



Improving Governance Practices

Audit & Compliance

COMMITTEE CONFERENCE

May 20–21, 2010 | New York, NY

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registration for
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for \$395

SEE REGISTRATION FORM
FOR DETAILS

This conference is designed for board members and members of a board audit and/or compliance committee of not-for-profit healthcare organizations. Compliance officers may attend with their board member(s). CEOs, CFOs, and other senior officers are also welcome to attend.

REGISTER NOW!

Space is limited to 70 attendees

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Register online at www.hcca-info.org

Agenda

THURSDAY, MAY 20

8:00–9:00 AM

Continental Breakfast

9:00–9:15 AM

Opening Remarks

9:15–10:30 AM

Introduction to Healthcare Accounting



TIMOTHY R. WELD, JR.
Partner
PricewaterhouseCoopers
New York, NY

10:45 AM–NOON

Fundamentals of Compliance



DAN ROACH
Vice President Compliance and Audit
Catholic Healthcare West
San Francisco, CA



KELLY NUESKE
Director, Enterprise Risk Services,
Sinaiko Healthcare Consulting, Inc.
Los Angeles, CA

NOON–1:30

Lunch Presentation:
New York Medicaid's Experience
with Mandatory "Effective
Compliance Plans"



JIM SHEEHAN
Medicaid Inspector General
Office of the Medicaid Inspector General
Albany, NY

- October 1, 2009: effective date of mandatory compliance plans
- How have health providers been doing?
- What has the state learned?

1:30–2:45 PM

Healthcare Risk Areas



GABRIEL IMPERATO, CHC
Managing Partner
Broad & Cassel
Fort Lauderdale, FL

- A review of the risks and consequences of the failure to have an effective compliance program
- A review of the risks associated with non-compliance with the anti-kickback and self-referral laws
- A review of the increasing liability under the False Claims Act and the array of risks associated with the "whistleblower" threat under this powerful Federal law

3:00–4:00 PM

Fiduciary Duty, Corporate Scandals,
SOX and the Not-For-Profit



STEVE LEFAR
General Manager, MediRegs
Wolters Kluwer Law & Business
Riverwoods, IL

- What is required and expected of Board Members?
- The anatomy of a scandal
- How Board Members can operate effectively to avoid scandals

4:15–5:15 PM

Tax Exemption Challenges



DAN ROACH
Vice President Compliance and Audit
Catholic Healthcare West
San Francisco, CA

5:15–6:15 PM

Networking Reception

FRIDAY, MAY 21

8:00–8:45 AM

Committee Charter: The Role and
Responsibility of the Audit and
Compliance Committee



DEBBIE ANGUS
Senior Director, Finance
Seton Family of Hospitals
Austin, TX

The Audit and Compliance Committee Charter is the committee's blueprint for operations. This presentation will discuss:

- The need for a Charter
- Key Components of a Charter
- How implementation of the charter will guide the committee to fulfilling its role and responsibilities

9:00–9:45 AM

Internal Audit & the Audit Committee



KELLY SAUDERS
Partner
Deloitte & Touche LLP
New York, NY

- Tactics for facilitating effective communication between internal audit and the audit committee
- Hear about the type and frequency of information audit committee members should be receiving
- Leading practices employed by internal audit and audit committees to speak a common "risk" language, monitor risk, and identify priorities

10:00–10:45 AM

External Audit and the
Audit Committee



PETER LEASE
Partner
Ernst & Young LLP
New York, NY

- Developing effective relationships with the independent auditors to assess and help mitigate risk
- Assessing and improving the audit committee's role in good governance and risk management
- Implementing, evaluating, and maintaining entity-level anti-fraud controls

11:00 AM–NOON

Q&A Panel

SPEAKERS PANEL

NOON–1:00 PM

Lunch

1:15–2:15 PM

Risk Assessments and
Internal Controls



JOEL DZIENGIELEWSKI
Director
KPMG
New York, NY

2:30–3:30 PM

Case Study in Crisis Management



DAN ROACH
Vice President Compliance and Audit
Catholic Healthcare West
San Francisco, CA



KELLY NUESKE
Director, Enterprise Risk Services,
Sinaiko Healthcare Consulting, Inc.
Los Angeles, CA

AGENDA IS SUBJECT TO CHANGE

HCCAnet

<http://community.hcca-info.org>

**NO AUDIO OR VIDEO RECORDING OF
HCCA CONFERENCES IS ALLOWED.**

Registration

Audit & Compliance Committee Conference | May 20–21, 2010 | New York, NY

Mr. Mrs. Ms. Dr.

BOARD OR COMMITTEE ON WHICH YOU SERVE

HCCA MEMBER ID

FIRST NAME M.I. LAST NAME

CREDENTIALS

TITLE

ORGANIZATION

STREET ADDRESS

CITY STATE ZIP

TELEPHONE FAX

E-MAIL (REQUIRED FOR E-MAIL CONFIRMATION)

Please choose your options:

- \$695 **Conference Registration**
- \$895 **Conference Registration and HCCA Membership**
Add \$200 to join HCCA! New members only: regular dues \$295
- \$990 **Conference Registration and HCCA Membership Renewal**
HCCA members, renew your membership!
- \$395 **Conference Registration—Second Attendee**
- \$45 **Conference Binder (optional)**
HCCA conference attendees will receive electronic access to course materials prior to the conference and a CD on-site containing all the conference materials.

Code: _____ 2nd Attendee: _____

Organizations may receive one registration at \$395 for every one registration purchased at \$695. To receive the Buy One Registration for \$695/Get One for \$395 offer, write 2ACC10 in the space for the Special Offer Code above and write the name of the person with whom you are attending. Offers may not be combined. Both attendees must complete separate registration forms.

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Check enclosed (mail to: HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435)

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CONTINUING EDUCATION CREDITS

HCCA is in the process of applying for additional continuing education credits. If you do not see information on your specific accreditation listed, please contact us at 888-580-8373, as we would like the opportunity to offer it. Visit HCCA's website, www.hcca-info.org, for up-to-date information.

AAPC: This program has the prior approval of the American Academy of Professional Coders (AAPC) for 9.5 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: This program has prior approval from the American College of Healthcare Executives (ACHE) for a recommended maximum of 11 category II continuing education credits.

AHIMA: This program has been approved for 11 continuing education units (CEUs) for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

CLE: The Health Care Compliance Association/Society of Corporate Compliance and Ethics is a State Bar of California Approved MCLE provider, a Rhode Island Accredited Provider, and a Texas Accredited Sponsor. A maximum of 11 clock hours will be available. All CLE credits will be awarded based on individual attendance.

Compliance Certification Board (CCB): Certified in Healthcare Compliance (CHC), Certified Compliance & Ethics Professional (CCEP), Certified in Healthcare Research Compliance (CHRC); CCB has awarded a maximum of 14.1 CEUs for these accreditations in the following subject areas: Application of Management Practices for the Compliance Professional / Application of Personal and Business Ethics in Compliance / Written Compliance Policies and Procedures / Designation of Compliance Officers and Committees / Compliance Training and Education / Communication and Reporting Mechanisms in Compliance Enforcement of Compliance Standards and Discipline / Auditing and Monitoring for Compliance / Response to Compliance Violations and Corrective Actions / HIPAA Privacy Implementation and/or Complying with Government Regulations.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit and may not accept one-half credits. To verify if your state board of accountancy has adopted one-half credits, please visit our website at www.hcca-info.org/accountancycredits. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. Website: www.nasba.org. A recommended maximum of 13 credits based on a 50-minute hour will be granted for the entire learning activity. This program addresses topics that are of a current concern in the compliance environment. This is an update, group-live activity. For more information regarding administrative policies such as complaints or refunds, call the HCCA at 888-580-8373 or 952-988-0141.

PAYMENT TERMS

No refunds will be given for no-shows or cancellations. You may send a substitute or receive a conference credit. If you have questions, please call HCCA at 888-580-8373. Additional charges may apply. Cancellation by telephone is NOT valid. Please fax written cancellations to 952-988-0146 or e-mail helpteam@hcca-info.org. Please make your check payable to HCCA, enclose payment with your registration, and return it to the HCCA office, or fax your credit card payment to 952-988-0146. If your total is miscalculated, HCCA will charge your card the correct amount. *Federal Tax ID: 23-2882664 / Code: AAC0510.*

HOTEL & CONFERENCE LOCATION

Millennium Broadway Hotel
145 West 44th Street, New York, NY 10036

Online Reservations: www.hcca-info.org/2010auditcomphotel

A special rate of \$225.00 (plus tax) per night has been arranged for the conference. Please note that the room block may sell out prior to the hotel cut-off date. Make your reservations directly with the hotel by Thursday, May 6, 2010, online (see link above) or at 212-768-4400 or 1-800-622-5569. Mention the Health Care Compliance Association for the special rate.

HCCA



HEALTH CARE
COMPLIANCE
ASSOCIATION

Health Care Compliance Association
6500 Barrie Road, Suite 250
Minneapolis, MN 55435
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