susan Gouinlock, Attorney, Wilbanks & Gouinlock; James Holloway, Shareholder, Baker Donelson

P37 Who’s On First? Applying Learnings from Abbott and Costello to Achieve Healthcare Compliance Effectiveness – Brian Callihan, Director of Special Projects, Sutter Health; Christie Moon, Legal Counsel, Sutter Health

P38 A System-wide Approach to 340B Compliance in a Multi-state Integrated Health System – Cindy Bartlett, VP Corporate Responsibility, Bon Secours; Coley Deal, 340B Program Manager, Bon Secours Health System, Inc.; Scott Ponaman, President, Ponaman Healthcare Consulting; Barbara Straub Williams, Principal, Powers Pyles Sutter & Verville

P39 From Volume to Value in Post Acute Care: Your New Compliance Data Points – Shawn Halcsik, Corporate Compliance Officer, Encore Rehabilitation; Kathryn Krenz, Clinical Analyst, Brookdale Senior Living; Kimberly Hrehor, Director, TMF Health Quality Institute

P40 Compliance Program Operations vs Conducting a Complex Investigation – Al Josephs; Laura Jarrett, Senior Director Compliance, Children’s Health

P41 The Risks and Benefits of Healthcare Consolidation on Innovation and Clinical Research in Health Systems and Hospitals, Conducting Due Diligence and the Compliance Risk Mitigation Strategies to Help You Sleep at Night – Cynthia Hahn, President, Integrated Research Strategy; Emmelyn Kim, AVP, Research Compliance & Privacy Officer, Northwell Health

P42 The Quality-Compliance Collaborative in FOHCs Illustrating the Evolving Model for American Healthcare – Ana Cristina Navarros, Chief Compliance Officer, Shasta Community Health Center; Marya Choudhry, Director of Quality Improvement, Shasta Community Health Center

P43 Examining the Foundational Features of a Patient Protection-Driven Compliance Program – John Benson, CEO, Verisys Corporation

P44 Next Generation Compliance: How Metrics Should Drive Your Compliance and Ethics Program – Daniel Roach, Chief Compliance Officer, Optum 360

4:45–6:30 PM Networking Reception in Exhibit Hall

DISCUSSION GROUPS are filled first-come, first-served. Attendance is limited to the first 50 attendees. Session selection is not available for these sessions.
### Program at a glance

**MONDAY, APRIL 8 | CONFERENCE**

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
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<tbody>
<tr>
<td>7:00 AM – 6:00 PM</td>
<td>Conference Registration</td>
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<tr>
<td>7:00 – 8:30 AM</td>
<td>Continental Breakfast with Exhibitors</td>
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<tr>
<td>8:30 – 8:45 AM</td>
<td>Opening Remarks</td>
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<tr>
<td>8:45 – 9:15 AM</td>
<td>GENERAL SESSION: CMS Update – Kimberly Brandt (invited), Principal Deputy Administrator for Operations, Centers for Medicare &amp; Medicaid Services</td>
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<tr>
<td>9:15 – 9:45 AM</td>
<td>GENERAL SESSION: OIG Update – Joanne Chiedi, Principal Deputy Inspector General, OIG HHS</td>
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<tr>
<td>9:45 – 10:00 AM</td>
<td>Break</td>
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</tbody>
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<thead>
<tr>
<th>10:00 – 11:00 AM</th>
<th>BEAKOUT SESSIONS</th>
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<tbody>
<tr>
<td>101 Office for Civil Rights: HIPAA Update &amp; Enforcement – Linda Sanches, Senior Advisor for Health IT and Privacy Policy, Office for Civil Rights</td>
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<tr>
<td>102 Physician Engagement: How to Develop a Physician Champion Program – Carlos Cruz, SVP, Chief Compliance Officer, Tri-City Healthcare District; Melissa Mitchell, Chief Compliance Officer, Sinai Health System</td>
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<tr>
<td>103 Ask the Stark Law Professionals – Robert Wade, Partner, Barnes &amp; Thornburg LLP; Lester Perling, Partner, Nelson Mullins Broad and Cassel; Daniel Melvin, Partner, McDermott Will &amp; Emery, LLP</td>
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<tr>
<td>104 The Seven Habits of an Effective Compliance and Ethics Professional – Daniel Roach, Chief Compliance Officer, Optum 360</td>
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<tr>
<td>105 Beyond Auditing and Monitoring and Towards Quality Improvement – John Baumann, Associate Vice President for Research Compliance, Indiana University</td>
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<tr>
<td>106 Will CMS Turn Down the Volume? Patient-Driven Payment Model (PDPM) and the Effort to Replace RUGs – Mark Reagan, Hooper, Lundy &amp; Bakman, P.C.; Joseph Greenman, Shareholder, Lane Powell</td>
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<tr>
<td>107 Mergers &amp; Acquisitions During a Time of Healthcare Transformation: Whether Managed Care, Provider, or Ancillary Services—Compliance Professional Considerations to Support Expansion of Your Business Model – Andrea Ekeberg, Compliance Director, UnitedHealthcare; Shirley Qua, Compliance Officer, UnitedHealthcare</td>
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<tr>
<td>108 Surviving the Compliance Storm: Beyond a Risk Assessment—Partnering with the C-Suite to Develop an Enterprise Risk Management System – Steven Sample, Assistant Director, Southern AZ VA Health Care System; Lisa Moore, Compliance and Business Integrity Officer, Southern Arizona VA Health Care System</td>
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<tr>
<td>109 Healthcare Compliance Auditing for Zones of Risk – Debi Weatherford, Executive Director Internal Audit, Piedmont Healthcare; Debra Muscia, SVP, Chief Audit, ERM, Privacy, Security, Ethics &amp; Compliance Officer, Community Medical Centers</td>
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<tr>
<td>110 Conducting a Behavioral Health Risk Assessment – Tim Timmons, Privacy and Security Officer, Greater Oregon Behavioral Health; Todd Jacobson, Corporate Compliance Officer, Greater Oregon Behavioral Health, Inc.</td>
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<tr>
<td>111 Compliance Culture Case Studies – Margaret Scavetta, President, Management Performance Associates; Scott Gima, COO, Management Performance Associates</td>
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<td>112 Due Diligence for Acquisition and Partnerships: What to Consider When Bringing a Small Private Practice Into a Large Health System or Academic Medical Center – Catherine Massoud, Compliance and Privacy Manager for External Affairs, University of KY; UK HealthCare; John Allen, Chief Administrative Officer, University of Kentucky, UK HealthCare; Harry Dadds, Attorney at Law, Stoll, Keenon &amp; Ogden</td>
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<tr>
<td>113 ACO Compliance Program Implementation When You Are Not All In the Same Family – Jennie Henriques, Chief Compliance Officer &amp; Auditor, South Shore Health System; Donna Schneider, Vice President, Corporate Compliance and Internal Audit, Lifespan</td>
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<tr>
<td>114 Blockchain Technology: Move Fast and Break Things Reconsidered – Scott Streibich, Director, Research Compliance Operations, Johns Hopkins University</td>
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<td>115 OIG Compliance Monitoring: Practitioner Integrity Agreements and Small Business CIAs – Adrienne Sheller, Program Analyst, Office of the Inspector General; Nicole Caucci, Deputy Branch Chief, Office of the Inspector General; Cornelia Dorfschmid, Executive Vice President, Strategic Management Services LLC</td>
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| 11:00 – 11:30 AM | Coffee Break with Exhibitors                                           |

**PROGRAM TRACKS**

- **ADVANCED COMPLIANCE**
- **AUDITING & MONITORING**
- **BEHAVIORAL HEALTH**
- **CASE STUDIES**
- **COMPLIANCE LAW**
- **DISCUSSION GROUPS**
- **GENERAL COMPLIANCE/ HOT TOPICS**
- **HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL**
- **INTERNAL AUDIT**
- **PHYSICIAN COMPLIANCE**
- **POST-ACUTE CARE**
- **PRIVACY & SECURITY**
- **QUALITY OF CARE**
- **RISK**

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**11:30 AM – 12:30 PM BREAKOUT SESSIONS**

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<td>208 Risk Assessment Workshop: Are You Assessing All Your Risks? Learn How to Design an All-Encompassing Risk Assessment Framework – Marcie Swenson, VP, Skyda Consulting; Amanda Jex; Wade Thorrack, Compliance Director, Blue Cross of Idaho</td>
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<td>11:45 AM – 12:00 PM</td>
<td>210 Navigating the Changing Regulatory and Enforcement Landscape Relating to Opioids – Anna Grizzle, Partner, Bass, Berry &amp; Sims PLC; Tzegel High, Vice President, Associate General Counsel, Legal, LifePoint Hospitals; Jerry Williamson, Healthcare Consultant</td>
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<tr>
<td>11:45 AM – 12:00 PM</td>
<td>217 Navigating Behavioral Health Risks and Confidentiality Tough Spots – Purvi Shah Khare, Director of Corporate Compliance, Rosecrance Health Network; Judith Jobe, Senior Vice President and Chief Administrative Officer, Rosecrance Health Network; Kelly Epperson, VP, and General Counsel, Rosecrance Health Network</td>
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<tr>
<td>11:45 AM – 12:00 PM</td>
<td>211 A Compliance Case Study from the Trenches with Current and Former DOJ Prosecutors – David Schumacher, Partner, Hooper, Lundy &amp; Bookman, PC; Nathaniel Yeager, Chief, Health Care Fraud Unit, U.S. Attorney’s Office, District of Massachusetts</td>
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<tr>
<td>11:45 AM – 12:00 PM</td>
<td>212 What Do Carnegie Hall and Good Security Incident Response Plans Have in Common: To Get There You Have to Practice, Practice, Practice! – Joseph Dickinson, Partner, Smith Anderson</td>
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<tr>
<td>11:45 AM – 12:00 PM</td>
<td>213 Communicating with Your Audit and Compliance Committee from Both a Compliance Officer’s and Board Member’s Perspective – Jodi Laurence, Attorney, Baker Donelson; Jace Perdomo, SVP, Chief Ethics &amp; Compliance Officer, Miami Children’s Health System</td>
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<td>11:45 AM – 12:00 PM</td>
<td>214 Compliance at the Point of Sale – C. J. Wolf, Senior Compliance Executive, Healthicity; Darryl Rhames, Director of Compliance, University Health System</td>
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<tr>
<td>11:45 AM – 12:00 PM</td>
<td>215 OIG Developments 2019 – Greg Demski, Chief Counsel to the Inspector General, HHS-OIG</td>
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</tbody>
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Program at a glance

TRACKS

- ADVANCED COMPLIANCE
- AUDITING & MONITORING
- BEHAVIORAL HEALTH
- CASE STUDIES
- COMPLIANCE LAW
- DISCUSSION GROUPS
- GENERAL COMPLIANCE/HOT TOPICS
- HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL
- INTERNAL AUDIT
- PHYSICIAN COMPLIANCE
- POST-ACUTE CARE
- PRIVACY & SECURITY
- QUALITY OF CARE
- RISK

DISCUSSION GROUPS are filled first-come, first-served. Attendance is limited to the first 50 attendees. Session selection is not available for these sessions.
TUESDAY, APRIL 9 | CONFERENCE

7:00 AM – 5:00 PM  Conference Registration

7:00 – 8:15 AM  Continental Breakfast with Exhibitors

8:15 – 9:30 AM  Opening Remarks and Awards Presentation

8:30 – 9:30 AM  GENERAL SESSION: Never, Ever Give Up – Diana Nyad, Author, Find a Way, Record-Breaking Endurance Athlete

9:30 – 9:45 AM  Break

9:45 – 10:45 AM  BREAKOUT SESSIONS

10:45 – 11:15 AM  Last Chance to Visit with Exhibitors

10:45 – 11:15 AM  BREAKOUT SESSIONS

11:15 AM – 12:15 PM  BREAKOUT SESSIONS

12:15 – 1:30 PM  Lunch

TRAacks

- Advanced Compliance
- Auditing & Monitoring
- Behavioral Health
- Case Studies
- Compliance Law
- Discussion Groups
- General Compliance / Hot Topics
- How to Succeed as a Compliance Professional
- Internal Audit
- Physician Compliance
- Post-Acute Care
- Privacy & Security
- Quality of Care
- Risk

DISCUSSION GROUPS are filled first-come, first-served. Attendance is limited to the first 50 attendees. Session selection is not available for these sessions.
**TUESDAY, APRIL 9 | CONFERENCE**

**1:30–2:30 PM**

**BREAKOUT SESSIONS**

- **601 HIPAA Privacy and Social Media: How to Create a Culture of Confidentiality** – Carlos Cruz, SVP, Chief Compliance Officer, Tri-City Healthcare District; Melissa Mitchell, Chief Compliance Officer, Sinai Health System
- **602 Can’t We All Just Get Along? Physician Satisfaction and Compliance Are Not Mutually Exclusive In Physician Arrangements** – Gail Pecce, President, Ludi; Kelly Walenda, Sr VP Legal Services and Chief Privacy Officer, Jefferson Health; Eugene McMahon, Senior VP and Chief Medical Officer, Capital Health System Consultants; Daniel Meier, Healthcare Regulatory and Transactional Attorney, Beschied Friedlander Coplan & Aronoff
- **603 Selling and Buying the Brooklyn Bridge: Lessons Learned from M&A Due Diligence** – Regina Gurvich, VP, CCO, OMNI Ophthalmic Management
- **604 Hands On Keys Computer Lab: Computer Tips, Tricks, and Internet Hacks to Make You a More Efficient and Effective Compliance Professional** – Frank Ruelas, Principal, HIPAA College; Rachel Buchanan, Compliance Manager, Oregon Urology Institute
- **605 The Fifth Element of an Effective Compliance Program: Monitoring, Auditing, and Internal Reporting Systems** – Vicki Dwyer, Chief Compliance & Risk Officer, Valley View Hospital; Nancy Kennedy, Compliance Auditor, Oklahoma Heart Hospital Physicians
- **606 Experimental Drugs, Marijuana, and Complementary Medication Use in Long-Term Care Settings: Risks and Best Practices** – Aleah Schutze, Of Counsel, Steptoe & Johnson PLLC; Sarah Potter, Associate General Counsel, BrightSpring Health Services
- **607 When the Patient Is Biased: The Intersection of Compliance, Inclusion, and Culture** – Tobi Tanzer, Vice President, Integrity and Compliance, Chief Compliance Officer, HealthPartners, Inc; Shamayne Braman, Director, Diversity and Inclusion, HealthPartners, Inc
- **608 Real-World Strategies for Identifying, Measuring, and Reporting Risk** – Nikola Todev, Head of Information Security, OnRamp
- **609 Teaming Together: How Compliance Can Work with the Evolving Role of Internal Audit** – Michael Cronin, Managing Director, Deloitte & Touche LLP
- **610 Navigating Privacy Requirements When Integrating Mental Health, Substance Use Disorder and Primary Care Services** – Elizabeth Winchell, Attorney, Health Care Practice Group, Nilon Johnson Lewis PA; Kristine Preston, Corp Compliance Director, Wilder Foundation
- **611 Theranos Case Study: What Went Wrong?** – Cindy Hart, Associate Consultant, Acevedo Consulting, Inc.; Tami Hogan, Manager, Compliance, Quorum Health Resources
- **612 Cultures of Integrity: We Know What They Are and What They Should Look Like, But How Do We Get There?** – Mary Shirley, Senior Director, Ethics and Compliance, Fresenius Medical Care North America
- **613 Telehealth or TeleHELI? Understanding the Complexities of Telehealth Beyond the Initial Set Up** – Tonja Wise, Director of Revenue Cycle Compliance, Kaiser Permanente National Compliance, Ethics and Integrity Office
- **614 The #MeToo Movement: What Compliance Officers Should Know and How to Be Prepared** – Kim Danehower, Corporate Compliance Officer, Baptist Memorial Health Care Corporation
- **615 Patient Incentives or Inducements? Avoiding Pitfalls and Managing Risks** – Catie Heindle, Managing Sr Consultant, Strategic Management Services, LLC; Rita Isnar, Managing Sr Consultant, Strategic Management Services, LLC

**2:30–3:00 PM**

**Break**

**3:00–4:00 PM**

**BREAKOUT SESSIONS**

- **701 Cutting Through the Noise: Determining Whether Your Vendor’s Security Incident Is a Breach** – David Holtzman, VP Compliance Strategies, SynergisTek, Inc.; Thora Johnson, Partner, Venable LLP; Shari Lewson, Chief Information Security Officer, University of Iowa Hospitals and Clinics
- **702 Physician Practice Enforcement Actions: Could You Be Next Year’s News?** – Sarah Couture, Senior Associate, Ankura Consulting Group; Glenn Jarboe, Compliance Manager, University of Kentucky
- **703 Criminal and Civil Liability for Overpayments** – Gabriel Imperato, Managing Partner, Nelson Mullins Broad and Cassel; David Fuchs, Senior Counsel, Office of the Inspector General
- **704 Five Strategies to Create Compliance Allies** – Cindy Matson, Executive Director, Compliance, Sanford Health
- **705 The Compliance Professional’s Approach to Auditing Rehabilitation Services** – Yolanda Dockett, Corporate Compliance Officer, Lorrin Health Services; Holly Hester, SVP, Compliance and Quality, Casamba
- **706 M&A Transactions in Home Health and Hospice: Compliance and Due Diligence—How Do I Get This Right?** – Alan Schabes, Partner, Benesch, Friedlander, Coplan & Aronoff LLP; Shannon Drake, General Counsel, Avenna Healthcare
- **707 Tales from the Trenches: An Inside Look at How Different Organizations Account for and Meet the Challenges of MACRA** – Jackie Robertson-Guthall, Compliance Officer, Advanced Regional Center for Ankle and Foot Care; Shelley Timko, Regulatory Compliance Manager and Privacy Officer, Laser Spine Institute
- **708 Risk Management & Internal Investigations** – Kimyatta McClary, Compliance Investigations Counsel, McKesson Corporation
- **709 Home Health Agency: Audit Strategies and Common Red Flag Findings** – Shawn Stevison, Associate Director, Healthcare Consulting, Deanorton
- **710 Mental Health Parity: Managing Compliance Across Commercial, Medicaid, and Duals Products** – Helaine Fingold, Senior Counsel, Epstein Becker Green
- **711 Experiencing the Unimaginable: A Compliance Case Study of the Mass Shooting in Las Vegas** – Susan M. Pitz, General Counsel, University Medical Center of Southern Nevada; Keith Slade, Privacy Officer, University Medical Center of Southern Nevada; Rani Gill, Compliance Officer, University Medical Center of Southern Nevada
- **712 Health IT Risk Roundtable** – Johan Lidras, President, Eminere Group; Stephanie Crabb, Principal, Immersive; Kate Mullin
- **713 EHR Documentation Risks and Internal and External Reviews** – Kenneth Jenkins, Hospital Compliance Officer, Vanderbilt University Medical Center; Shelly Dunham, University of Louisville Physicians, University of Louisville Hospital and James Graham Brown Cancer Center; Colleen King-Dennis, AJP, Compliance Coding Education, University of Louisville-Physicians
- **714 Statistical Sampling in Healthcare Audits and Investigations** – Michael Holper, SVP Compliance and Audit Services, Trinity Health; Stefan Boedeker, Managing Director, Berkley Research Group, LLC
- **715 Compliance Challenges and Tips for American Indians and Alaskan Natives (AI/AN)** – Andrea Treese Berlin, Senior Counsel, OIG, U.S. Department of Health and Human Services; Dale Robinson, Jr, Compliance Officer, Eastern Band of Cherokee Indians; Casey Stanger-Moore, Colville Tribes Compliance Officer

**4:00–4:15 PM**

**Break**

**4:15–5:15 PM**

**GENERAL SESSION: How I Started as a Federal Prosecutor, Became a Compliance Officer, Ran a Hospital and Became an International Business Leader** – David Orbach, Executive Vice President | UK Managing Director, Optum

**5:15 PM**

Closing Remarks

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## Program at a Glance

### Wednesday, April 10 | Post-Conference

| 7:30 AM–12:00 PM | Conference Registration |

### 8:30–10:00 AM

**BREAKOUT SESSIONS**

1. **W1 Privacy Officer Roundtable Part 1** — Marti Arvin, Vice President of Audit Strategy, SynergisTek, Inc.; Adam Greene, Partner, Davis Wright Tremaine, LLP; Joan Podleski, Chief Privacy Officer, Children’s Health
2. **W2 Compliant Physician Documentation in an Electronic World** — Kimberly Huey, President, KGG Coding & Reimbursement Consulting; Sandra Giangreco Brown, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC
3. **W3 Managed Care Fraud: Enforcement and Compliance** — Megan Tinker, Senior Advisor for Legal Affairs, HHS-OIG
4. **W4 A Paradigm Shift in Persuasive Communication that Will Accelerate Your Advancement** — Chuck Roberts, President & CEO, Performance Management Group, Inc.
5. **W5 How Vendor Oversight Should Lead the Charge for Contracting with a new FDR** — Jennifer Justine Phillips, Sr. Manager Quality & Compliance, Arizona Complete Health; Tammy Sanchez, Manager, Vendor Oversight; Compliance and Internal Auditing
6. **W6 Disaster Planning in Senior Living: HIPAA Still Matters** — Brittany Pope, Director of Compliance, Senior Lifestyle Corporation; Margaret Scavotto, President, Management Performance Associates; Scott Gima, COO, Management Performance Associates
7. **W7 The Intersection of Clinical Quality and Regulatory Requirements in the Conditions of Participation** — Jordan Muhlestein, Compliance & Ethics Director, Intermountain Healthcare; Meghan Flaherty, Compliance/Regulatory Program Manager, Intermountain Healthcare
8. **W8 Cyberbattle: A Practical Demonstration of Hacking and Defending Your Organization** — Lee Painter, Principal, CliftonLarsonAllen LLP; David Anderson, CliftonLarsonAllen LLP
9. **W9 Using 340B Drugs Across the Continuum of Care: How to Provide Quality Service and Stay Compliant** — Michael Glomb, Partner, Feldesman Tucker Leifer Fidell LLP; Sue Veer, CEO, Carolina Health Centers, Inc.
10. **W10 Investigational Device Exemption (IDE) and Humanitarian Device Exemption (HDE) Device Coverage & Billing: Compliance Insights** — John Dortero, Compliance Specialist, UW Medicine Compliance

### 10:00–10:15 AM

**Break**

### 10:15–11:45 AM

**BREAKOUT SESSIONS**

1. **W11 Privacy Officer Roundtable Part 2** — Marti Arvin, Vice President of Audit Strategy, SynergisTek, Inc.; Adam Greene, Partner, Davis Wright Tremaine, LLP; Joan Podleski, Chief Privacy Officer, Children’s Health
2. **W12 Developing Compliant Physician Compensation Arrangements in the Current Enforcement Environment** — Anna Grizzle, Partner, Bass, Berry & Sims PLC
3. **W13 Responding to Government Investigations and Compliance Matters** — Lauren Marziani, Senior Counsel, Office of Counsel, HHS-OIG
4. **W14 The CI Is Over: Now What?** — Frank Ruelas, Principal, HIPAA College
5. **W15 What You Need to Know to Audit and Monitor the Revenue Cycle** — Kelly Nueske, Executive Consultant, Pinnacle Enterprise Risk Consulting Services
6. **W16 Home Health and Hospice: Enforcement Trends and Compliance** — Jennifer Kildea Dewane, Vice President & General Counsel, Great Lakes Caring; Kelly Nueske, Executive Consultant, Pinnacle Enterprise Risk Consulting Services
7. **W17 It’s Time For a Revolution: Assessing the Effectiveness of Your Code of Conduct** — Darrell Contreras, Chief Compliance Officer, Millennium Health; Paul Belton, Vice President Corporate Compliance, Sharp HealthCare
8. **W18 Only Take a Calculated Risk: Empowering Leaders to Make Risk-Informed Decisions with a Modern Enterprise Risk Management Program** — Stephen Mackey, Senior Auditor, Ochsner Health System; Ashley Ferdinand, Compliance Manager, Ochsner Health System
9. **W19 Can We Let Patients Starve Themselves to Death—Even If They Have Dementia—and Can We Get Paid for It? Ethics and Reimbursement at the End of Life** — David Hoffman, Chief Compliance Officer, Caruthage Area Hospital
10. **W20 Assess Your Provider-Based Clinics for Compliance with CFR 413.65: A Comprehensive Approach** — Samantha Karpenko, Manager Corporate Compliance, Multicare Health System; Pali Lipoma, Director, Corp Compliance and Internal Audit, MultiCare Health System

### 1:00 PM

**Check-in for CHC, CHPC, and CHRC Certification Exams**

### 1:15–4:30 PM

**CHC, CHPC, and CHRC Certification Exams** *(actual exam duration is 120 minutes per the candidate handbooks)*
### Saturday, April 6

**12:00–4:00 PM**  
**13th Annual Volunteer Project**  
(pre-registration required)

**1:00–7:00 PM**  
Conference Registration

### Sunday, April 7

**7:00 AM–6:00 PM**  
Conference Registration

**7:30–8:30 AM**  
First-Timer Networking  
Breakfast (by invitation)

**8:45–10:15 AM**  
**PRE-CONFERENCE BREAKOUT SESSIONS**

#### PRIVACY & SECURITY

**P1 HIPAA Privacy Officer 101**  
*Sunday, 8:45–10:15 AM*  
RANDY LEWIS, HIPAA Privacy Officer,  
Orange County Government  
PETER MILLER, HIPAA Security Officer,  
Orange County Government

- Learn how an inexperienced government employee transforms into a HIPAA Privacy Officer  
- See how a Privacy Officer handles the challenges of a local government with over 4,000 staff responsible for being HIPAA-compliant  
- Learn how to forge relationships with your security team

### COMPLIANCE LAW

**P3 False Claims Act Developments Part 1**  
*Sunday, 8:45–10:15 AM*  
JOHN T. BOESE, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP  
GARY W. EILAND, Partner, King & Spalding LLP  
MICHAEL MORSE, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP

- Understand the fundamentals of liability, damages and procedure under the FCA  
- Review critical recent court interpretations of the Supreme Court’s Escobar decision  
- Discuss recent decisions on determining “falsity” in medical necessity cases

### AUDITING & MONITORING

**P4 Leveraging Your Compliance Committee: Practical Approaches to Maximize Your Compliance Committee’s Role, Overall Effectiveness, and Value to the Organization**  
*Sunday, 8:45–10:15 AM*  
JUDITH MARBER FOX, Founder and CEO, JF Real Compliance Solutions  
DANETTE SLEVINSKI, Chief Compliance Officer, University Hospital

- Learn methods to successfully engage senior leadership to actively support the committee’s role, responsibilities and participation to effect real change. Case study and interactive discussion included  
- Discuss best practices and tools through supplemental materials evidencing committee charter, agenda, minutes and other communications maximizing compliance program effectiveness  
- Walk through scenarios and hypothetical situations utilizing committee members to operationalize solutions to tough compliance issues

**P5 Auditing & Monitoring for Health Insurers**  
*Sunday, 8:45–10:15 AM*  
NATALIE RAMELLO, Vice President Chief Compliance & Risk Officer, CommunityCare

- How to develop a work plan for health insurers  
- Audit and monitoring tools for health insurers  
- How grievances should play into developing your work plan
P6 Beyond the Elements: Operationalizing Compliance

Sunday, 8:45–10:15 AM

CONNIE RHOADS, VP Corporate Compliance/Privacy Officer, Christian Horizons
BETSY WADE, Corporate Compliance Officer, Signature HealthCARE

- Considerations and practical advice on operationalizing compliance into multiple lines of business within the same organization
- Identify challenges commonly experienced by organizations attempting to operationalize compliance for both large and small providers
- Provide examples of processes and tools that can assist compliance programs move into operationalization

P7 Compliance 2.0

Sunday, 8:45–10:15 AM

ANDI BOSSHART, SVP Corp Compliance/Privacy Officer, Community Health Systems
TIZGEL HIGH, Vice President, Associate General Counsel, Legal, LifePoint Hospitals
FELICIA HEIMER, Senior Counsel, Office of Counsel To the Inspector General, U.S.

- Discuss the renewed focus on compliance program effectiveness
- Consider recent DOJ and OIG issuances reflecting current perspectives and enhanced expectations
- Explore potential strategies to utilize recent DOJ and OIG effectiveness measures in your compliance program

P8 Capture the Big Picture! Design a Risk Assessment Framework that Accurately Depicts Your Risk Landscape

Sunday, 8:45–10:15 AM

MARCIE SWENSON, VP, Skyda Consulting
WADE THORNOCK, Compliance Director, Blue Cross of Idaho

- Learn how to develop a risk assessment framework with an accurate scope that represents a complete view of your organization’s risk environment
- Understand how to organize your risk assessment and ensure a complete risk profile is incorporated into a dynamic, functional, multi-tiered framework
- Discover risk assessment methodologies and approaches that elicit accurate and comprehensive information, gather critical data, and facilitate successful risk prioritization and mitigation

P9 Physician, Quality and Compliance Collaboration for a More Effective Compliance Program

Sunday, 8:45–10:15 AM

DONALD SINKO, Chief Integrity Officer, Cleveland Clinic
MARK SANDS, Chairman, Corp Compliance, Cleveland Clinic

- Learn how physician participation can improve the effectiveness of your compliance program
- Gain insight on how and where the Compliance and Quality functions can collaborate for mutual benefit
- Learn how to leverage a diverse compliance committee structure for improved compliance and communications

P10 Compliance Program Start Up: What Are the Basics Needed for Your Infrastructure?

Sunday, 8:45–10:15 AM

DEBBIE TROKLUS, Senior Managing Director, Ankura Consulting Group
SHERYL VACCA, SVP/Chief Risk Officer, Providence St Joseph Health

- Describe the fundamental elements of a compliance program
- Identify ways to leverage current resources
- Provide tips on getting organization buy in

P11 Launching Ladies into Senior Leadership

Sunday, 8:45–10:15 AM

KRISTY GRANT-HART, Owner, Spark Compliance Consulting
JENNY O’BRIEN, Chief Compliance Officer, UnitedHealthcare
KIRSTEN LISTON, Principal, Rethink Compliance

- Are you ready to launch into the highest levels of leadership? Joining the C-suite? Being on the Board? Then this seminar is for you
- Learn how to win champions and mentors; use verbal language and body language to up your confidence and likeability quotients; and move up the ladder using accountability and top negotiating skills
- Master lessons taken from female entrepreneurship. Learn why your network is your net worth (and how to grow it strategically) and how to raise the roof on your profile

P12 Incident Response: Best Practices in Breach Management

Sunday, 10:30 AM–12:00 PM

RITA BOWEN, VP Privacy, Compliance and HIM Policy, MRO
MELISSA LANDRY, Assistant Vice President of HIM, Ochsner Health System

- Discuss the current environment including compliance trends, issues, regulatory updates, and statistics related to healthcare breaches. Focus on small versus large breach, primary causes, and potential risks
- Review the need for Covered Entities and Business Associates to develop a sophisticated incident response plan. Discuss the financial and reputational impact of breach, and the importance of effective breach management
- Define best practices to assemble an incident response team, review critical steps in the first 24 hours after a breach, establish communications strategies for patient notification, and develop different approaches for specific incident types
P13 Size Does Not Matter: How Any Physician Practice—Small or Large—Spots a Compliance Issue
Sunday, 10:30 AM–12:00 PM
ANN BITTINGER, The Bittinger Law Firm
TAMI HORTON, Chief Compliance Counsel, Cancer Treatment Centers of America
LISA MELAMED, Vice President of Corporate Compliance and Corpora, Vision Group Holdings
- Compliance leaders in medical practices struggle to tailor compliance plans to their organization’s size. Too big? Too small?
- Just right? Learn how programs should be scaled and effective in practices large and small, including independent practices and physician enterprises that are components of larger organizations.
- Learn seven practical and scalable keystones all practices—regardless of size—need, illustrated by late-breaking compliance enforcement news, including how to involve outside counsel to preserve attorney-client confidentiality.
- See actual language to use in your plan documents to implement a scaled and effective compliance program.

P14 False Claims Act Developments Part 2
Sunday, 10:30 AM–12:00 PM
JOHN T. BOESE, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP
GARY W. EILAND, Partner, King & Spalding LLP
MICHAEL MORSE, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP
- Understand the fundamentals of liability, damages and procedure under the FCA.
- Review critical recent court interpretations of the Supreme Court’s Escobar decision.
- Discuss recent decisions on determining “falsity” in medical necessity cases.

P15 Overcoming Management Pushback to Achieve Compliance
Sunday, 10:30 AM–12:00 PM
MICHAEL MCKEEVER, Director, Internal Audit, Saint Peter Healthcare System
BRET BISSEY, Vice President, Chief Compliance Officer, Gateway Health
- At times there may be pockets of resistance from within the management structure, dampening the message the Compliance Officer needs to deliver to all levels of an organization while adversely affecting your compliance program.
- Leaders can be protective of their own operations, often to the detriment of the compliance program. This silo mentality can increase risk across an entity that could lead to compliance issues, paybacks and reputational harm.
- Learn effective ways to overcome this resistance in a constructive and collaborative manner, possibly turning naysayers into strong proponents of your program and true compliance champions.

P16 The Intricate Journey of Auditing and Monitoring Clinical Contractual Agreements
Sunday, 10:30 AM–12:00 PM
CATHERINE MASOUD, Compliance and Privacy Manager for External Affairs, University of KY, UK HealthCare
JOHN ALLEN, Chief Administrative Officer, University of Kentucky, UK HealthCare
- Identify and outline the plan to determine scope, necessary components to verify obligations are met and methods for reporting results.
- Discuss common goals, areas of compromise and deliverables in order to actively manage clinical contractual agreements.
- Share lessons learned both good and bad both large, small and in-between sized clinical contractual agreements.

P17 Developing Your Hospice Compliance Risk Assessment
Sunday, 10:30 AM–12:00 PM
WILLIAM MUSICK, President, Integriti3D
CAT ARMATO, Principal, Armato & Assoc
MARSHA LAMBERT, VP, Compliance Resources, LLC
- Review sources and latest guidance for hospice risk areas.
- Outline key steps in your risk assessment process—who and what to include.
- Learn important tips to help ensure an effective hospice compliance risk assessment process and avoid common challenges.

P18 What Big Data Reveals about Compliance Adherence and How to Launch and Sustain a Compliance-Driven Culture Built On Foundational Components that Reward Conformity, Enhance Productivity and Deliver Cost Savings Across an Organization
Sunday, 10:30 AM–12:00 PM
AMY ANDERSEN, Vice President of Operations, Verisys
- Attendees will be armed with information and templates to create best-practice compliance workflows that consider all areas of exposure and be able to construct built-in measures.
- Attendees will have access to tools to help identify common pitfalls in compliance policy, education and enforcement; and also obtain a list of the critical data points with a directory for access.
- Attendees will learn techniques to best leverage compliance data and processes within an organization and among all stakeholders creating efficiencies for business impact, a global compliance culture, and central access to real-time data.
P19 Increasing Compliance and Reducing Risk Through Information Governance Practices  
**Sunday, 10:30 AM–12:00 PM**  
ANN MEEHAN, Senior Consultant, Information Governance, Iron Mountain  
ANDI BOSSHART, SVP Corp Compliance/Privacy Officer, Community Health Systems  
LEE ANN CHAPMAN, Corporate Compliance Director, Community Health Systems  
- Compliance is dependent upon the trustworthiness of information. Inadequate data and information inventory reporting, inconsistent retention policy adherence or incomplete content classification can result in policy gaps that increase risk  
- Information governance provides the guardrails around the proper use, access, retention, and protection of patient and business records. However, in order for it to work, you must first get your arms around the data and information that you have  
- IG experts, including the Corporate Compliance and Privacy Officer from one of the largest US healthcare systems, will discuss how elements of IG, including retention policy and content classification, can be leveraged to reduce risk and enable compliance

P20 Physician Compliance and Risk Assessment: A Two-Year, Performance Improvement Continuing Medical Education Model to Improve Quality and Compliance  
**Sunday, 10:30 AM–12:00 PM**  
D. SCOTT JONES, Chief Compliance Officer, Augusta Health  
- Quality, Compliance, and Risk Assessment is effective when driven by motivated healthcare providers. Develop and deliver a high-quality assessment process that rewards providers for improvement  
- The ACCME established the Performance Improvement Continuing Medical Education (PI-CME) process to foster identification of risk and measure quality improvement over time  
- Learn how to combine the goals of PI-CME, quality, risk, and compliance in a patient and provider centric program with long-term buy in and achievement

P21 Compliance Program, Program Integrity, and Fraud, Waste, and Abuse—Where Does It All Fit? A Lesson in Marrying the Compliance Program, Program Integrity, and a Fraud, Waste, and Abuse (FWA) Prevention Program and Making it Work!  
**Sunday, 10:30 AM–12:00 PM**  
AMY PETSCHAUER, Director, UnitedHealthcare Compliance, Program Integrity  
CARON CULLEN, Healthcare Consultant, Compliance Strategies, Inc  
- Develop a collaborative arrangement between Compliance, Program Integrity, and FWA Prevention Program to effectively manage incoming issues  
- Discuss examples of TFWA cases and share how they were identified and resolved, with lessons learned and best practices  
- Recognize the importance of a FWA Risk Assessment. Review similarities and differences from an organizational Risk Assessment and discuss what is so special for FWA that it dictates a separate Risk Assessment

P22 Recent and Emerging Issues Related to Clinical Laboratory Testing and How to Prevent Them  
**Sunday, 1:30–3:00 PM**  
ROBERT MAZER, Shareholder, Baker Ober Health Law Group  
BARBARA SENTERS, Chief Compliance & Ethics Officer, Sonic Healthcare USA  
- Compliance issues related to payment claims, including financial and legal responsibility for medical necessity, legal actions by private payers, and application to toxicology testing, as well as best practices to prevent and detect violations  
- New business arrangements, particularly those related to private payer business, marketing services, pass-through billing, including application of the Eliminating Kickbacks in Recovery Act of 2018  
- Aggressive use of Medicare enrollment regulations, the Clinical Laboratory Improvement Amendments of 1988 (CLIA) and other government authorities to pursue practices and arrangements considered abusive by regulators
**P24 Student Documentation: The Good, Bad, and Ugly**

*Sunday, 1:30–3:00 PM*

BRENDA MICKOW, Revenue Compliance Officer, Mayo Clinic

MICHELLE DENSLEY, Director Billing Compliance, University of Utah

- Learn the latest CMS guidance on the use of student documentation to support services billed by preceptors or teaching physicians
- Hear how two Academic Medical Centers approached designing and implementing the CMS change for student documentation with the use of an EHR
- Identify audit and monitoring options to validate appropriate use of student documentation

**P25 Viewing Investigations from a Different Angle: Understanding the Varying Perspectives of Counsel, Compliance Officer and Prosecutor to Improve Your Internal Investigation Process**

*Sunday, 1:30–3:00 PM*

HEATHER FIELDS, Shareholder, Chair - Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c.

LISA ESTRADA, Senior Vice President and Chief Compliance Officer, Fresenius Medical Care North America

JAMES SHEEHAN, Chief, Charities Bureau, NY Attorney General

- Explore different views of legal counsel, compliance officer & prosecutor as it relates to investigation decision-making through examples and role-play
- Gain practical strategies to address investigation challenges & optimize your organizations ability to achieve the best possible outcome in government investigations or litigation
- Discuss application of DOJ FCPA Corporate Enforcement Policy in healthcare arena may impact investigation focus to address presumption of declination & support requirement of disclosure, cooperation, remediation and disgorgement of ill-gotten gains

**P26 Integrating Compliance Departments in Mergers**

*Sunday, 1:30–3:00 PM*

WENDY TROUT, Director Corporate Compliance West, WellSpan Health System

SUSAN SHOLLENBERGER, Director Corporate Compliance East, WellSpan Health System

- Compliance Department Integration: Delineation of Duties—How to decide who does what and how to make it work
- Pulling It All Together: Working together, compliance policies, compliance education, compliance tools, documentation of compliance efforts, reporting responsibilities
- Highlighting your combined compliance value and compliance effectiveness regularly

**P27 Auditing Clinical Trial Billing: A Real-World Approach**

*Sunday, 1:30–3:00 PM*

WENDY PORTIER, Independent Consultant, Kelly Willenberg and Associates

CYNTHIE LAWSON, Consultant, Self-Employed

- Conduct hands on review of clinical trials related claims and relate the review to the clinical trial billing rules
- Understand how to apply the coverage analysis in a clinical trial billing audit
- Review claims submitted on clinical trials that were denied and understand why

**P28 Actively Assess and Audit Your Post-Acute Service Lines**

*Sunday, 1:30–3:00 PM*

KATHLEEN HESSLER, Director, Compliance, Simione Healthcare Consultants, LLC

JANET FELDKAMP, Nurse Attorney, Benesch, Friedlander, Coplan & Aronoff

STELLA HARDY, Director of Compliance and Quality, Compassionate Care Hospice

TONI PARKINSON, President, Administrative Systems Inc

- Identify the current high-risk areas of compliance for skilled nursing facilities, home health companies and hospice agencies; learn how to incorporate these into an annual risk and effectiveness assessment
- Discuss the development, implementation, and resolutions of monthly, quarterly, and annual audit plans and the differences and importance of internal and external auditing and monitoring plans
- Deliberate the issue of when enough is enough; or, do you audit again and again? And to what end? Avoid large over-payments by creating a methodology for compliance assessments and audits

**P29 Smooth Sailing into Joint Venture Compliance: Providers, Payers and Vendors Are Aligning by Forming Joint Ventures, but Rough Seas Await Anyone Not Aware of Important OIG Caveats—Learn Best Practices for Smooth Sailing Into OIG’s Safe Harbors**

*Sunday, 1:30–3:00 PM*

J. ERIC SANDHUSEN, Corp Compliance Dir & Privacy Ofcr, Northwell Health

MARIA JOSEPH, Regional Corporate Compliance Director & Privacy Officer, Northwell Health

- OIG Safe Harbors, Fraud Alerts and Advisory Bulletins: Know the five “Risk Indicators” and “Suspect Arrangements” that can scuttle your Joint Venture plans
- Clear skies for Compliance: Chart a proper course to avoid improper inducements
- Develop, Implement, and Maintain Your JV Compliance Program: Navigate joint governance and decision-making with wind in your sails
P30 Risk Assessments: Building Your Risk Program, Developing Partnerships, and Mitigating Risk

Sunday, 1:30–3:00 PM

RICHARD GOLFIN III, Compliance Officer, CenCal Health

- Fundamental elements of a risk program and enterprise risk assessment
- Creating buy-in among colleagues and senior leaders
- Risk vs. reward: mitigation through execution and follow-through

P31 Population Health, Quality and Compliance: A Look at the Process

Sunday, 1:30–3:00 PM

DOREEN HERDMAN, Corporate Compliance Manager, Shriners International Headquarters
RENEE BAINE, Compliance Manager, Shriner’s Hospital for Children

- A review of the role of patients and providers in a qualitative compliant population health environment. The relationship of patient and provider is a partnership with shared power and responsibility in decision-making and care management
- Person centered care requires giving patients access to understandable information and decision support tools that help them manage their health and navigate the healthcare delivery system. The result is healthier people and affordable quality care
- The focus on eliminating risk for injury from care, design of standardized procedures and a workforce providing desired clinical outcomes promotes continuous improvement in the healthcare delivery system. The use of these concepts contribute to success

P32 Research Compliance for the Hospital Compliance Officer

Sunday, 10:30 AM–12:00 PM

KATHERINE COHEN, Research Compliance Director, MedStar Health

- Understand the primary focus areas of a research compliance program
- Learn about common compliance scenarios that occur in research
- Explore how deeply the hospital compliance program should dive into research—and when to consider specialized resources

P33 Three CIA Provisions that Will Enhance Your Compliance Program

Sunday, 1:30–3:00 PM

LAURA ELLIS, Senior Counsel, OIG, U.S. Department of Health and Human Services
MICHAEL LAMPERT, Partner, Ropes

- Speakers will discuss several requirements of current CIAs and present their perspectives on why and how compliance officers and entities can use these approaches to strengthen their own compliance programs
- Board oversight, Executive Management Certifications, and Compliance Risk Assessment and Internal Review Programs

3:00–3:15 PM

Break

P34 The Art of Conducting Effective HIPAA Privacy Intake and Investigative Interviews

Sunday, 3:15–4:45 PM

JESSICA SALDIVAR, Regional Director Compliance/Privacy, CHRISTUS Santa Rosa Health System
MELISSA ANDREWS, System Privacy Officer, Trinity Mother Frances Health System

- What information you should gather and review prior to interviewing complainants, witnesses, and parties involved in the privacy incident
- How to effectively conduct privacy intake and investigative interviews, and knowing when to involve Human Resources in the process
- Build your Privacy Interview Toolkit: Resources to locate forensic evidence before and after your interviews, sample interview questionnaire, and pre-interview checklist
**P35** Telehealth Contracting for Compliance Officers: Core Concepts, Best Practices and Tips

**Sunday, 3:15–4:45 PM**

JEREMY SHERER, Associate Attorney, Hooper, Lundy & Bookman, PC

CHRISTINE BURKE WORTHEN, Vice President Contract Negotiations, Northern Light Health

- Telehealth contracting concepts. Issue-spot/understand telehealth concepts integral to COs’ work, e.g., originating/distant sites, hub/spoke facilities, credentialing, telehealth modalities, e-prescribing, insurance issues, privacy/security
- Telehealth contracting tips. An overview of essential and common telehealth contracting issues for COs working with provider organizations. Practical tips and strategies intended to help COs ensure clinicians and vendor comply with state, federal law
- Telehealth contracting best practices. Insights for COs, both daily issues and strategy when launching a telehealth program. Speakers will draw on experience working with a range of healthcare provider institutions to help attendees avoid common pitfalls

**P36** Whistleblowers: Who Are They, Why Do They Blow the Whistle, and Managing the Risk

**Sunday, 3:15–4:45 PM**

BARBARA SENTERS, Chief Compliance & Ethics Officer, Sonic Healthcare USA

SUSAN GOUINLOCK, Attorney, Wilbanks & Gouinlock

JAMES HOLLOWAY, Shareholder, Baker Donelson

**P37** Who’s On First? Applying Learnings from Abbott and Costello to Achieve Healthcare Compliance Effectiveness

**Sunday, 3:15–4:45 PM**

BRIAN CALLIHAN, Director of Special Projects, Sutter Health

CHRISTIE MOON, Legal Counsel, Sutter Health

- Focus on the Home Team: Integrating the seven elements of compliance into partnerships with other stakeholders to leverage data and information to keep everyone on the same base path
- Avoiding the Strike Out: Developing collaboration and strategic relationships with multiple internal departments (e.g. Compliance, Audit, HR, etc.) with overlapping responsibilities for compliance, mitigating risk, and privacy and information security
- Hitting the Home Run: Putting everything together to create a scorecard for metrics and goal achievement for reporting to the Board’s Compliance Committee

**P38** A System-wide Approach to 340B Compliance in a Multi-state Integrated Health System

**Sunday, 3:15–4:45 PM**

CINDY BARTLETT, VP Corporate Responsibility, Bon Secours

COLEY DEAL, 340B Program Manager, Bon Secours Health System, Inc.

SCOTT PONAMAN, President, Ponaman Healthcare Consulting

BARBARA STRAUB WILLIAMS, Principal, Powers Pyles Sutter & Verville

- Establishing and maintaining an internal 340B monitoring program. Including a through review of the Bon Secours internal 340B monitoring program
- Review of HRSA expectations for 340B independent audits and scope of work at Bon Secours
- Synopsis of the Independent External Audit Process and how external auditors can assist in addressing audit findings. Specially discuss the role external auditors have played at Bon Secours

**P39** From Volume to Value in Post Acute Care: Your New Compliance Data Points

**Sunday, 3:15–4:45 PM**

SHAWN HALCSIK, Corporate Compliance Officer, Encore Rehabilitation

KATHRYN KRENZ, Clinical Analyst, Brookdale Senior Living

KIMBERLY HREHOR, Director, TMF Health Quality Institute

- Review new payment models for skilled nursing and home health, including the unified PAC model
- Review the quality measures for skilled nursing and home health
- Discuss compliance auditing and monitoring: what are your data points in the new models

**P40** Compliance Program Operations vs Conducting a Complex Investigation

**Sunday, 3:15–4:45 PM**

AL JOSEPHS

LAURA JARRETT, Senior Director Compliance, Children’s Health

- How to keep your balance
- Role of key stakeholders
- Parsing internal vs. external resources
P41  The Risks and Benefits of Healthcare Consolidation on Innovation and Clinical Research in Health Systems and Hospitals, Conducting Due Diligence and the Compliance Risk Mitigation Strategies to Help You Sleep at Night

Sunday, 3:15–4:45 PM

CYNTHIA HAHN, President, Integrated Research Strategy
EMMELYN KIM, AVP, Research Compliance & Privacy Officer, Northwell Health

- Participants in this session will gain an understanding of the market and regulatory environment shaping consolidation of clinical research in hospitals and health systems as well as the business opportunity and compliance risk it represents
- Mergers and Acquisitions of providers into research focused learning health systems or “Academic Health Centers” could represent better health outcomes and reduced costs. This trend presents compliance challenges as systems employ different models
- Participants will be provided with practical guidance and lessons learned when conducting due diligence on research programs with different operating models, including common pitfalls, risk assessment and mitigation strategies specific to research

P42  The Quality-Compliance Collaborative in FQHCs Illustrating the Evolving Model for American Healthcare

Sunday, 3:15–4:45 PM

ANA-CRISTINA NAVARRO, Chief Compliance Officer, Shasta Community Health Center
MARYA CHOUHDHY, Director of Quality Improvement, Shasta Community Health Center

- Understanding the expanding role of FQHCs in the primary care system in the wake of increased federal investment in primary and preventive care under the Affordable Care Act (ACA)
- Understanding the opportunity for increased Quality and Compliance resources and initiatives in this evolving landscape
- Exploring the respective roles and opportunity for collaboration between Quality and Compliance leaders, working with and engaging Operations and Medical Staff, in meeting the requirements under HRSA, the FTCA, the OIG and federal 330 grant requirements

P43  Examining the Foundational Features of a Patient Protection-Driven Compliance Program

Sunday, 3:15–4:45 PM

JOHN BENSON, CEO, Verisys Corporation

- Attendees will be entertained, inspired and awakened by frank and unrehearsed conversation between industry thought leaders on the topic of healthcare compliance and how far it has come as a career track and an important industry segment
- Attendees will gain a better understanding of the way healthcare compliance shapes the way organizations operate and echoes throughout the entire industry
- Attendees will come to discern the margins and overlap between what is required by law, what is outlined by standard setting bodies, and what should be minimally required by corporate ethical bylaws

P44  Next Generation Compliance: How Metrics Should Drive Your Compliance and Ethics Program

Sunday, 3:15–4:45 PM

DANIEL ROACH, Chief Compliance Officer, Optum 360

- The core compliance and ethics challenge: Shaping human behavior
- How metrics and scorecards can focus attention and drive behavior
- Hundreds of compliance and ethics metric ideas addressing healthcare organizations key risks

4:45–6:30 PM
Networking Reception in Exhibit Hall
Monday, April 8

7:00–8:30 AM
Continental Breakfast with Exhibitors

8:30–8:45 AM
Opening Remarks

8:45–9:15 AM
GENERAL SESSION:
CMS Update
KIMBERLY BRANDT (invited), Principal Deputy Administrator for Operations, Centers for Medicare & Medicaid Services

9:15–9:45 AM
GENERAL SESSION:
OIG Update
JOANNE CHIEDI, Principal Deputy Inspector General, OIG HHS

9:45–10:00 AM
Break

10:00–11:00 AM
BREAKOUT SESSIONS

PHYSICIAN COMPLIANCE

102 Physician Engagement: How to Develop a Physician Champion Program
Monday, 10:00–11:00 AM
CARLOS CRUZ, SVP, Chief Compliance Officer, Tri-City Healthcare District
MELISSA MITCHELL, Chief Compliance Officer, Sinai Health System

- Physician engagement with the compliance program is essential to its effectiveness. Physicians who understand the importance of the compliance program are more likely to code/document better and complete required training on time
- Presenters will share experiences of how physician champion programs at their respective organizations led to increased physician engagement and a reduction in physician compliance risks (e.g. increased quality of coding and documentation)
- Presenters will share tactics on how to successfully implement physician champion programs regardless of the size of the organization. This includes the development of a work plan and physician champion job description

103 Ask the Stark Law Professionals
Monday, 10:00–11:00 AM
ROBERT WADE, Partner, Barnes & Thornburg LLP
LESTER PERLING, Partner, Nelson Mullins Broad and Cassel
DANIEL MELVIN, Partner, McDermott Will & Emery, LLP

- General overview of the Stark Law
- Bring your Stark Law questions and the panel will analyze and discuss “real time” potential Stark Law risks
- “Live” answers to your Stark Law operational questions

104 The Seven Habits of an Effective Compliance and Ethics Professional
Monday, 10:00–11:00 AM
DANIEL ROACH, Chief Compliance Officer, Optum 360

- Understanding the compliance and ethics challenge
- Positioning yourself for a successful career as a compliance and ethics professional
- Strategies for enhancing your personal effectiveness

AUDITING & MONITORING

105 Beyond Auditing and Monitoring and Towards Quality Improvement
Monday, 10:00–11:00 AM
JOHN BAUMANN, Associate Vice President for Research Compliance, Indiana University

- Review how audit and monitoring findings can be integrated into a program of quality improvement
- Discuss how institutions are developing quality improvement programs based in part on the analysis of auditing and monitoring findings
- Explore how to develop quality improvement programs based on audit and monitoring findings

PRIVACY & SECURITY

101 Office for Civil Rights: HIPAA Update & Enforcement
Monday, 10:00–11:00 AM
LINDA SANCHES, Senior Advisor for Health IT and Privacy Policy, Office for Civil Rights

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PRIVACY & SECURITY

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LINDA SANCHES, Senior Advisor for Health IT and Privacy Policy, Office for Civil Rights
106 Will CMS Turn Down the Volume? Patient-Driven Payment Model (PDPM) and the Effort to Replace RUGs

Monday, 10:00–11:00 AM

MARK REAGAN, Hooper, Lundy & Bokman, P.C.

JOSEPH GREENMAN, Shareholder, Lane Powell

- Historical overview of SNF PPS payment methodology and the current Resource Utilizations Groups, Version 4 (RUG-IV) for paying SNFs per diem rates for resident services with a review of associated compliance trends. Side-by-side comparison of RUGs with PDPM in an effort to impart understanding of how SNF Medicare payments will change when providing care to residents with varying care needs
- In depth analysis of proposed new PDPM case-mix index components, underlying assessment criteria for each that will affect individual resident reimbursement level determinations, additional resident data sources used by CMS to produce resident reimbursement, and review how reimbursement compliance will change if CMS adopts PDPM in its current form. Update on SNF therapy requirements and the CMS proposed 25% limit of a SNF resident’s therapy minutes by PT, OT, or SLP
- Analysis of the impact of alterations of resident assessments and other elements of PDPM, including new required uses of multiple ICD-10 diagnosis codes on the Minimum Data Set (MDS) patient assessment. Evaluation of how PDPM will impact healthcare fraud and abuse laws such as the false claims act including a look at how MDS section GG will become central to payment scoring structure

107 Mergers & Acquisitions During a Time of Healthcare Transformation: Whether Managed Care, Provider, or Ancillary Services—Compliance Professional Considerations to Support Expansion of Your Business Model

Monday, 10:00–11:00 AM

ANDREA EKEBERG, Compliance Director, UnitedHealthcare

SHIRLEY QUAL, Compliance Officer, UnitedHealthcare

- Due Diligence: How to get Compliance a seat at the table? Scope of review—what to ask for and look for? How to highlight identified compliance risks?
- Integration: Where to start—sign vs. close? How to prioritize—people, process, technology? Who are your partners?
- Case Examples: Lessons learned, business model considerations, sample templates and tools

108 Surviving the Compliance Storm: Beyond a Risk Assessment—Partnering with the C-Suite to Develop an Enterprise Risk Management System

Monday, 10:00–11:00 AM

STEVEN SAMPLE, Assistant Director, Southern AZ VA Health Care System

LISA MOORE, Compliance and Business Integrity Officer, Southern Arizona VA Health Care System

- Develop an approach for identifying, evaluating, responding to, and monitoring risks and opportunities. This method helps you develop a systematic approach to analyze both the internal and external environmental factors impacting your enterprise
- How to partner with clinical stakeholders to identify risks impacting the enterprise and discover ways to develop a risk response strategy and cascade these risks and mitigation strategies throughout the organizational structure with C-Suite buy in
- Demonstrate an Enterprise Risk Management model which includes a risk register, risk assessment tool, sample interview questions and risk project plan. These tools will help illustrate a method to methodology implement a comprehensive enterprise risk plan

109 Healthcare Compliance Auditing for Zones of Risk

Monday, 10:00–11:00 AM

DEBI WEATHERFORD, Executive Director Internal Audit, Piedmont Healthcare

DEBRA MUSCIO, SVP, Chief Audit, ERM, Privacy, Security, Ethics & Compliance Ofcr, Community Medical Centers

- Dive into compliance auditing zones of risk to understand auditing techniques that address risk
- Eliminate confusion by examining zones of risk to define audit focus
- Review best practices and examples of controls to address these zones of risk

110 Conducting a Behavioral Health Risk Assessment

Monday, 10:00–11:00 AM

TIM TIMMONS, Privacy and Security Officer, Greater Oregon Behavioral Health

TODD JACOBSON, Corporate Compliance Officer, Greater Oregon Behavioral Health, Inc.

- How to conduct a compliance risk assessment for behavioral health providers,
- Recommendations for identifying, prioritizing and mitigating behavioral health compliance and privacy risks
- How to ensure that both HIPAA and 42 CFR Part 2 requirements are addressed in the risk assessment

111 Compliance Culture Case Studies

Monday, 10:00–11:00 AM

MARGARET SCAVOTTO, President, Management Performance Associates

SCOTT GIMA, COO, Management Performance Associates

- Federal guidance makes clear that an effective compliance program requires a strong culture to support it. Practical experience also teaches us that culture will make or break a compliance program
- Using court cases and news headlines, we will walk through real-world fact patterns and decisions that shaped both negative and positive cultures, and their impact on compliance
- We will brainstorm steps providers can take to promote a positive culture of compliance, as well as strategies to counteract negative culture forces. Approaches will include board involvement, staff training, accountability and incentives, and more
112 Due Diligence for Acquisition and Partnerships: What to Consider When Bringing a Small Private Practice Into a Large Health System or Academic Medical Center

Monday, 10:00–11:00 AM

CATHERINE MASOUD, Compliance and Privacy Manager for External Affairs, University of KY, UK HealthCare
JOHN ALLEN, Chief Administrative Officer, University of Kentucky, UK HealthCare
HARRY DADDS, Attorney at Law, Stoll, Keenon & Ogden

• Outline and discuss the strategy, analysis and due diligence necessary for determining fit, aligning appropriate resources and implementing a successful transition for acquisition or partnership with another practice
• Discuss common goals, areas of compromise and deliverables in order to navigate logistical hurdles and contractual negotiations
• Share lessons learned and provide practical tips to ensure thorough due diligence from beginning to go-live and thereafter

114 Blockchains Technology: Move Fast and Break Things Reconsidered

Monday, 10:00–11:00 AM

SCOTT STREIBICH, Director, Research Compliance Operations, Johns Hopkins University

• Blockchain technology is attracting investment and interest into solution serving the healthcare industry, yet few professional understand how they function
• Learn how blockchain technology operates and understand the implications for regulatory compliance related to patient information access, privacy and data retention
• Take away a risk analysis framework to share in your organization to educate others involved in blockchain development and adoption before problems arise

115 OIG Compliance Monitoring: Practitioner Integrity Agreements and Small Business CIAs

Monday, 10:00–11:00 AM

ADRIENNE SHELFER, Program Analyst, Office of the Inspector General
NICOLE CAUCCI, Deputy Branch Chief, Office of the Inspector General
CORNELIA DORFSCHMID, Executive Vice President, Strategic Management Services LLC

• How to ensure you are complying with your IA and what to do if you find a problem
• What makes an IA different from a CIA, recent changes to IAs, and what they mean;
• Examples of common issues OIG sees in CIA compliance; examples of situations in which we have assessed stipulated penalties

11:00–11:30 AM

Coffee Break with Exhibitors
MONDAY

COMPLIANCE LAW

203 Fraud and Abuse Laws 101 & OIG’s Role
Monday, 11:30 AM–12:30 PM
KAREN GLASSMAN, Senior Counsel, Office of Counsel to the IG, DHHS
JILL WRIGHT, Special Counsel, Foley & Lardner, LLP
- A 20,000 foot overview of AKS, Stark, Bene Inducement Civil Monetary Penalty, False Claims Act, and Exclusions Law from OIG and private firm perspectives
- The information will be tailored to compliance officer audience as opposed to lawyers, and will focus on how compliance officers can and do interact with OIG

204 When Compliance Isn’t the Only Hat You Wear: The Art of Allocation of Time and Resources While Maintaining an Effective Compliance Program
Monday, 11:30 AM–12:30 PM
TOMI HAGAN, Manager, Compliance, Quorum Health Resources
GARY JONES, Attorney, Midwest Compliance Associates, LLC
- Facing the reality that Compliance Officers in smaller organizations may have additional responsibilities
- Interactive discussion on prioritization of risks and initiatives to maximize efficient use of time and resources
- Promotion of a culture of compliance and program awareness when compliance isn’t your only job

AUDITING & MONITORING

205 Data Analytics and Risk-Based Methodologies in Refreshing Revenue Compliance Auditing & Monitoring
Monday, 11:30 AM–12:30 PM
ANDREW KINS, Manager-Regulatory, Mayo Clinic
KATE WELTI, Revenue Compliance Analyst, Mayo Clinic
- Demonstrate how a risk-based approach to revenue compliance auditing and monitoring targets critical resources at the most important risks
- Show real-world examples of risk-based data analytics in revenue compliance (i.e. Evaluation and Management services)
- Exhibit how data visualization bridges the gap between challenging data interpretation and consistent organizational understanding of compliance risk

POST-ACUTE CARE

206 Long-Term Care Requirements of Participation Compliance Responsibilities
Monday, 11:30 AM–12:30 PM
JOHN DAILEY, Healthcare Compliance Manager
SEAN FAHEY, Attorney, Hall Render Killian Heath
- Benefits of a long-term care compliance program
- Building a compliance program on the long-term care requirements of participation compliance responsibilities
- Steps to ensure ongoing compliance program effectiveness

ADVANCED COMPLIANCE

207 Navigating the Changing Regulatory and Enforcement Landscape Relating to Opioids
Monday, 11:30 AM–12:30 PM
ANNA GRIZZLE, Partner, Bass, Berry & Sims PLC
TIZGEL HIGH, Vice President, Associate General Counsel, Legal, LifePoint Hospitals
JERRY WILLIAMSON, Healthcare Consultant
- Overview of current regulatory and enforcement landscape related to the opioid epidemic and potential risks for physicians and other healthcare providers and facilities, including review of enforcement actions
- Physician and hospital perspectives on balancing the current enforcement environment with quality patient care
- Practical advice from medical and legal perspectives on navigating the changing enforcement minefield and developing compliance processes to protect against potential issues

RISK

208 Risk Assessment Workshop: Are You Assessing All Your Risks? Learn How to Design an All-Encompassing Risk Assessment Framework
Monday, 11:30 AM–12:30 PM
MARCIE SWENSON, VP, Skyda Consulting
AMANDA JEX, WADE THORNOCK, Compliance Director, Blue Cross of Idaho
- Hands-on experience! Join us to design and improve your risk assessment framework; capture a complete view of your organization’s risk environment; and, understand how to organize your risks into a dynamic, functional, multi-tiered framework
- Receive step-by-step guidance, worksheets; and the chance to collaborate with compliance professionals from similar organizations
- Discover risk assessment methodologies and approaches that fit your needs, ensure collection of accurate and comprehensive data, and allows you to successfully prioritize and mitigate risks
209 You Don’t Know What You Have Until It’s Gone, and Then It Is Too Late: The Benefits of a Data Management Audit

Monday, 11:30 AM–12:30 PM

MARTI ARVIN, Vice President of Audit Strategy, SynergisTek, Inc.

DON AHART, Internal Auditor, Hunterdon Healthcare

- Why a data management audit is the first necessary step to understanding your data vulnerability
- Recommended steps in auditing your data management program, including steps to verify data ownership, categories, security, location, traceability, and criticality
- How a data management audit adds value to an organization’s disaster recovery program, incident response planning, and risk assessments

210 Navigating Behavioral Health Risks and Confidentiality Tough Spots

Monday, 11:30 AM–12:30 PM

PURVI SHAH KHARE, Director of Corporate Compliance, Rosecrance Health Network

JUDITH JOBE, Senior Vice President and Chief Administrative Officer, Rosecrance Health Network

KELLY EPPERSON, VP, and General Counsel, Rosecrance Health Network

- This presentation will examine the top compliance risks for behavioral health providers, including lessons learned from recent OIG and DOJ settlement reports and risk areas identified in the current OIG Work Plan
- Steps for building an action plan for risk prevention and mitigation will be reviewed. Strategies for mitigating high risk behavioral health areas, such as telehealth, psychotherapy, and opioid treatment program services will be highlighted
- 42 CFR Part 2 “tough spots” will be identified and examined with best practices discussed. These problematic areas include payment issues, coordination of care, mandated reporting, duty to warn situations, and law enforcement requests for information

211 A Compliance Case Study from the Trenches with Current and Former DOJ Prosecutors

Monday, 11:30 AM–12:30 PM

DAVID SCHUMACHER, Partner, Hooper, Lundy & Bookman, PC

NATHANIEL YEAGER, Chief, Health Care Fraud Unit, U.S. Attorney’s Office, District of Massachusetts

- Using a real-life case study, DOJ healthcare fraud prosecutor Nat Yeager and former healthcare fraud prosecutor David Schumacher will discuss the numerous and challenging compliance issues that arose in a recent federal healthcare fraud investigation
- Topics to be discussed include arrangements with physicians that potentially violate the Anti-Kickback Law, interactions with insurance companies that give rise to fraud charges and false claims, and practices that implicate HIPAA criminal liability
- Discuss current DOJ healthcare enforcement priorities and trends

212 What Do Carnegie Hall and Good Security Incident Response Plans Have in Common: To Get There You Have to Practice, Practice, Practice!

Monday, 11:30 AM–12:30 PM

JOSEPH DICKINSON, Partner, Smith Anderson

- We will discuss the importance of an Incident Response and how to develop one
- Discuss table top exercises, how they improve incident response plans and how to conduct one
- We will lead the participants through an actual table top exercise

213 Communicating with Your Audit and Compliance Committee from Both a Compliance Officer’s and Board Member’s Perspective

Monday, 11:30 AM–12:30 PM

JODI LAURENCE, Attorney, Baker Donelson

JOSE PERDOMO, SVP, Chief Ethics & Compliance Officer, Miami Children’s Health System

- What information should be communicated to the audit and compliance committee?
- How to engage your committee
- How to be an effective committee member

214 Compliance at the Point of Sale

Monday, 11:30 AM–12:30 PM

C. J. WOLF, Senior Compliance Executive, Healthicity

DARYRL RHAMES, Director of Compliance, University Health System

- Setting up internal controls to ensure the accuracy and reliability of captured financial information, including patient payments (satellite clinics/hospitals) and retail sales (nutritional services)
- Implementation of physical and system controls to safeguard assets and setting up payment collection point for clinical front desk operations and retail cashier locations
- The adoption of meaningful training for registration clerks and cashiers

215 OIG Developments 2019

Monday, 11:30 AM–12:30 PM

GREG DEMSKE, Chief Counsel to the Inspector General, HHS-OIG

- Hear from senior OIG officials about OIG’s recent work, including the 2018 National Takedown
- Learn about enforcement trends, industry-specific findings, and developments related to opioids work
- Discuss OIG’s current priorities

12:30–1:30 PM
Lunch
1:30–2:00 PM
Networking and Dessert with Exhibitors

2:00–3:00 PM
BREAKOUT SESSIONS

PRIVACY & SECURITY

301 Data Protection, Privacy, and Security in the Healthcare Industry Year in Review: State Enforcement Focus Areas in 2018 and Outlook for 2019

Monday, 2:00–3:00 PM

GEORGE BREEN, Shareholder, Epstein Becker & Green PC
ESTHER CHAVEZ, Sr. Asst. Attorney General, Office of TX Attorney General
SARA CABLE, Assistant Attorney General and Director of the Data Privacy & Security Section, Consumer Protection Division, Massachusetts Attorney General’s Office

This session, featuring experienced government and defense counsel, will address what healthcare entities should know about state privacy and security enforcement, and the key focus areas in the current enforcement climate

We will consider the lessons healthcare entities can learn from recent enforcement efforts and how a compliance program can assist with risk mitigation efforts

We will also discuss some “best practices” for organizations in handling privacy and security investigations brought by State enforcers and, conversely, identify where organizations can go wrong in handling the investigation

PHYSICIAN COMPLIANCE

302 Is Your Practice a Government Target?

Monday, 2:00–3:00 PM

FRANK COHEN, Director of Analytics, Doctors Management LLC

The CMS, along with private payers, are using advanced statistics and predictive modeling to identify physicians that are considered potential billing and coding abusers. These providers are then targeted for complex chart audits

One of the tools used is the Fraud Prevention System (FPS), which relies upon predictive models for risk identification. Short of that, auditors (and the media) use the Public Use Files to point out physicians they think are abusing Medicare dollars

In this session, attendees will learn about how the FPS works and how to interpret the Public Use Files to get an a priori look at Medicare risk for their providers. If you want to know what the auditors know, this is the session for you

COMPLIANCE LAW

303 Hidden Treasure or Hidden Kickback? If It Looks Too Good to Be True, It Might Be an Anti-Kickback/Stark Violation

Monday, 2:00–3:00 PM

CHARLES OPPENHEIM, Partner, Hooper Lundy Bookman, PC
AMANDA COPSEY, ACRB Senior Counsel, Office of the Inspector General

Tips for identifying potentially problematic terms in arrangements such as: Speaker Fees, Lease Arrangements, Business Deals, Marketing, Physician Owned Labs, Other Freebies

An overview of the AKS and examples of conduct that has resulted in settlements and CIAs from the perspective of OIG and outside counsel

Descriptions and discussions of arrangements that look “too good to be true,” and probably are

AUDITING & MONITORING

305 Facing an Extrapolation? Steps for Checking the Statistical Approach

Monday, 2:00–3:00 PM

ANDREA MERRITT, Partner, Athena Compliance Partners
FRANK CASTRONOVA, Part-Time Faculty, Wayne State University

Overview of the types of sampling techniques, sampling errors, and biased sampling

Addressing the calculations behind the extrapolations and determining whether there is a need to question the statistical approach to the calculation to ensure you do not overpay

Presentation of real life investigations, sampling, and extrapolations. Review take-aways to ensure proper sampling and extrapolation during investigations

HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

304 How Bias and Perception Impact Compliance

Monday, 2:00–3:00 PM

AHMED SALIM, Deloitte
WALTER JOHNSON, Director of Compliance & Ethics, Kforce Government Solutions

Breaking down why people make bad decisions

Understanding how risk perception and inherent tendencies negatively impact Compliance Programs

Utilizing tools to identify bias to increase compliance within your organization

26 compliance-institute.org
**POST-ACUTE CARE**

**306 Creating a Compliance Plan in the New Post-Acute World**

*Monday, 2:00–3:00 PM*

BARB DUFFY, Shareholder, Lane Powell

DONNA THIEL, Chief Compliance Officer, ProviderTrust

- Risks are changing with new payment models and inter-operability; what risks should you consider building into your compliance plan?
- OIG Work Plan monthly updates; Do you update your plan monthly? How do you incorporate this new information?
- Are you prepared for the future? How does your plan incorporate the new Requirements of Participation?

**ADVANCED COMPLIANCE**

**307 Independent Investigations: The Compliance Role**

*Monday, 2:00–3:00 PM*

JAMES SHEEHAN, Chief, Charities Bureau, NY Attorney General

KENYA FAULKNER, Chief Ethics & Compliance Officer, Office of Ethics & Compliance, The Pennsylvania University

- Independent Investigations-too important to be left to lawyers alone-the compliance officer and board committee role in proposing and overseeing independent investigations
- In Weinstein’s Wake—379 high-profile accusations in seven months—how should organizations choose, plan, manage and report independent investigations of misconduct and legal violations? Lessons from Wells Fargo, Rochester, NPR, Uber, VW and other recent cases
- Regulators have identified “employee misconduct risk,” behaviors or business practices that are illegal, or unethical, as risks to the whole organization—what are the new legal, regulatory and other stakeholder views on effective investigations?

**RISK**

**308 Cyber Security for Industrial Controls**

*Monday, 2:00–3:00 PM*

JAMES HOUSTON, Managing Director, Facilities and Building Management Software Commission

- Facilities and Building Management Software Commission is a building automated systems commissioning and security firm. We design physical (IOT) Internet of Things security parameters and conduct advanced network penetration testing
- At Facilities and Building Management Software Commission we commission new BAS installs, testing at every phase of implementation; conducting penetration test on the installed software, hardware and communication network annually to maintain security
- Facilities rely on machines running completely different software packages that require communication

**INTERNAL AUDIT**

**309 Hidden Risk Area: Patient Grievances—Are You Prepared for a Survey?**

*Monday, 2:00–3:00 PM*

SHEILA LIMMROTH, Privacy Officer/Legal Services Specialist, DCH Health System

SUSAN THOMAS, Consulting Manager, PYA

- Understand CMS expectations for a compliant patient grievance program. Attendees will be provided tools to assess the effectiveness of their patient grievance program
- Hear how DCH Health System re-designed the patient grievance process in response to a Department of Public Health survey. Learn what to expect and how to respond to a survey
- Learn how you can identify compliance issues that may be embedded in patient grievances. Discuss how your facility’s response to a grievance may pose a compliance risk

**BEHAVIORAL HEALTH**

**310 You Can Lead a Horse to Water and You Can Make It Drink: The Role of the Work-Plan In Developing and Implementing POCs in Behavioral Health**

*Monday, 2:00–3:00 PM*

KRISTINE KOONTZ, VP Quality & Clinical Services, Keystone Human Services Inc

VICTORIA HOSHOWER, Assistant Director of Quality, Keystone Human Services

MICHELLE SEIDLE, Quality, Keystone Human Services

- Describe fundamental process and content elements needed within a Behavioral Health Plan of Correction (POC), from targeted individual/event level responses to systematic organization-wide improvements
- Provide tips and resources on strategically engaging interdisciplinary teams to maximize organizational resources and minimize disruption of services while developing and implementing the POC Work-Plan
- Share lessons learned and provide practical quality management methodologies and take-home tips to ensure “how good by when” POC implementation and methods to preserve gains across time
311 Year One of a Compliance Journey: A First Year Under a CIA: Tips to Prepare for and Implement Best Practices for Your CIA

Monday, 2:00–3:00 PM

STEVE PRATT, Hall, Render, Killian, Heath & Lyman, P.C.

TONY KRAWAT, Mercy Health

- Attendees will get a broad overview of one organization’s (Mercy’s) journey as it navigated the first-year requirements of a CIA. We will cover the evolving requirements of a CIA, how organizations can plan for year 1, and how to prepare governance & leadership
- Attendees will review how to prepare Certifying Employees so they are not surprised by and can be comfortable providing the Management Certification. We will review steps Mercy took to create a process to identify, investigate, and cure potential risks
- The presentation will also address how to change the culture of your organization. We will provide tips and identify best practices to change the culture of an organization, starting at the top with the board & CEO, and reaching down to entry-level workers

313 Somewhere Beyond the OIG: Discussion of Exclusion Checks

Monday, 2:00–3:00 PM

EMILY REILLY, Compliance Administrator, Wellstar Health System

CAREY COTHRAN, Executive Director, Regulatory Compliance and Privacy, Piedmont Healthcare

NICOLE CAUCCI, Deputy Branch Chief, Office of the Inspector General

- We all know we should check the OIG exclusion lists for excluded individuals and entities, but what other sources should we be checking and how often? We will review available guidance and also poll the audience to gauge industry standards
- What do you do with: State exclusions for your own state? State exclusions that are not your state? GSA/SAM exclusions (SNAP, HUD, DOJ, etc.)? If you find an exclusion, what do you do next? For an employer? For a vendor? For a referring provider?
- Who are the key stakeholders in your organization that need to be involved in this process? When do you need to report an exclusion for a non-OIG exclusion?

315 Women in Cybersecurity: Shattering the Career Mystique

Monday, 2:00–3:00 PM

MALIHA CHARANIA, Senior IT Risk Management Consultant, Meditology Services, LLC

ANAHI SANTIAGO, Chief Information Security Officer, Christina Care Health System

MONIQUE HART, Executive Director, Information Security, Piedmont Healthcare

- Cybersecurity is one of the best technology jobs rated by U.S News & World Report’s “Best Technology Jobs.” However, Forbes Magazine states about 10% of the cybersecurity workforce is made up of women
- An expert panel will address common misconceptions young people (including women) have about information security careers and the skills required for success
- Important support systems, mentoring and ways to encourage women in healthcare information security careers will be discussed. Questions from the audience will be addressed by the panel

3:00–3:15 PM

Break

3:15–3:30 PM

Opening Remarks and Awards Presentation

3:30–4:30 PM

GENERAL SESSION: Lead at Your Best, Live at Your Best

SCOTT EBLIN, Author, The Next Level and Overworked and Overwhelmed

To lead at your best, you need to live at your best. In this keynote, Scott Eblin, best-selling author and global leadership educator, will teach you how to:
- Raise your levels of awareness and intention to ensure the highest and best use of your time and attention
- Lead at your best even when your calendar is packed and your inbox is jammed
- Live at your best to create positive outcomes at work, at home and in your community

4:30–6:30 PM

Networking Reception in Exhibit Hall
An analysis of data security frameworks, GDPR impact to U.S. health organizations

U.S.-based firms (including healthcare, 64. Hear her story.
100-mile swim from Cuba to Florida—at age her lifetime goal as an athlete: an extreme hallucinating…Diana Nyad just kept on choking on salt water, singing to herself, In the pitch-black night, stung by jellyfish, Record-Breaking Endurance Athlete

KEVIN HENRY, Senior Associate, Meditology Services, LLC
BRIAN SELFRIDGE, Partner, Tuesday, 9:45–10:45 am

BREAKOUT SESSIONS

9:30–9:45 am Break
9:45–10:45 am BREAKOUT SESSIONS

PRIVACY & SECURITY

401 GDPR Update: Privacy Across the Pond Tuesday, 9:45–10:45 am
BRIAN SELFRIDGE, Partner, Meditology Services, LLC
KEVIN HENRY, Senior Associate, Meditology Services, LLC

- U.S.-based firms (including healthcare, health plans and business associates servicing healthcare) must determine if their organization is required to meet the GDPR compliance requirements
- GDPR impact to U.S. health organizations includes controls around Consent, Privacy Notices and Breach Notification; Data Protection Officer assignment; client communication on Rights to Access; and Cross-Border Data Transfer protocols
- An analysis of data security frameworks, such as HITRUST and Privacy Shield, are discussed; specifically, around how these certifications line up with GDPR requirements

402 HCCs and Providers: Get Paid for What You Do Tuesday, 9:45–10:45 am
D. SCOTT JONES, Chief Compliance Officer, Augusta Health

- Hierarchial Coding Conditions (HCCs) capture the complexity of patient care and ensure maximum reimbursement. Are you getting paid for what you are doing?
- CMS Risk Adjustment shifts reimbursement risk to healthcare providers through Risk Adjustment Factors and HCC capture. As Medicare plan enrollment increases, providers must meet the challenges of provider education and documentation
- Join us to learn how Risk Adjustment, HCCs, RAFs, and the CMS Annual Miracle Cure will impact future provider, institution, and health plan compliance and reimbursement

COMPLIANCE LAW

403 The Relationship Between Lawyers and Their Healthcare Clients: A Perspective from Both Sides of the Equation Tuesday, 9:45–10:45 am
DEBRA GEROUX, Shareholder, Butzel Long
JOAN PODLESKI, Chief Privacy Officer, Children’s Health System of Texas

- A Lawyer’s Perspective: Discussion of the lawyer’s role as a business & legal counselor, the privileges that apply to the dual roles and the duties and obligations of the lawyer to protect the client’s information under ethical and legal consideration
- A Provider’s Perspective: Discussion of what providers should look for and assurances they should seek when engaging outside counsel and the laws that apply to the relationship
- Understanding the distinction between lawyers in compliance roles and lawyers in legal roles

HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

404 Frankly Speaking Tuesday, 9:45–10:45 am
FRANK SHEEDER, Partner, Alston & Bird LLP

- Unplugged and unvarnished observations about compliance
- What works and what doesn’t as a compliance professional
- How to deal those who don’t embrace compliance

AUDITING & MONITORING

405 Drip Drop: Infusion Auditing Made Simple Tuesday, 9:45–10:45 am
KELLY LOYA, Associate Partner, Pinnacle Enterprise Risk Consulting Services

- Explore fundamental rules and concepts of infusion & injection therapy reporting
- Investigate common weaknesses causing risk when coding and reporting supplies
- Deploy coding concepts to real-time therapy examples even non-coders can use easily

POST-ACUTE CARE

406 Compliance's New Role in the Survey Process Tuesday, 9:45–10:45 am
PAULA SANDERS, Principal and Healthcare Co-Chair, Post & Schell, P.C.

- Understand the obligations of mandated compliance programs in the context of the SNF survey process
- Discuss creating a proactive approach within the compliance committee to address survey issues
- Analyze and understand ramifications of the new CMS survey enforcement guidances

ADVANCED COMPLIANCE

407 Culture Is King: Strategies for Developing and Maintaining a Culture of Ethics and Compliance Tuesday, 9:45–10:45 am
STEVEN ORTQUIST, Senior Managing Director, Ankura Consulting Group
MARIANNE JENNINGS, W.P. Carey School of Accountancy

- What is a Culture of Ethics? A Culture of Compliance? Can you measure and monitor your culture? What are red flags to watch for?
- Who is responsible for maintaining an appropriate organizational culture? What is the compliance officer’s role? How can a compliance officer and compliance staff best work to impact and/or help others understand the importance of culture
- How do the concrete obligations of a CCO (e.g., to maintain a “seven elements” compliance program) relate to the creation and maintenance of an ethical culture? Who should the CCO partner with to best impact the culture of an organization?
**TUESDAY**

**408 Effective Risk Management in Medicare Compliance: How to Detect, Prevent, and Correct Issues Without Becoming the Compliance Officer Who Cried Wolf**

**Tuesday, 9:45–10:45 AM**

LAURA FORSTER, Plan Compliance Officer, Aetna

- Implement a “Detect, Correct & Prevent” approach to Risk Management; adopt a risk-aware mindset & use critical thinking to make sound recommendations; cure problems vs. treat symptoms, look through a risk lens to discover opportunities for improve.
- Message clearly without inducing defensiveness; discuss risks with senior leadership, advocate for your plan, encourage others to take ownership; be a team player but retain autonomy; share accountability without getting thrown under the bus.
- Establish credibility through transparency and consistency; build a “tri-folio” of 1) documented successes, 2) risks that were avoided (and the attendant savings) 3) opportunities & improvements based on detecting, correcting & preventing risks.

**409 Top Cyber-Risks to Include in Your Audit Plan-Update**

**Tuesday, 9:45–10:45 AM**

JOHAN LIDROS, President, Eminere Group

- The use and deployment of information technology (IT) is a critical success factor for healthcare organizations. The speed of change, complexity and new requirements in the technology arena impact the ability to manage risk and ensure compliance.
- In this session, participants will learn about the latest IT and cyber threats that can challenge their ability to deliver quality outcomes. They will learn how to best protect their data, ensure compliance and effectively monitor IT & cyber risks.
- We will discuss best practices in IT Governance and help participants become better prepared for the latest IT audit challenges. This will include references to excepted industry standards.

**410 EMTALA and Behavioral Health: Myths, Mired Down, and Making Sense of It All**

**Tuesday, 9:45–10:45 AM**

REBEKAH STEWART, Chief Ethics and Compliance Officer, Diamond Healthcare Corporation

KATHERINE BORNSTEIN, Entity Compliance Officer, MedStar Health

ALLISON LUKE, Principal, AK LUKE, LLC Healthcare Compliance Consulting

- Present case scenarios and discuss compliance and risk exposures related to EMTALA (e.g. defining an EMC for psychiatric patients, complications when transferring psychiatric patients, patient acceptance and risk benefit analysis, etc.)
- Discuss obligations for treating medical, psychiatric and substance abuse conditions in connection with EMTALA and who is qualified to perform a psychiatric medical screening exam.
- Provide examples of EMTALA documentation and audit tools (e.g. documenting capability and capacity, recipient hospital obligations workflow, EMTALA compliance self-audit tool, etc.)

**411 The Spyware Nightmare**

**Tuesday, 9:45–10:45 AM**

REGINA VERDE, Chief Corporate Compliance & Privacy Officer, University of Virginia Health System

ERIN TROST, Information Security Manager, University of Virginia Health System

- A spyware incident revealed itself when the FBI notified us of suspicious criminal activity. One of our physicians, unsuspecting, was using spyware-infected devices to log into the health system network for several months, exposing patient information.
- We will inform attendees on the activation of data breach response procedures, working with the FBI, the investigation and forensic review, analysis of patient data at risk, public breach notification and communications, and corrective actions taken.
- At the end we will discuss steps a healthcare organization should take once a breach is suspected or has been determined, and how to protect your organization from these types of attacks. We will also reveal our most beneficial lessons learned.

**DISCUSSION GROUPS**

DISCUSSION GROUPS are filled first-come, first-served. Attendance is limited to the first 50 attendees. Session selection is not available for these sessions.

**412 Compliance Engagement: How to Meet the Compliance Program of Your Dreams**

**Tuesday, 9:45–10:45 AM**

KYM CREEKMORE, Chief Compliance Officer, National Service Center-Eurofins

SCOTT INTNER, Chief Compliance Officer, George Washington Medical Faculty Associates

- Build your brand: assertive and approachable.
- Get and keep compliance at the table.
- Engage the workforce by moving beyond the regulations.

**413 Drug Diversion: A Multidisciplinary Approach**

**Tuesday, 9:45–10:45 AM**

GREGORY FERRELL, Compliance Officer, University Hospitals

EDWARD SOYKA, Manager - Hospital Compliance, University Hospitals

- Creating a Drug Diversion Task Force, implementing a quick response system, tracking and trending drug diversions throughout a hospital system.
- Ensuring Best Practices—Establishing the requirements of each key stakeholder and maintaining accountability.
- Closing the Loop—Cultivating an environment that deters drug diversion while also providing resources for employees that require treatment for substance abuse.

**414 Working with Integrity: Taking the Right Path**

**Tuesday, 9:45–10:45 AM**

RUTH KRUEGER, Lead Compliance Program Administrator, Sanford Health

- Ethics, Integrity, & Compliance: Is there a difference?
- Case studies that lead to taking the wrong path.
- Steps to consider for making the right choices.
Healthcare stakeholders are developing new care delivery and payment models using financial incentives to encourage healthcare stakeholders to work together to integrate care between and among providers and other healthcare organizations. The current legal framework was designed for fee-for-service payments where financial arrangements between and among healthcare stakeholders could encourage the overutilization of resources and inappropriately influence provider decision-making. This session will address potential changes to the framework that would support new care delivery and payment models while still protecting against fraud and abuse and guidance for compliance professionals navigating this new terrain.

10:45–11:15 AM

Last Chance to Visit with Exhibitors

11:15 AM–12:15 PM

BREAKOUT SESSIONS

PRIVACY & SECURITY

501 Data-Driven Approach to Privacy Monitoring

Tuesday, 11:15 AM–12:15 PM

LAUREN STEINFELD, Chief Privacy Officer, Penn Medicine
ANDREA THOMAS LLOYD, Director, Information Assurance, Penn Medicine

Learn how a leading health system adopted a strong privacy monitoring approach by implementing a clinically-aware and AI-enabled platform that brings together data from many disparate sources and allows them to zero in on true threats to patient privacy.

Understand how this transition improved the organization’s ability to quickly detect and resolve incidents of co-worker, family member, VIP, and other EHR snooping, activities that if unnoticed pose the risk of reputational damage to the organization.

Learn about the key metrics the organization tracks to determine the effectiveness of its privacy operation and how it uses this data to identify its most pressing areas for improvement.

PHYSICIAN COMPLIANCE

502 View From the Trenches

Tuesday, 11:15 AM–12:15 PM

MAGGIE MAC, President, Maggie Mac-MPC Inc.
MICHAEL IGEL, Esquire, Johnson Pope Bokor Ruppel & Burns LLP

Here comes trouble! Request for medical record documentation! Who’s requesting? Insight on the regulatory landscape surrounding audits, and tools for a proactive response to a request for records.

Medicare audits: proper response is essential. To appeal or not to appeal—the recipe for a collaborative approach to audit response by the healthcare attorney and coding and compliance expert.

Best practices! Tools and strategy for healthcare attorney and coding & compliance expert to minimize risk for future audits.

COMPLIANCE LAW

503 Physician Relationships in the Academic Medical Center Context: Anti-Kickback and Stark Law Issues

Tuesday, 11:15 AM–12:15 PM

AMY JOSEPH, Senior Counsel, Hooper, Lundy & Bookman, PC

- Discuss application of kickback and physician self-referral (Stark) laws to academic medical centers in the clinical care context and beyond, including with respect to engagement in medical education and research.
- Review recent developments in kickback and Stark laws, and application of evolving legal standards, to various hypotheticals.
- Identify practical strategies to mitigate risk, including tips for preparing physician agreements, monitoring and auditing compliance with agreements and applicable policies, and addressing fair market value and commercial reasonableness.

HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

504 Internal Investigations: Refining Your Interviewing Skills

Tuesday, 11:15 AM–12:15 PM

MELISSA EDSON, Standards & Compliance Specialist, Hazelden Betty Ford Foundation
JACKIE STEMWEDEL, Sr. Manager, Standards & Compliance, Hazelden Betty Ford Foundation
JACKI WALTMAN, Mgr Health Information/Corporate Privacy Officer, Hazelden Betty Ford Foundation

- Interviewing questions, skills, and processes.
- Investigation documentation.
- Mock interviews.
TUESDAY

AUDITING & MONITORING

505 Charge Master and Charge Management Risk: What Compliance Professionals Need to Know
Tuesday, 11:15 AM–12:15 PM
ROSEMARY HOLLIDAY, Managing Partner, Holliday & Associates
- Learn the compliance-oriented work flow processes, risks, and monitoring requirements that drive health system charge data (often referred to as Charge Master, Charge Description Master, or CDM)
- Eliminate the mystique of Charge Master Management, and learn why charge data auditing and monitoring should be a concern for healthcare organizations of all sizes
- Learn how properly-performed Charge Master audits (whether internally or externally-performed) can identify unforeseen compliance risk
- Discuss the various types of audits and how to determine the best audit focus for your organization

506 Compliance for Hospice and Home Care
Tuesday, 11:15 AM–12:15 PM
RANDI SEIGEL, Partner, Manatt, Phelps & Phillips, LLP
ANNIE MIYAZAKI, Chief Compliance and Privacy Officer, Visiting Nurse Service of New York
- Understanding the key compliance and fraud, waste, and abuse risk areas in hospice and home care
- Developing a robust compliance program to prevent and detect non-compliance and fraud, waste, and abuse in hospice and home care
- Ensuring that senior management and boards of directors remain well-informed of compliance risk areas facing a hospice or home care organization

ADVANCED COMPLIANCE

507 Everything Under The Sun(shine)
Tuesday, 11:15 AM–12:15 PM
REBECCA SCOTT, Compliance/Privacy Manager, University of Kentucky
ANDREW HILL, Compliance Analyst/Auditor, UK HealthCare Office of Corporate Compliance
C. J. WOLF, Senior Compliance Executive, Healthcity
- Best practices, tools, and getting the most out of Open Payments data for your organization
- Developing, monitoring, and auditing conflict of interest management plans to optimize compliance for your organization
- Tracking current legislation and potential impact on the Sunshine Act: How your institution can prepare for what lies ahead

508 Protecting the Bottom-line: Defending Claims with Policies & Procedures
Tuesday, 11:15 AM–12:15 PM
RENEE BLOMME, Manager Patient Experience, North York General Hospital
LAUREN KRIKKE, Manager Performance Engagement, Dignity Health
- Risk and claim management evolve through claims identification, investigation, discovery, mediation, and resolution. Learn how to achieve excellence by establishing standard policies, protocols, and procedures to guide your claims management process
- Discover how modernizing your policy and procedure management platform enables the ability to verify staff have reviewed the most current policies, helps you easily and effectively defend claims and aids accreditation with easy access to approved policies
- Learn how to eliminate liabilities by streamlining your healthcare claims management activities and reducing operational spending with digital policies and procedures easily accessible to all staff and dynamic workflows enable easy policy maintenance

INTERNAL AUDIT

509 Effectively Managing Risk: The Intersection of Compliance, Enterprise Risk Management and Internal Audit
Tuesday, 11:15 AM–12:15 PM
DONALD SINKO, Chief Integrity Officer, Cleveland Clinic
VICKI BOKAR, Senior Director, Corporate Compliance, Cleveland Clinic
- Understand the different roles each function plays in enterprise risk management and corporate strategy
- Learn how collaboration between Compliance, Enterprise Risk, and Internal Audit improves the overall effectiveness of risk management
- Obtain takeaways on the Cleveland Clinic approach to integrate the three risk assessment processes

BEHAVIORAL HEALTH

510 Internal Audit’s and Compliance’s Role in Addressing Enterprise Risk: Behavioral Health
Tuesday, 11:15 AM–12:15 PM
KIMBERLY JORDAN, Chief Compliance Officer, Fairview Health Services
LORRIE GHOSE, System Director, Fairview Health Services
- ERM Risk Identification: explanation of the process for identification and ownership of enterprise risk (includes risk assessment tools and heat maps)
- Key Areas of Focus and Process Improvement: collaboration with clinical, executive and front-line leadership to implement identified improvements—restraint/seclusion, face-to-face assessments, ligature risks, status individual observation, and safety
- Governance, Execution and Sustainability: engagement of the board in risk identification, monitoring and sustainability, redundant leadership, metrics, continuous monitoring (includes LEAN tools such as A3)
511 Whistleblower’s Ethical Journey: A Real-Life Case Study on the Discovery of Fraud, Investigative Success, the $2.3 Million Payback, and the Retaliatory Consequences of Reporting Wrongdoing

Tuesday, 11:15 AM–12:15 PM

AMY JOY, Faculty/Specialist Emeritus, University of California, Davis

- Review a real-life case study on the discovery of fraud in the workplace and the six significant risk factors that rendered the control environment ineffective
- Learn the limitations and barriers to reporting wrongdoing that exist in current whistleblower and anti-retaliation organizational policies
- Discuss three important steps to take Before, During, and After the reporting of wrongdoing to stop retaliation before it become a second form of misconduct

512 Next Generation Compliance Program: Moving from Mitigation to Strategy!

Tuesday, 11:15 AM–12:15 PM

JENNIE HENRIQUES, Chief Compliance & Audit Officer, South Shore Health System

DONNA SCHNEIDER, Vice President, Corporate Compliance and Internal Audit, Lifespan

- Discussion of key elements of what a next Generation Compliance Program should strive to accomplish
- Practical tips and discussion for developing your compliance program as an asset to the organization
- Moving your Compliance Program beyond risk mitigation

513 The State of Exclusions and OIG Enforcement Actions

Tuesday, 11:15 AM–12:15 PM

MICHAEL ROSEN, Co-Founder, ProviderTrust, Inc

DAVID BLANK, Partner, Quarles & Brady LLP

GEOFFREY HYMANS, Senior Counsel, Office of Inspector General

- We will review the latest statistics and breakdown of exclusions at the OIG and discuss trends and traps
- Hear from a former Senior Counsel to HHS-OIG who advised OIG on exclusions and gain a better understanding OIG’s enforcement approach and how to avoid/mitigate enforcement
- We will review relevant cases of enforcement and learn tips for Effective Exclusion Monitoring

12:15–1:30 PM

Lunch

1:30–2:30 PM

BREAKOUT SESSIONS

601 HIPAA Privacy and Social Media: How to Create a Culture of Confidentiality

Tuesday, 1:30–2:30 PM

CARLOS CRUZ, SVP, Chief Compliance Officer, Tri-City Healthcare District

MELISSA MITCHELL, Chief Compliance Officer, Sinai Health System

- Attendees will hear how the explosion of social media (and smart phones) has led to increased HIPAA Privacy Risks. Presenters will share real world examples of breaches at other organizations originating from social media posts by employees
- Presenters will share tactics used to create cultures of confidentiality within their respective organizations
- Presenters will share how proactive monitoring of social media accounts has led to mitigation of potential privacy breaches and educational opportunities

602 Can’t We All Just Get Along? Physician Satisfaction and Compliance Are Not Mutually Exclusive In Physician Arrangements

Tuesday, 1:30–2:30 PM

GAIL PEACE, President, Ludi

KELLY WALENDA, Sr VP Legal Services and Chief Privacy Officer, Jefferson Health

EUGENE MCMAHON, Senior VP and Chief Medical Officer, Capital Health System

- Physician arrangements are a highly regulated business. Compliance can feel big brother to physicians if not presented as part of the culture
- When time studies are needed, physicians often don’t understand the significance of compliance for the organization and for themselves. Strategies and best practices to manage arrangements that align priorities
- Capital Health System Case Study. Physician leader and CMO of system will present their path in engaging physicians. Jefferson Health Case Study. SVP legal will present how they dialog with physicians about work performed to drive clinical agenda

603 Selling and Buying the Brooklyn Bridge: Lessons Learned from M&A Due Diligence

Tuesday, 1:30–2:30 PM

REGINA GURVICH, VP, CCO, OMNI Ophthalmic Management Consultants

DANIEL MEIER, Healthcare Regulatory and Transactional Attorney, Benesch Friedlander Coplan & Aronoff

- Legal gymnastics of the deal, including structuring within Corporate Practice of Medicine, regulatory compliance, and due diligence across multi-specialty/ multi-state acquisitions
- Translating due diligence findings into an action plan for an effective, centralized compliance program and a scalable framework for future acquisitions
- Lessons learned from successes and fiascos, actionable pointers, and a few tools to make it all work

compliance-institute.org 33
HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL

604 Hands On Keys Computer Lab: Computer Tips, Tricks, and Internet Hacks to Make You a More Efficient and Effective Compliance Professional

Tuesday, 1:30–2:30 PM
FRANK RUELAS, Principal, HIPAA College
RACHEL BUCHANAN, Compliance Manager, Oregon Urology Institute

- How to unlock some of the unused potential of your computer using functions and tools that you may not be aware of and how these functions can increase your effectiveness in your time management efforts
- Learn how to identify daily processes and workflows so as to identify if they may present opportunities for you to automate various tasks while also increasing the overall accuracy of your work product
- Participate in hands on exercises during the session to make your use of the internet more productive while also learning how to maximize the use of Internet based resources

AUDITING & MONITORING

605 The Fifth Element of an Effective Compliance Program: Monitoring, Auditing, and Internal Reporting Systems

Tuesday, 1:30–2:30 PM
VICKI DWYER, Chief Compliance & Risk Officer, Valley View Hospital
NANCY KENNEDY, Compliance Auditor, Oklahoma Heart Hospital Physicians

- Strategies and Ideas on How to Ensuring Auditing and Monitoring are Fully Integrated into Your Compliance Program
- Guidance and Strategies on How to Taylor the 5th Element of Auditing and Monitoring for Your Specific Organization. Once Size does not Fit All!
- Discussion on how and when to move from the 5th Element (Auditing & Monitoring) to the 7th Element of Investigations and Remedial Measures When Significant Concerns are Identified

POST-ACUTE CARE

606 Experimental Drugs, Marijuana, and Complementary Medication Use in Long-Term Care Settings: Risks and Best Practices

Tuesday, 1:30–2:30 PM
ALEAH SCHUTZE, Of Counsel, Steptoe & Johnson PLLC
SARAH POTTER, Associate General Counsel, BrightSpring Health Services

- Identify and address issues around who can administer experimental and complementary medications as well as marijuana and CBD/Hemp oil
- Discussion of storage and access to marijuana, experimental, and complementary medications
- Identify and address the legal risks associated with patient use of marijuana, experimental, and complementary medications in long-term care facilities

ADVANCED COMPLIANCE

607 When the Patient Is Biased: The Intersection of Compliance, Inclusion, and Culture

Tuesday, 1:30–2:30 PM
TOBI TANZER, Vice President, Integrity and Compliance, Chief Compliance Officer, HealthPartners, Inc
SHAMAYNE BRAMAN, Director, Diversity and Inclusion, HealthPartners, Inc

- Patients who refuse care based on providers’ race, language or other personal traits present complex challenges for organizations; patient bias can impact the safety, quality and equity of care, affect employee well-being and increase compliance risks
- Hear how one organization used principles of collaboration, organizational culture, diversity and inclusion, compliance and a patient- and staff-centered approach to effectively address patient bias in ambulatory and inpatient settings
- Learn how to evaluate and navigate patient bias in a variety of care settings and to manage organizational risk around this growing issue; improve workforce awareness; and develop tools that will support your organization’s ability to address patient bias

RISK

608 Real-World Strategies for Identifying, Measuring, and Reporting Risk

Tuesday, 1:30–2:30 PM
NIKOLA TODEV, Head of Information Security, OnRamp

- Learn how to refine your risk management process so it not only involves the right people, information, and implementation, but also conveys the importance and impact to your business decision makers
- Discuss how to quantify business risk exposure and think like your CEO so you can get buy-in from your leadership team
- Understand common barriers to success and how to overcome them

INTERNAL AUDIT

609 Teaming Together: How Compliance Can Work with the Evolving Role of Internal Audit

Tuesday, 1:30–2:30 PM
MICHAEL CRONIN, Managing Director, Deloitte & Touche LLP

- Discuss the changing role of internal audit in healthcare and how that impacts the compliance function
- Share leading practices on how compliance and Internal Audit can collaborative and team successfully
- Provide illustrative examples of successful teaming activities

BEHAVIORAL HEALTH

610 Navigating Privacy Requirements When Integrating Mental Health, Substance Use Disorder and Primary Care Services

Tuesday, 1:30–2:30 PM
ELIZABETH WINCHELL, Attorney, Health Care Practice Group, Nilan Johnson Lewis PA
KRISTINE PRESTON, Corp Compliance Director, Wilder Foundation

- Successfully providing co-located and integrated services depends on timely sharing of patient information—but there is no “one size fits all” approach
- Understanding state and federal privacy laws is key when developing an integration program for mental health, substance use, and primary care services
- The presenters will highlight key privacy issues and share practical lessons learned from their first-hand experience with integrated services
But How Do We Get There?

WHAT THEY SHOULD LOOK LIKE

We know what they are and examples of what other companies just like yours are doing to build and establish a culture of compliance and integrity.

Explore these key questions: Would an effective compliance program cause the startup to fail or succeed? What is the role of the compliance professional when faced with signs of fraud? Is an industry that affects people’s lives held to a higher standard?

DISCUSSION GROUPS

DISCUSSION GROUPS are filled first-come, first-served. Attendance is limited to the first 50 attendees.

Session selection is not available for these sessions.

612 Cultures of Integrity: We Know What They Are and What They Should Look Like, But How Do We Get There?

TOMI HAGAN, Manager, Compliance, Quorum Health Resources

• Join us for this interactive session to discuss how a young college dropout hoodwinked major venture capitalists
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614 The #MeToo Movement: What Compliance Officers Should Know and How to Be Prepared

TOMI HAGAN, Manager, Compliance, Quorum Health Resources

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615 Patient Incentives or Inducements? Avoiding Pitfalls and Managing Risks

TOMI HAGAN, Manager, Compliance, Quorum Health Resources

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GENERAL COMPLIANCE/HOT TOPICS

613 Telehealth or TeleHELL? Understanding the Complexities of Telehealth Beyond the Initial Set Up

TONJA WISE, Director of Revenue Cycle Compliance, Kaiser Permanente National Compliance, Ethics and Integrity Office

• We know what the basic rules are. Now what?? Understanding the complexities of consents, record sharing and more!
• State Lines: Dare I cross them? Understanding more about how Telehealth is impacted by state borders
• Is it okay if we... A comprehensive look at some of the strangest questions and answers for Telehealth operations!

GENERAL COMPLIANCE/HOT TOPICS

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PHYSICIAN COMPLIANCE

702 Physician Practice Enforcement Actions: Could You Be Next Year’s News?

TOMI HAGAN, Manager, Compliance, Quorum Health Resources

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PRIVACY & SECURITY

701 Cutting Through the Noise: Determining Whether Your Vendor’s Security Incident Is a Breach

TOMI HAGAN, Manager, Compliance, Quorum Health Resources

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703 Criminal and Civil Liability for Overpayments
Tuesday, 3:00–4:00 PM
GABRIEL IMPERATO, Managing Partner, Nelson Mullins Broad and Cassel
DAVID FUCHS, Senior Counsel, Office of the Inspector General
- The statutory obligation to return a “known overpayment” and its application in criminal and civil enforcement proceedings
- The basis for liability for “retention of a known overpayment” under the United States False Claims Act
- The “identification” of a “known overpayment” and the time requirements for disclosure and return of overpayment

704 Five Strategies to Create Compliance Allies
Tuesday, 3:00–4:00 PM
CINDY MATSON, Executive Director, Compliance, Sanford Health
- Identify five strategies for compliance and ethics professionals to create allies within their organization
- Discuss easy to implement tactics that can be used to put the strategies into practice and why each tactic can make a difference
- Recognize that having allies is a win-win for both compliance and operations and learn how it positively influences the effectiveness of a compliance program

705 The Compliance Professional’s Approach to Auditing Rehabilitation Services
Tuesday, 3:00–4:00 PM
YOLUNDA DOCKETT, Corporate Compliance Officer, Lorien Health Services
HOLLY HESTER, SVP, Compliance and Quality, Casamba
- Describe regulations guiding therapy practice, documentation, and billing
- Review the required elements of therapy documentation
- Identify key elements of an effective auditing and monitoring program for rehabilitation services

706 M&A Transactions in Home Health and Hospice: Compliance and Due Diligence—How Do I Get This Right?
Tuesday, 3:00–4:00 PM
ALAN SCHABES, Partner, Benesch, Friedlander, Coplan & Aronoff LLP
SHANNON DRAKE, General Counsel, Aveanna Healthcare
- Compliance and due diligence challenges in home health and hospice transactions
- Successful strategies for dealing with DOJ, OIG and state MFCU concerns
- Maximizing the role of the compliance officer in home health and hospice M&A transactions

707 Tales from the Trenches: An Inside Look at How Different Organizations Account for and Meet the Challenges of MACRA
Tuesday, 3:00–4:00 PM
JACKIE ROBERTSON-GUTSHALL, Compliance Officer, Advanced Regional Center for Ankle and Foot Care
SHELLEY TIMKO, Regulatory Compliance Manager and Privacy Officer, Laser Spine Institute
- Learn how you can: implement a new EMR system AND be a new or repeat MACRA participant, identify workflow-friendly measures, regardless of specialty; and apply/receive an exception approval, to achieve maximum scores, using ONLY minimal resources
- Navigate the minefields of MACRA by educating/receiving buy-in from all roles at all levels; from C-Suite to staff that is ultimately responsible for documenting the details; and receive proven examples on how to overcome technical/performance barriers
- Understand how to create processes and templates to give real-time provider feedback; and identify low-tech methods to capture missing data; ALL to propel you from “this sounds great in theory” to potentially earning maximum positive payment adjustment

708 Risk Management & Internal Investigations
Tuesday, 3:00–4:00 PM
KIMYATTA MCCLYR, Compliance Investigations Counsel, McKesson Corporation
- Risk management: Leveraging effective internal coordination
- Internal investigations: Customizing your investigations program to strategically remediate and mitigate risk
- To privilege or not to privilege: Risk assessments, reviews, audits, and investigations

709 Home Health Agency: Audit Strategies and Common Red Flag Findings
Tuesday, 3:00–4:00 PM
SHAWN STEVISON, Associate Director, Healthcare Consulting, Dean Dorton
- Understand types of HHA internal audits
- Define methodology for conducting the internal audit
- Discuss common findings

710 Mental Health Parity: Managing Compliance Across Commercial, Medicaid, and Duals Products
Tuesday, 3:00–4:00 PM
HELAINE FINGOLD, Senior Counsel, Epstein Becker Green
- Learn about Mental Health Parity requirements, including the most recent guidance from federal regulators, and how the rules differ for commercial, Medicaid and duals products
- Gain insight into federal and state parity enforcement initiatives and oversight efforts
- Discuss key issues in assessing parity, such as: who is responsible for the parity assessment, how to address behavioral health carve outs and correctly identifying non-quantitative treatment limits
711 Experiencing the Unimaginable: A Compliance Case Study of the Mass Shooting in Las Vegas
Tuesday, 3:00–4:00 PM
SUSAN M. PITZ, General Counsel, University Medical Center of Southern Nevada
KEITH SLADE, Privacy Officer, University Medical Center of Southern Nevada
RANI GILL, Compliance Officer, University Medical Center of Southern Nevada
A panel of legal, privacy and compliance professionals from University Medical Center of Southern Nevada (UMC) will describe their experiences, the impact, and the aftermath of the largest mass shooting in U.S. history through their unique perspectives
Explore the challenges experienced and lessons learned
Investigate key questions: Can you be prepared for such an event? How are compliance boundaries pushed in an emergency of this magnitude? How long afterwards are the impacts of mass casualty events felt?

712 Health IT Risk Roundtable
Tuesday, 3:00–4:00 PM
JOHAN LIDROS, President, Eminere Group
STEPHANIE CRABB, Principal, Immersive
KATE MULLIN
Experience a forum for interaction with experts in healthcare IT risk, audit and compliance. This talented group will discuss the most relevant topics in the field and address questions raised by their peers
The panel and participants will discuss current best practices in healthcare IT risk management, IT auditing, Health IT and provide useful recommendations to manage key related IT risk related to cyber, patient safety, resilience, information governance
Everyone will benefit from hearing the challenges faced by others in the community—and the creative solutions they are adopting

713 EHR Documentation Risks and Internal and External Reviews
Tuesday, 3:00–4:00 PM
KENNETH JENKINS, Hospital Compliance Officer, Vanderbilt University Medical Center
SHELLY DENHAM, University of Louisville Physicians, University of Louisville Hospital and James Graham Brown Cancer Center
COLLEEN KING-DENNIS, AVP, Compliance Coding Education, University of Louisville-Physicians
- Electronic Health Record (EHR) documentation risks (templates, copy & paste, etc.)
- Malpractice and other risks with EHR documentation
- Internal compliance reviews; Targeted Probe and Educate (TPE); RAC and other risks with EHR documentation

714 Statistical Sampling in Healthcare Audits and Investigations
Tuesday, 3:00–4:00 PM
MICHAEL HOLPER, SVP Compliance and Audit Services, Trinity Health
STEFAN BOEDEKER, Managing Director, Berkley Research Group, LLC
- Discuss increasing use of statistical sampling and extrapolation of overpayment liabilities by federal and state audit contractors, regulators, and commercial payers
- Discuss the fundamentals of proper statistical sampling, how to draw reliable conclusions from a sample to the underlying universe of claims, and statistical sampling of healthcare claims by regulators and third-party auditors
- Discuss experiences in defending healthcare providers against the use of flawed statistical sampling and extrapolation models, including experience in pursuing available administrative appeals

715 Compliance Challenges and Tips for American Indians and Alaskan Natives (AI/AN)
Tuesday, 3:00–4:00 PM
ANDREA TREESER BERLIN, Senior Counsel, OIG, U.S. Department of Health and Human Services
DALE ROBINSON, JR, Compliance Officer, Eastern Band of Cherokee Indians
CASEY STANGER-MOORE, Colville Tribes Compliance Officer
- This panel will look at compliance initiatives involving AI/AN communities
- It will address both healthcare (including P.L. 638 programs) and human services (including LiHeap, HeadStart, TANF, and other programs)
- It will include tips for maximizing the services available for your communities while minimizing vulnerabilities to fraud, waste, and abuse

4:00–4:15 PM
Break
4:15–5:15 PM
GENERAL SESSION: How I Started as a Federal Prosecutor, Became a Compliance Officer, Ran a Hospital and Became an International Business Leader
DAVID ORBUCH, Executive Vice President | UK Managing Director, Optum
- From CCO to CEO—two roads diverged, and I took the one less travelled by, and that has made all the difference
- All I really need to know I learned as a compliance professional: key learnings that transferred from the compliance office to the executive suite
- Demonstrating the art of what’s possible: leadership is action, not position
5:15 PM
Closing Remarks
Wednesday, April 10

7:30 AM–12:00 PM
Conference Registration

8:30–10:00 AM
POST-CONFERENCE BREAKOUT SESSIONS

**PRIVACY & SECURITY**

**W1 Privacy Officer Roundtable Part 1**

*Wednesday, 8:30–10:00 AM*

MARTI ARVIN, Vice President of Audit Strategy, CynergisTek, Inc.
ADAM GREENE, Partner, Davis Wright Tremaine, LLP
JOAN PODLESKI, Chief Privacy Officer, Children’s Health

- Highly interactive discussion of the most challenging privacy issues facing privacy officers and other privacy counsel and staff
- Audience members will select the privacy issues they would like to discuss, and will have the opportunity to learn from each other’s experience
- Obtain practical solutions from experts and peers on some of the toughest day-to-day health information privacy challenges

**COMPLIANCE LAW**

**W3 Managed Care Fraud: Enforcement and Compliance**

*Wednesday, 8:30–10:00 AM*

MEGAN TINKER, Senior Advisor for Legal Affairs, HHS-OIG

**PHYSICIAN COMPLIANCE**

**W2 Compliant Physician Documentation in an Electronic World**

*Wednesday, 8:30–10:00 AM*

KIMBERLY HUEY, President, KGG Coding & Reimbursement Consulting
SANDRA GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC
- The Documentation Guidelines for physicians were written over twenty years ago, before the widespread use of electronic records. CMS hasn’t updated these guidelines, but in some cases, Medicare Administrative Contractors have
- This session will explore the compliance risks and common mistakes found in physician documentation in electronic records. We will review each type of service and give tips for documenting appropriately in an electronic record
- We will review the findings of governmental and payer audits that found fraud and abuse in the use of electronic records. Learning from these cases to protect your organization!

**HOW TO SUCCEED AS A COMPLIANCE PROFESSIONAL**

**W4 A Paradigm Shift in Persuasive Communication that Will Accelerate Your Advancement**

*Wednesday, 8:30–10:00 AM*

CHUCK ROBERTS, President & CEO, Performance Management Group, Inc.
- Your effectiveness and advancement in the field of healthcare compliance depends as much on your persuasive communication skills as it does on your technical knowledge
- More than 99 percent of all people communicate in a manner that is diametrically opposed to the most effective approach for achieving desired outcomes
- In this unique session you’ll see and learn how to apply a cutting-edge approach that leverages the science of persuasive communication and leave with practical techniques you can immediately implement to be more successful

**AUDITING & MONITORING**

**W5 How Vendor Oversight Should Lead the Charge for Contracting with a new FDR**

*Wednesday, 8:30–10:00 AM*

JENNIFER JUSTINE PHILLIPS, Sr. Manager Quality & Compliance, Arizona Complete Health
TAMMY SANCHEZ, Manager, Vendor Oversight, Compliance and Internal Auditing
- Assessing Internal Risk: Gauging similar vendors, specific needs, and breakdown of oversight program responsibilities between the functional areas and vendor oversight, and establishing oversight workflows
- Exposure Risk of Vendor: How the risk assessment shapes your program. Involving functional areas in understanding what the vendor does (and doesn’t do) for your organization and how past, present, and potential risks affect oversight responsibilities
- Ongoing Risk: Talk. Oversee. Audit. All aspects contribute to managing successful relationships. Grooves are great, but don’t let it become a rut you can’t get out of. Being comprehensive without surprises
**W6 Disaster Planning in Senior Living: HIPAA Still Matters**
*Wednesday, 8:30–10:00 AM*
BRITTANY PAPE, Director of Compliance, Senior Lifestyle Corporation
MARGARET SCAVOTTO, President, Management Performance Associates
SCOTT GIMA, COO, Management Performance Associates
- HIPAA disaster planning
- HIPAA temporarily waived requirements
- Social media in a disaster

**W7 The Intersection of Clinical Quality and Regulatory Requirements in the Conditions of Participation**
*Wednesday, 8:30–10:00 AM*
JORDAN MUHLESTEIN, Compliance & Ethics Director, Intermountain Healthcare
MEGHAN FLAHERTY, Compliance/Regulatory Program Manager, Intermountain Healthcare
- How the backbone of Medicare hospital regulation creates internal friction when clinical aspects of COPs and the governance aspects of COPs collide and how to identify and manage the intersection
- Managing the tension between systematized quality improvement and efficiency with the single hospital-focused COPs
- Lessons learned from an integrated health system’s transition to centralized efficiency while ensuring compliance with local COP requirements

**W8 Cyberbattle: A Practical Demonstration of Hacking and Defending Your Organization**
*Wednesday, 8:30–10:00 AM*
LEE PAINTER, Principal, CliftonLarsonAllen LLP
DAVID ANDERSON, CliftonLarsonAllen LLP
- In this session you will get a firsthand look at how cybercrime infiltrates healthcare organizations like yours. Learn how to counter hackers at every step through what is known as a CyberKill Chain
- Understand how hackers think, work, and act. Experience real hacking scenarios and the counter attacks you can employ. Learn how to detect, prevent, and mitigate their tactics using actual examples from healthcare organizations
- Fight the good fight with the best available weapons: cybersecurity tools and professionals who know your industry, and can work with you and your budget

**W9 Using 340B Drugs across the Continuum of Care: How to Provide Quality Service and Stay Compliant**
*Wednesday, 8:30–10:00 AM*
MICHAEL GLOMB, Partner, Feldesman Tucker Leifer Fidell LLP
SUE VEER, CEO, Carolina Health Centers, Inc.
- Understand the risks and rewards of dispensing 340B drugs for your patients across the continuum of care
- Understand the impact of current federal guidance on dispensing 340B drugs to patients
- Describe policies and self-audit procedures to minimize risk and maximize patient benefits

**W10 Investigational Device Exemption (IDE) and Humanitarian Device Exemption (HDE) Device Coverage & Billing: Compliance Insights**
*Wednesday, 8:30–10:00 AM*
JOHN DORTERO, Compliance Specialist, UW Medicine Compliance
- The key FDA and CMS regulations affecting IDE and HDE device use and coverage, and the corresponding roles and responsibilities across a health system—including Compliance, Providers, IRB, Clinical Trials Office, Supply Chain, and Billing
- Tips for how Compliance departments can promote collaboration and successful partnerships across a health system (such as education and outreach, task forces, and policy review) to reduce regulatory risk and promote system-wide compliance
- Sample process for analyzing IDE and HDE devices as they enter a health system to help ensure compliant device usage and billing

10:00–10:15 AM
**Break**

10:15–11:45 AM
**POST-CONFERENCE BREAKOUT SESSIONS**

**W11 Privacy Officer Roundtable Part 2**
*Wednesday, 10:15–11:45 AM*
MARTI ARVIN, Vice President of Audit Strategy, CynergisTek, Inc.
ADAM GREENE, Partner, Davis Wright Tremaine, LLP
JOAN PODLESKI, Chief Privacy Officer, Children’s Health
- Highly interactive discussion of the most challenging privacy issues facing privacy officers and other privacy counsel and staff
- Audience members will select the privacy issues they would like to discuss, and will have the opportunity to learn from each other’s experience
- Obtain practical solutions from experts and peers on some of the toughest day-to-day health information privacy challenges
PHYSICIAN COMPLIANCE

W12 Developing Compliant Physician Compensation Arrangements in the Current Enforcement Environment
Wednesday, 10:15–11:45 AM
ANNA GRIZZLE, Partner, Bass, Berry & Sims PLC
- Use of case studies based upon recent cases and settlements highlighting potential compliance pitfalls associated with physician compensation arrangements
- Discussion of regulatory framework for developing compliant physician compensation arrangements to avoid the common pitfalls leading to enforcement actions
- Practical tips for structuring and managing physician compensation arrangements to ensure ongoing compliance

W14 The CI Is Over: Now What?
Wednesday, 10:15–11:45 AM
FRANK RUELAS, Principal, HIPAA College
- Attendees will perform a self assessment using the 5WH1 model to identify next steps on actions to take once they arrive back at their own organizations
- Compliance professionals will share with one another what they see as the most effective options to exercise within their organizations to show that their time and resources spent in attending the Compliance Institute was a sound investment
- Strategies will be discussed and those interested will have a chance to participate in an ongoing support group to help the stick to their action plans that are based on what they learned while attending the Compliance Institute

COMPLIANCE LAW

W13 Responding to Government Investigations and Compliance Matters
Wednesday, 10:15–11:45 AM
LAUREN MARZIANI, Senior Counsel, Office of Counsel, HHS-OIG

AUDITING & MONITORING

W15 What You Need to Know to Audit and Monitor the Revenue Cycle
Wednesday, 10:15–11:45 AM
KELLY NUESKE, Executive Consultant, Pinnacle Enterprise Risk Consulting Services
- Fundamentals of outpatient & inpatient revenue cycle in any provider setting
- Understand where data is collected or managed and the pitfalls at each point
- Assessing risk to design auditing and monitoring program

POST-ACUTE CARE

W16 Home Health and Hospice: Enforcement Trends and Compliance
Wednesday, 10:15–11:45 AM
JENNIFER KILDEA DEWANE, Vice President & General Counsel, Great Lakes Caring
KATHLEEN MCDERMOTT, Partner, Morgan Lewis & Bockius LLP
KENNY KRAFT, Senior Counsel, OIG HHS
- Home health and hospice enforcement trends: key areas of focus for audits, investigations and enforcement by the federal government
- Behaviors that can lead to investigation and enforcement: physician and facility relationships, marketing, sales, billing, and medical record documentation
- Best practices for auditing and monitoring

ADVANCED COMPLIANCE

W17 It’s Time For a Revolution: Assessing the Effectiveness of Your Code of Conduct
Wednesday, 10:15–11:45 AM
DARRELL CONTRERAS, Chief Compliance Officer, Millennium Health
PAUL BELTON, Vice President Corporate Compliance, Sharp HealthCare
- Review the Guidance and Effectiveness Measures related to the Code of Conduct to establish the requirements and goals for the Code of Conduct
- Bring your Code of Conduct (or follow along) to draft new standards that provide meaningful guidance that can be remembered and recalled by employees
- Discuss mechanisms to publicize the new standards for guidance, high visibility, and reinforcement for employees
**W18** Only Take a Calculated Risk: Empowering Leaders to Make Risk-Informed Decisions with a Modern Enterprise Risk Management Program  
*Wednesday, 10:15–11:45 AM*

STEPHEN MACKEY, Senior Auditor, Ochsner Health System  
ASHLEY FERDINAND, Compliance Manager, Ochsner Health System

- Discover the critical components for a successful Enterprise Risk Management program. These steps include crafting your charter, identifying ERM champions across your organization, and aligning with organizational strategy.
- Acquire new methods for uncovering, aggregating, and reporting on enterprise risks, your organization’s risk tolerance, and key risk indicators.
- Gain tools for evaluating the effectiveness of your Enterprise Risk Management program. Program will include lessons learned from building and implementing our ERM program. Learn from our mistakes so you don’t have to make them!

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**W19** Can We Let Patients Starve Themselves to Death—Even If They Have Dementia—and Can We Get Paid for It? Ethics and Reimbursement at the End of Life  
*Wednesday, 10:15–11:45 AM*

DAVID HOFFMAN, Chief Compliance Officer, Carthage Area Hospital

- As the incidence of dementia increases there is growing awareness among patients of their ability to make decisions about care including explicit refusal of assisted oral feeding, even while receiving symptom management through hospice or palliative care.
- Why are clinicians and institutions often reluctant to support a patient’s decision to refuse oral feeding, particularly in the presence of dementia? It is often due to misunderstandings about the CMS definitions of Abuse, Neglect and Immediate Jeopardy.
- The session will examine regulatory and reimbursement challenges including CMS charges of failure to provide adequate nutrition and hydration to support and maintain life, which providers and institutions must confront with patients who do not want to

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**W20** Assess Your Provider-Based Clinics for Compliance with CFR 413.65: A Comprehensive Approach  
*Wednesday, 10:15–11:45 AM*

SAMANTHA KARPENKO, Manager Corporate Compliance, MultiCare Health System  
PALI LIPOMA, Director, Corp Compliance and Internal Audit, MultiCare Health System

- Learn how to turn the Provider-Based Requirements from CFR 413.65 into a compliance assessment document for auditing each element of the regulation (sample tool will be provided).
- Develop a Provider-Based assessment workplan which incorporates operational owners into the compliance assessment process.
- Compile findings in a manner that supports efficient tracking and oversight to monitor gaps and corrective actions.

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1:00 PM  
Check-in for CHC, CHPC, and CHRC Certification Exams

1:15–4:30 PM  
CHC, CHPC, and CHRC Certification Exams  
*Actual exam duration is 120 minutes per the candidate handbooks.*
Speakers

Don Ahart [209], Internal Auditor, Hunterdon Healthcare, Flemington, NJ

John Allen [P16, 112], Chief Administrative Officer, University of Kentucky, UK HealthCare, Lexington, KY

Amy Andersen [P10], Vice President of Operations, Verisys, South Jordan, UT

David Anderson [W8], CliftonLarsonAllen LLP, Minneapolis, MN

Melissa Andrews [P34], System Privacy Officer, Trinity Mother Frances Health System

Cat Armato [P17], CHC, CHPC, Principal, Armato & Assoc, Blairsville, GA

Marti Arvin [209, W1, W11], CCEP-F, CHC-F, CHPC, CHRC, Vice President of Audit Strategy, CynergisTek, Inc., Brentwood, TN

Renee Baine [P31], CHC, Compliance Manager, Shriner’s Hospital for Children, Tampa, FL

Cindy Bartlett [P38], CHC, VP Corporate Responsibility, Bon Secours, Suffolk, VA

John Baumann [105], Associate Vice President for Research Compliance, Indiana University,

David Behinfar [P23], CCEP, CHC, CHRC, Chief Privacy Officer, UNC Health Care System, Chapel Hill, NC

John Benson [P43], CEO, Verisys Corporation, Alexandria, VA

Bret Bissey [P15], CHC, FACHE, Vice President, Chief Compliance Officer, Gateway Health, Cedar Run, NJ

Ann Bittinger [P13], Esq., The Bitting Law Firm, Jacksonville, FL

Rita Bowen [P12], SSGB, CHPS, MA, RHIA, CHPC, VP Privacy, Compliance and HIM Policy, MRO, Norristown, PA

Renee Blomme [508], Manager Patient Experience, North York General Hospital, North York, ON

Shamayne Braman [607], Director, Diversity and Inclusion, HealthPartners, Inc., Bloomington, MN

Lee Ann Chapman [P19], RHIA, CHC, Corporate Compliance Director, Community Health Systems, Franklin, TN

Maliha Charania [315], Senior IT Risk Management Consultant, Meditology Services, LLC, Chamblee, GA

Esther Chavez [301], Sr. Asst Attorney General, Office of TX Attorney General, Austin, TX

Joanne Chiedi [GS], Principal Deputy Inspector General, OIG HHS

Marya Choudhry [P42], Director of Quality Improvement, Shasta Community Health Center, Redding, CA

Frank Cohen [302], Director of Analytics, Doctors Management LLC, Spring Hill, FL

Katherine Cohen [P32], CHRC, JD, Research Compliance Director, MedStar Health, Hyattsville, MD

Nicole Caucci [115, 313], Deputy Branch Chief, Office of the Inspector General, Salt Lake City, UT

Rita Bowen [P12], SSGB, CHPS, MA, RHIA, CHPC, VP Privacy, Compliance and HIM Policy, MRO, Norristown, PA

Rina Bowen [P12], SSGB, CHPS, MA, RHIA, CHPC, VP Privacy, Compliance and HIM Policy, MRO, Norristown, PA

Rachel Buchanan [604], MBA, CHC, Compliance Manager, Oregon Urology Institute, Springfield, OR

Christine Burke Worthen, [P35], Vice President Contract Negotiations, Northern Light Health, Portland, ME

Sara Cable [301], Assistant Attorney General and Director of the Data Privacy & Security Section, Consumer Protection Division, Massachusetts Attorney General’s Office

Brian Callihan [P37], Director of Special Projects, Sutter Health, Rocklin, CA

Frank Castronova [305], PhD, Part-Time Faculty, Wayne State University, St. Clair Shores, MI
Speakers

Darrell Contreras [W17], CHC-F, CHPC, CHRC, Chief Compliance Officer, Millennium Health, Lakeland, FL

Carlos Cruz [102, 601], CHC, JD, MHA, SVP, Chief Compliance Officer, Tri-City Healthcare District, Carlsbad, CA

Shelly Denham [713], BSN, CHC, CHPC, CHRC, University of Louisville Physicians, University of Louisville Hospital and James Graham Brown Cancer Center, Louisville, KY

Karla Dreisbach [406], CHC, CHPC, VP Compliance, Friends Services for the Aging, Blue Bell, PA

Andrea Ekeberg [107], CCEP, CHC, Compliance Director, UnitedHealthcare, Minnetonka, MN

Laura Ellis [P33], JD, Senior Counsel, OIG, U.S. Department of Health and Human Services, Washington, DC

Kym Creekmore [412], CHC, Chief Compliance Officer, National Service Center-Eurofins, Lancaster, PA

Coley Deal [P38], 340B Program Manager, Bon Secours Health System, Inc., Richmond, VA

Greg Demske [215], Chief Counsel to the Inspector General, HHS-OIG, Washington, DC

Shannon Drake [706], General Counsel, Aveanna Healthcare, Atlanta, GA

Amanda Copsey [303], ACRB Senior Counsel, Office of the Inspector General, Washington, DC

Caron Cullen [P21], CHC, President, Positive Compliance Outcomes, Inc., St. Augustine, FL

Michelle Densley [P24], CHC, Director Billing Compliance, University of Utah, Salt Lake City, UT

Barbara Duffy [306], JD, Shareholder, Lane Powell, Seattle, WA

Kelly Epperson [210], VP, and General Counsel, Rosecrance Health Network, Rockford, IL

Sarah Couture [702], CHC, Senior Associate, Ankura Consulting Group, Ashland, KY

John Dailey [206], CHC, Healthcare Compliance Manager, Lexington, KY

Joseph Dickinson [212], Partner, Smith Anderson, Raleigh, NC

Kevin Dunnahoo [201], Associate Director, Protiviti, Dallas, TX

Lisa Estrada [P25], Senior Vice President and Chief Compliance Officer, Fresenius Medical Care North America, Waithan, MA

Harry Dadds [112], Attorney at Law, Stoll, Keenon & Ogden, Lexington, KY

Yolunda Dockett [705], BS, CHC, MS, Corporate Compliance Officer, Lorien Health Services, Ellicott City, MD

Vicki Dwyer [605], CHC, Chief Compliance & Risk Officer, Valley View Hospital, Glenwood Springs, CO

Scott Eblin [GS], Author, The Next Level and Overworked and Overwhelmed

Sean Fahey [206], Attorney, Hall Render Killian Heath, Indianapolis, IN

Stephanie Crabb [712], Principal, Immersive, Tampa, FL

Kim Danehower [614], CCEP, CHC, Corporate Compliance Officer, Baptist Memorial Health Care Corporation, Memphis, TN

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Speakers

Ashley M. Ferdinand [W18], CHC, Compliance Manager, Ochsner Health System, New Orleans, LA

Gregory Ferrell [413], JD, CHC, Compliance Officer, University Hospitals, Shaker Heights, OH

Heather Fields [P25], CCEP, CHC, Shareholder, Chair - Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c., Milwaukee, WI

Helaine Fingold [710], JD, Senior Counsel, Epstein Becker Green, Baltimore, MD

Meghan Flaherty [W7], Compliance/Regulatory Program Manager, Intermountain Healthcare, Salt Lake City, UT

Laura Forster [408], CHPC, Plan Compliance Officer, Aetna, North Chesterfield, VA

Lea Fourkiller [314], BS, CCEP, CCEP-I, CHC, CHPC, JD, Managing Director, Ankura Consulting, Albion, OK

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Katherine Georger [P23], CHC, CHRC, JD, Associate Compliance Officer, Duke University Health System, Durham, NC

Debra Geroux [403], CHC, Shareholder, Butzel Long, Bloomfield Hills, MI

Lorrie Ghose [510], System Director, Fairview Health Services, Minnetonka, MN

Sandra Giangreco Brown [P2, W2], CHC, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC, Loveland, CO

Rani Gill [711], CHC, Compliance Officer, University Medical Center of Southern Nevada, Las Vegas, NV

Scott Gima [111, W6], BSN, MHA, COO, Management Performance Associates, St. Louis, MO

Karen Glassman [203], Senior Counsel, Office of Counsel to the IG, DHHS, Dallas, TX

Michael Glomb [W9], Partner, Feldesman Tucker Leifer Fidell LLP, Washington, DC

Richard Golfin III [P30], CHC, Compliance Officer, CenCal Health, Santa Barbara, CA

Susan Gouinlock [P36], JD, Attorney, Wilbanks & Gouinlock, Atlanta, GA

Kristy Grant-Hart [P11], CCEP-I, Owner, Spark Compliance Consulting, London, United Kingdom

Elizabeth Gray [415], JD, Research Scientist, The George Washington University Milken Institute School of Public Health, Washington, DC

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Emmelyn Kim [P41], CHRC, MA, MPH, AVP, Research Compliance & Privacy Officer, Northwell Health, Great Neck, NY

Colleen King-Dennis [713], RHIT, CHC, CHRC, AVP, Compliance Coding Education, University of Louisville-Physicians, Louisville, KY
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<tr>
<th>Name</th>
<th>Title/Role</th>
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<tr>
<td>Andrew Kins</td>
<td>CHC, CPA, Manager, Regulatory, Mayo Clinic, Rochester, MN</td>
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<td>Kristine Koontz</td>
<td>VP Quality &amp; Clinical Services, Keystone Human Services Inc, Harrisburg, PA</td>
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<td>Jenny Kraft</td>
<td>Senior Counsel, OIG HHS, Washington, DC</td>
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<td>Tony Krawat</td>
<td>Mercy Health</td>
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<td>Kathryn Krenz</td>
<td>CHC, CHPC, Clinical Analyst, Brookdale Senior Living, Oak Creek, WI</td>
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<td>Lauren Krikkie</td>
<td>Manager Performance Engagement, Dignity Health, San Francisco, CA</td>
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<td>Ruth Krueger</td>
<td>CHC, Lead Compliance Program Administrator, Sanford Health, Sioux Falls, SD</td>
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<td>Marsha Lambert</td>
<td>VP, Compliance Resources, LLC, Santa Ana, CA</td>
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<td>Michael Lampert</td>
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<td>Melissa Landry</td>
<td>RHLA, Assistant Vice President of HIM, Ochsner Health System</td>
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<td>Jodi Laurence</td>
<td>Attorney, Baker Donelson, Fort Lauderdale, FL</td>
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<td>Cynthie Lawson</td>
<td>BS, CHRC, Consultant, Self-Employed, Boise, ID</td>
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<td>Randy Lewis</td>
<td>CHPC, HIPAA Privacy Officer, Orange County Government, Orlando, FL</td>
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<td>Shari Lewison</td>
<td>Chief Information Security Officer, University of Iowa Hospitals and Clinics, Coralville, IA</td>
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<td>Maggie Mac</td>
<td>CHC, President, Maggie Mac-MPC Inc., Clearwater, FL</td>
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<td>Stephen Mackey</td>
<td>CFE, CIA, Senior Auditor, Ochsner Health System, Metairie, LA</td>
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<td>Judith Marber Fox</td>
<td>CHC, Founder and CEO, JF Real Compliance Solutions, Melbourne Beach, FL</td>
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<td>Eugene McMahon</td>
<td>FCAP, MBA, MD, Senior VP and Chief Medical Officer, Capital Health System, Trenton, NJ</td>
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<td>Pali Lipoma</td>
<td>CHC, CHRC, Director, Corp Compliance and Internal Audit, MultiCare Health System, Tacoma, WA</td>
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<td>Kirsten Liston</td>
<td>CCEP, Principal, Rethink Compliance, Westminster, CO</td>
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<td>Kelly Loya</td>
<td>Associate Partner, Pinnacle Enterprise Risk Consulting Services, Charlotte, NC</td>
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<td>Allison Luke</td>
<td>CHC, JD, Principal, AK LUKE, LLC, Healthcare Compliance Consulting, Savannah, GA</td>
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<td>Maggie Mac</td>
<td>CHC, President, Maggie Mac-MPC Inc., Clearwater, FL</td>
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<td>Kathleen McDermott</td>
<td>JD, Partner, Morgan Lewis &amp; Bockius LLP, Washington, DC</td>
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<td>Andrea Merritt</td>
<td>CHC, Partner, Athena Compliance Partners, Rochester Hills, MI</td>
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<td>Brenda Mickow</td>
<td>CHC, Revenue Compliance Officer, Mayo Clinic, Rochester, MN</td>
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<td>Peter Miller</td>
<td>HIPAA Security Officer, Orange County Government, Orlando, FL</td>
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<td>Catherine Masoud</td>
<td>CHC, CHPC, MBA, Executive Director, Compliance, Sanford Health, Sioux Falls, SD</td>
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<tr>
<td>Cindi Matson</td>
<td>CHC, Healthcare Regulatory and Transactional Attorney, Benesch Friedlander Coplan &amp; Aronoff, Hackensack, NJ</td>
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<tr>
<td>Lisa Melamed</td>
<td>LHRM, Vice President of Corporate Compliance and Corpora, Vision Group Holdings, West Palm Beach, FL</td>
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Speakers

Melissa Mitchell [102, 601], CHC, JD, Chief Compliance Officer, Sinai Health System, Chicago, IL

William Musick [P17], CHC, CHPC, President, Integriti3D, Lafayette, CA

Steven Orquist [407], CCEP, CHC-F, CHRC, Esq., Senior Managing Director, Ankura Consulting Group, Phoenix, AZ

Jennifer Justine Phillips [W5], CHC, Sr. Manager Quality & Compliance, Arizona Complete Health, Tempe, AZ

Annie Miyazaki [506], Esq., SVP, Chief Compliance and Privacy Officer, Visiting Nurse Service of New York, New York, NY

Kate Mullin [W7], Compliance & Ethics Director, Intermountain Healthcare, Salt Lake City, UT

Lee Painter [W8], Principal, CliftonLarsonAllen LLP, Peoria, IL

Nousheen Pirani [312], JD, Compliance, GoHealth Urgent Care, Atlanta, GA

Christie Moon [P37], JD, CHC, Legal Counsel, Sutter Health, Modesto, CA

Kelly Nueske [W15], BS, CHC, CIA, CPA, MBA, RN, Executive Consultant, Pinnacle Healthcare Consulting, Jacksonville, FL

Brittany Pape [W6], CHC, Esq., RHIA, CHPC, Director of Compliance, Senior Lifestyle Corporation, Chicago, IL

Susan M. Pitz [711], Esq., General Counsel, University Medical Center of Southern Nevada, Las Vegas, NV

Lisa Moore [108], Compliance and Business Integrity Officer, Southern Arizona VA Health Care System

Diana Nyad [G5], Author, Find a Way, Record-Breaking Endurance Athlete

Toni Parkinson [P28], President, Administrative Systems Inc, Ridgeland, MS

Joan Podleski [403], W1, W11, CCEP, CHPC, CHRC, CHC, Chief Privacy Officer, Children’s Health, Dallas, TX

Michael Morse [P3, P14], CHC, Partner, Pietragallo Gordon Alfano Bosick & Raspani, LLP, Philadelphia, PA

Jenny O’Brien [P11], CHC, CHPC, Chief Compliance Officer, UnitedHealthcare, Minnetonka, MN

Gail Peace [602], President, Ludi, Nashville, TN

Scott Ponaman [P38], President, Ponaman Healthcare Consulting, Phoenix, AZ

Jordan Muhlestein [W7], Compliance & Ethics Director, Intermountain Healthcare, Salt Lake City, UT

Charles B. Oppenheim [303], Partner, Hooper Lundy Bookman, PC, Los Angeles, CA

Jose Perdomo [213], JD, SVP, Chief Ethics & Compliance Officer, Miami Children’s Health System, Miami, FL

Wendy Portier [P27], CHC, CHRC, MSN, RN, Independent Consultant, Kelly Willenberg and Associates, New Orleans, LA

Debra Muscio [109], CFE, CHC, MBA, SVP, Chief Audit, ERM, Privacy, Security, Ethics & Compliance Ofcr, Community Medical Centers, Clovis, CA

David Orbuch [GS], Executive Vice President I UK Managing Director, Optum

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Amy Petschauer [P21], CCEP, CHC, Compliance Director, UnitedHealthcare, Woodbury, MN

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Natalie Ramello [PS], CCEP, CHC, CHPC, CHRC, CPHRM, CPPS, JD, LL.M., MHA, MSW, Vice President Chief Compliance & Risk Officer, CommunityCare, Lubbock, TX

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Shirley Qual [107], Compliance Officer, UnitedHealthcare, Minnetonka, MN

Kristine Preston [610], Corp Compliance Director, Wilder Foundation, St. Paul, MN
Speakers

Connie Rhoads [P06], CHC, VP Corporate Compliance/Privacy Officer, Christian Horizons, St Louis, MO

Daniel Roach [P44, 104], Chief Compliance Officer, Optum 360, Eden Prairie, MN

Chuck Roberts [W4], President & CEO, Performance Management Group, Inc., Lakeland, FL

Jackie Robertson-Gutshall [707], CHC, CHPC, Compliance Officer, Advanced Regional Center for Ankle and Foot Care, Altoona, PA

Dale Robinson, Jr [715], CHC, Compliance Officer, Eastern Band of Cherokee Indians, Cherokee, NC

Michael Rosen [513], Esq., Co-Founder, ProviderTrust, Inc, Nashville, TN

Frank Ruelas [604, W14], CHPC, CHC, Principal, HIPAA College, Casa Grande, AZ

Jessica L. Saldivar [P34] Regional Director Compliance/Privacy, CHRISTUS Santa Rosa Health System

Ahmed Salim [304], CHC, CHPC, JD, Deloitte, Chicago, IL

Steven J. Sample [108], Assistant Director, Southern AZ VA Health Care System

Linda Sanches [101], Senior Advisor for Health IT and Privacy Policy, Office for Civil Rights

Tammy Sanchez [W5], BSN, Manager, Vendor Oversight, Compliance and Internal Auditing, Mableton, GA

Paula Sanders [406], Esq., Principal and Healthcare Co-Chair, Post & Schell, P.C., Harrisburg, PA

J. Eric Sandhusen [P29], CHC, CHPC, Corp Compliance Dir & Privacy Ofcr, Northwell Health, New Milford, NJ

Mark Sands [P9], MD, Chairman, Corp Compliance, Cleveland Clinic, Cleveland, OH

Anahi Santiago [315], Chief Information Security Officer, Christina Care Health System,

Margaret Scavotto [111, W6], CHC, President, Management Performance Associates, St Louis, MO

Alan Schabes [706], Partner, Benesch Friedlander Coplan & Aronoff LLP, Cleveland, OH

Barbara Senters [P22, P36], CCEP, Chief Compliance & Ethics Officer, Sonic Healthcare USA, Mableton, GA

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Michelle Seidle [310], Quality, Keystone Human Services, Harrisburg, PA

Randi Segel [506], Partner, Manatt, Phelps & Phillips, LLP, New York, NY

Brian Selfridge [401], Partner, Meditology Services, LLC, Atlanta, GA

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Lauren Steinfeld [501], Chief Privacy Officer, Penn Medicine, Philadelphia, PA

Jeremy Sherer [P35], Esq., Associate Attorney, Hooper, Lundy & Bookman, PC, Boston, MA

Mary Shirley [612], Senior Director, Ethics and Compliance, Fresenius Medical Care North America, Waltham, MA

Susan Shollenberger [P26], CHC, Director Corporate Compliance East, WellSpan Health System, Womelsdorf, PA

Donald Sinko [P9, 509], CPA, Chief Integrity Officer, Cleveland Clinic, Cleveland, OH

Keith Slade [711], CHPC, Privacy Officer, University Medical Center of Southern Nevada, Las Vegas, NV

Danette Slevinski [P4], CHC, CHPC, CHRC, Chief Compliance Officer, University Hospital, Newark, NJ

Edward Soyka [413], Esq., Manager - Hospital Compliance, University Hospitals, Shaker Heights, OH

Lauren Steinfeld [501], Chief Privacy Officer, Penn Medicine, Philadelphia, PA

Jackie Stemwedel [504], MA, Sr. Manager, Standards & Compliance, Hazelden Betty Ford Foundation, Coon Rapids, MN
<table>
<thead>
<tr>
<th>Name</th>
<th>Title/Position</th>
</tr>
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<tbody>
<tr>
<td>Shawn Stevison</td>
<td>CHC, CPA, Associate Director, Healthcare Consulting, Dean Dorton, Pewee Valley, KY</td>
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<tr>
<td>Donna Thiel</td>
<td>CHC, Chief Compliance Officer, ProviderTrust, Grafton, WI</td>
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<tr>
<td>Nikola Todev</td>
<td>Head of Information Security, OnRamp, Austin, TX</td>
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<tr>
<td>Regina Verde</td>
<td>Chief Corporate Compliance &amp; Privacy Officer, University of Virginia Health System</td>
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<tr>
<td>Rebekah Stewart</td>
<td>CHC, CHRC, Chief Ethics and Compliance Officer, Diamond Healthcare Corporation, Richmond, VA</td>
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<tr>
<td>Sally Streiber</td>
<td>MBA, Director of Provider Compliance, University Hospitals, Shaker Heights, OH</td>
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<tr>
<td>Andrea Thomas Lloyd</td>
<td>Director, Information Assurance, Penn Medicine, Lancaster, PA</td>
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<tr>
<td>Andrea Treese Berlin</td>
<td>Senior Counsel, OIG, U.S. Department of Health and Human Services, Washington, DC</td>
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<tr>
<td>Betsy Wade</td>
<td>Corporate Compliance Officer, Signature HealthCARE, Louisville, KY</td>
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<tr>
<td>Scott Streibich</td>
<td>CHRC, Director, Research Compliance Operations, Johns Hopkins University, Abingdon, MD</td>
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<tr>
<td>Jane Hyatt Thorpe</td>
<td>Associate Professor, George Washington University, Washington, DC</td>
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<tr>
<td>Wade Thornock</td>
<td>CHC, Compliance Director, Blue Cross of Idaho, Meridian, ID</td>
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<tr>
<td>Jacki Waltman</td>
<td>CHPC, Sr VP Legal Services and Chief Privacy Officer, Jefferson Health, Philadelphia, PA</td>
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<tr>
<td>C.J. Wolf</td>
<td>CHPC, Director of Revenue Cycle Compliance, Kaiser Permanente National Compliance, Ethics and Integrity Office, Pasadena, FL</td>
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<tr>
<td>Tobi Tanzer</td>
<td>Vice President, Integrity and Compliance, Chief Compliance Officer, HealthPartners, Inc, Bloomington, MN</td>
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<tr>
<td>Marcie Swenson</td>
<td>CHC, JD, LL.M., RN, VP, Skyda Consulting, Salt Lake City, UT</td>
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<tr>
<td>Robert Wade</td>
<td>Partner, Barnes &amp; Thornburg LLP, South Bend, IN</td>
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<tr>
<td>Shelly Timko</td>
<td>CHC, CHPC, Regulatory Compliance Manager and Privacy Officer, Laser Spine Institute, Seminole, FL</td>
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<tr>
<td>Wendy Trout</td>
<td>Director Corporate Compliance West, WellSpan Health System, York, PA</td>
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<tr>
<td>Jacki Waltman</td>
<td>CHPS, Mgr Health Information/Corporate Privacy Officer, Hazelden Betty Ford Foundation, Center City, MN</td>
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<tr>
<td>Christopher Terrell</td>
<td>CHC, CHPC, Deputy Chief Compliance Officer &amp; Privacy Officer, Encompass Health, Vestavia, AL</td>
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<tr>
<td>Sheryl Vacca</td>
<td>CCEP-F, CCEP-I, CHC-F, CHPC, CHRC, Senior Risk Officer, Providence St Joseph Health, Irvine, CA</td>
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<tr>
<td>Debi Weatherford</td>
<td>CIA, Executive Director Internal Audit, Piedmont Healthcare, Atlanta, GA</td>
</tr>
<tr>
<td>Megan Tinker</td>
<td>Senior Advisor for Legal Affairs, HHS-OIG, Washington, DC</td>
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<tr>
<td>Barbara Straub Williams</td>
<td>Principal, Powers Pyles Sutter &amp; Verville</td>
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<tr>
<td>Tim Timmons</td>
<td>CCEP, CHPC, Privacy and Security Officer, Greater Oregon Behavioral Health, Keizer, OR</td>
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<tr>
<td>Sue Veer</td>
<td>MBA, CEO, Carolina Health Centers, Inc., Greenwood, SC</td>
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<tr>
<td>Nathaniel Yeager</td>
<td>Chief, Health Care Fraud Unit, U.S. Attorney’s Office, District of Massachusetts</td>
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<tr>
<td>Elizabeth Winchell</td>
<td>CHPC, Attorney, Health Care Practice Group, Nilan Johnson Lewis PA, Minneapolis, MN</td>
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<tr>
<td>tonja Wise</td>
<td>CHCE, Senior Compliance Executive, Healthicity, Salt Lake City, UT</td>
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<tr>
<td>Jill Wright</td>
<td>Special Counsel, Foley &amp; Lardner, LLP, Washington, DC</td>
</tr>
<tr>
<td>Jerry Williamson</td>
<td>CHC, LHRM, MD, MJ, Healthcare Consultant, Fort Myers, FL</td>
</tr>
</tbody>
</table>
In addition to networking with your peers and learning from expert speakers, attending HCCA’s Compliance Institute gives you the opportunity to help a Boston-area charity.

Volunteers will be helping at The Giving Factory to sort and pack donated items. You may be standing, bending, lifting, and walking on concrete in non-climate controlled areas. Please be sure to wear closed-toe, comfortable shoes and clothing you don’t mind getting dirty.

Registration is free for this event. HCCA will provide a volunteer T-shirt, lunch, and transportation to and from the project location. It’s a great opportunity to meet your fellow conference attendees, speakers, and HCCA board members. Remember the Volunteer Project when booking your travel, and be sure to select the Volunteer Project option on your conference registration form or when you register online.

About Cradles to Crayons®

OUR MISSION is to provide children from birth through age 12, living in homeless or low-income situations, with the essential items they need to thrive—at home, at school, and at play. We supply these items free of charge by engaging and connecting communities.

OUR VISION is that one day every child will have the essentials they need to feel safe, warm, ready to learn, and valued.

Cradles to Crayons® leads the way in filling an unrecognized yet critical gap in services for families in low-income and poverty situations. We recognize that basic needs such as weather-appropriate clothing, shoes, and books are as important as food and shelter. By providing these items, Cradles to Crayons enables children to participate more fully in school and daily activities.

We collect new and like-new items for children from newborn through age 12 via community drives, drop-boxes, bulk purchases, and corporate donations. Donated items are processed and packaged into KidPacks by thousands of dedicated volunteers at our Giving Factory® and are distributed free of charge through a collaborative, statewide network of social service agencies and school partners.
Visit the Exhibit Hall
Meet with 100+ solution providers from the vendor community

ACFE
AHIMA
American Health Lawyers Association
Ankura
Argosy University
Aviacoide
Berkeley Research Group
CBIZ KA Consulting Services LLC
Certiphi Screening Inc
Champion Healthcare Technologies
CHAN Healthcare
Change Healthcare
Clearwater Compliance
CliftonLarsonAllen
CloneSleuth
The Coding Network LLC
Coding Strategies Inc
Coker Group
Compliance Wave LLC
ComplianceLine
ComplyAssistant
ComplyHub
Contract Guardian/Rippe & Kingston Systems
CORL Technology
CynergisTek
DoctorsManagement LLC
Drexel University Online
Eminere Group
FairWarning Inc
Frank Ruelas – HIPAA College
FTI Consulting
GeBBS Healthcare Solutions
The George Washington University
Global Healthcare Exchange (GHX)
Loyola University Chicago
Maize Analytics Inc
MCN Healthcare
MD Ranger Inc
MDaudit
MediTract
Mitchell Hamline School of Law
MRO
NAVEX Global
ProviderTrust Inc
PwC
PYA
Radar Inc
Reimbursement Management Consultants
Revint Solutions
SAI Global
Strategic Management Services

Interested in exhibiting, sponsoring, and advertising opportunities at the 2019 Compliance Institute?

Connect with 3,000+ healthcare compliance leaders, decision makers, and influencers. Develop relationships with new prospects and strengthen ties with existing clients. Visit compliance-institute.org to learn more.
Get to know HCCA

HCCA’s vision is to be the preeminent healthcare compliance association, promoting the lasting success and integrity of healthcare organizations across the country. Since 1996, HCCA has been championing ethical practice and compliance standards and providing the necessary resources for healthcare compliance professionals and others who share these principles.

Membership benefits

- **Professional growth:** Receive a discount for 60+ conferences per year, including national conferences, Academies, Regionals, and web conferences. You can also earn continuing education units (CEUs) and have the opportunity to speak or write for us.

- **Knowledge and resources:** Receive healthcare compliance news and updates through our *Compliance Today* monthly member magazine, *Compliance Weekly News* e-newsletter, and our blog and podcasts. You can also use your membership discount when you purchase our books.

- **Networking:** Join a growing organization with 12,000+ members, with networking opportunities in-person at our conferences and online at HCCA.net, our thriving online community.

- **Certification:** Members are eligible for a discounted rate on the following Compliance Certification Board (CCB)® certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance-Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC®) and Certified in Healthcare Research Compliance (CHRC)®. Learn more at compliancecertification.org.

hcca-info.org/join
YOUR FULL NAME (please type or print)

Sharing your demographic information with HCCA will help us create better networking opportunities. Thank you!

DEMOGRAPHIC INFORMATION

What is your functional job title? Please select one.

- Academic/Professor
- Administration
- Asst Compliance Officer
- Attorney (In-House Counsel)
- Attorney (Outside Counsel)
- Audit Analyst
- Audit Manager/Officer
- Billing Manager/Officer
- Charger Master
- Chief Compliance Officer
- CEO/President
- Chief Financial Officer
- Chief Information Officer
- Chief Medical Officer
- Chief Operating Officer
- Clinical
- Coder
- Compliance Analyst
- Compliance Coordinator
- Compliance Director
- Compliance Fraud Examiner
- Compliance Officer
- Compliance Specialist
- Consultant

What is your primary healthcare entity?

- Academic
- Ambulance/Transportation
- Behavioral Health
- Consulting Firm
- Durable Medical Equipment
- Government Provider
- Health System
- Health System/Teaching
- Home Care/Hospice
- Hospital
- Hospital/Teaching
- Integrated Delivery System
- Integrated Health System
- Laboratory
- Law Firm

List others not listed above:

Please tell us if you are a first-time attendee:

- This is my first Compliance Institute

Registration continues on next page (over)
REGISTRATION  
HCCA’s Compliance Institute • April 7–10, 2019 • Hynes Convention Center • Boston, MA

CONTACT

☐ Mr  ☐ Mrs  ☐ Ms  ☐ Dr

Member/Account ID (if applicable/known)

First Name

Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town

State/Province  Zip/Postal Code

Country

Phone

Email  *(required for registration confirmation and conference info)*

REGISTRATION

REGISTER through  
1/7/19  before 4/1/19  on/after 4/1/19

☐ HCCA Members: MONDAY & TUESDAY  ......................... $1,199 ...........$1,249 ...............$1,299
☐ Non-Members: MONDAY & TUESDAY  .......................... $1,449 ........... $1,499 ...............$1,549
☐ First-Time Membership & Registration: MON & TUE  ......... $1,399 ...........$1,469 ................$1,519

Discount for 5 or more from same org  ........................ ($100) ............($100) ................($100)

Discount for 10 or more from same org  ........................... ($150) ............($150) ................($150)

First-time members only. Dues regularly $295 annually, increasing to $325 in 2019—register now to save!

Pre-Conference: SUNDAY MORNING  .............................. FREE* ...............$175 ..................$195

Pre-Conference: SUNDAY AFTERNOON  .......................... FREE* ...............$175 ..................$195

Post-Conference: WEDNESDAY  .......................................FREE* ...............$175 ..................$195

Discount for 5 or more from same org  ........................ ($100) ............($100) ................($100)

Discount for 10 or more from same org  ........................... ($150) ............($150) ................($150)

*Free only with paid Monday & Tuesday conference registration.

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

TOTAL $  

PAYMENT

☐ Check enclosed (payable to HCCA)

☐ Invoice me

☐ I authorize HCCA to charge my credit card (choose card below):

Due to PCI Compliance, please do not provide any credit card information via email.
You may email this form to helpteam@hcca-info.org (without credit card information) and call HCCA at 888.580.8373 or 952.988.0141 with your credit card information.

CREDIT CARD:  ☐ American Express  ☐ Discover  ☐ MasterCard  ☐ Visa

Credit Card Account Number

Credit Card Expiration Date

Cardholder’s Name

Cardholder’s Signature

HCCA’s 13th Annual Volunteer Project

Saturday, April 6 | 12:00 – 4:00 PM

SIGN me up for the Volunteer Project

Dietary Needs Request

☐ Gluten Free  ☐ Vegetarian

☐ Vegan  ☐ Dairy Free

☐ Kosher-Style  (no shellfish, pork, or meat/dairy mixed)

☐ Kosher (Hechsher certified)

☐ Other  *(write below)*

REGISTER ONLINE
compliance-institute.org

EMAIL your completed form to helpteam@hcca-info.org (do not include credit card information via email)

MAIL your registration form with check enclosed:  
HCCA, 6500 Barrie Rd, Suite 250, Minneapolis, MN 55435

FAX your completed form to 952.988.0146 (include all billing info)

QUESTIONS? Call 888.580.8373 or 952.988.0141 or email helpteam@hcca-info.org

Use of your information. To find out how we may use your information please read our Privacy Statement at hcca-info.org/privacy.aspx. By submitting this registration form you agree to the use of your information as stated in the privacy policy.
TERMS & CONDITIONS

Registration Payment Terms. Checks are payable to HCCA. Credit cards accepted: American Express, Discover, MasterCard, or Visa. HCCA will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@hcca-info.org for instructions.

Tax deductibility. All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

Cancellations/Substitutions. Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any HCCA service or product except The Health Care Compliance Professional’s Manual. If a credit is applied toward an event, the event must take place prior to the credit’s expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@hcca-info.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

Group discounts
5 or more. $100 discount for each registrant
10 or more. $150 discount for each registrant

Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Use of Information. Your information may be received by exhibitors at our conference as well as our affiliates and partners, who we may share it with for marketing purposes. Please note that only postal address information is shared. If you wish to opt out please follow the process described in our Privacy Statement. The full terms as to how we may use your information are also found in our Privacy Statement. Visit hcca-info.org/privacy.aspx.

Hotel & conference location. HCCA is offering a choice of hotels: Sheraton Boston, Boston Marriott Hotel Copley Place, or Westin Copley Place. All meeting space for the conference is located in the Hynes Convention Center, and these hotels are connected to the convention center via indoor walkway. Group hotel room rates are good through Friday, March 15, 2019, or until the group block is full, which may be prior to this date. We recommend booking your hotel reservations early. Hotel accommodations are not included in your conference registration fee.

BOOK YOUR HOTEL ONLINE AT: compliance-institute.org/hotel

Agreements & Acknowledgements.
I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgment freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA plans to take photographs and/or video at this conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this conference, I grant HCCA the right to use my name, photograph, video and biography for such purposes.

Prerequisites/advanced preparation. None.

Meals. Continental breakfast and lunch are provided on Monday and Tuesday. Coffee will be served on Sunday and Wednesday.

Conference handouts. Handouts will be available online approximately two weeks prior to the date of the conference. We will also have Internet Cafés available on-site where you can print session handouts. All handouts will also be available on the mobile app.

Special needs/concerns. Prior to your arrival, please call HCCA at 888.580.8373 or 952.988.0141 if you have a special need and require accommodation to participate in this conference. See the registration form to indicate any special requests for dietary accommodations you may require.

Dress code. Business casual dress is appropriate for conference attendees.

Recording. No unauthorized audio or video recording of HCCA conferences is allowed.
Register by January 7 to save

BOSTON
HCCA’s 23rd Annual Compliance Institute

APRIL 7–10, 2019
HYNES CONVENTION CENTER

Learn strategies for tackling real-world healthcare compliance issues

Register now at compliance-institute.org