

CHC CEU Quiz for *Compliance Today*, January 2010

To receive one CEU for successfully completing the following quiz, you must answer at least three questions correctly. Only one answer per question is correct. Please indicate your answers on this form. **This quiz expires January 31, 2011. Quizzes received after the expiration date will not be accepted.**

LEARNING OBJECTIVES

After reading “**Medicaid Integrity Contractors are coming: MAC, MIC, ZPIC, RAC**” (page 8), you should be able to complete the following:

- Outline the similarities and differences between Recovery Audit Contractors (RACs) and Medicaid Integrity Contractors (MICs).
- Respond appropriately within the timelines for each of the steps in the various kinds of audits.

1. A comparison of RACs and MICs shows that

- A. both receive a contingency fee that is based on the dollar amount of the overpayments they recover
- B. MIC appeals are more complex than RAC appeals, because providers who operate in more than one state may have to follow different state rules for record requests and appeals processes
- C. MIC audits allow more time to respond to record requests than RAC audits
- D. RACs have a look-back period of three years; MICs have a look-back period of five years

2. About how long will providers have to review and comment on a draft audit report issued by an Audit MIC, after the report has been given to the provider and the state?

- A. 10 days
- B. 15 days
- C. 30 days
- D. 60 days

LEARNING OBJECTIVE

After reading “**Common compliance problems in human subject research**” (page 20), you should be able to complete the following:

- Discuss the importance of adherence to IRB-approved study protocols.
3. **According to federal regulations, changes to previously approved human subject research protocols may be implemented without prior IRB review and approval**
- A. if the changes eliminate apparent immediate hazards to subjects
 - B. if the changes facilitate the enrollment of the minimum required number of subjects
 - C. if the science behind the protocol evolves and new discoveries are made
 - D. never; protocols must be followed exactly as written, without exception

LEARNING OBJECTIVE

After reading “**Defending your compliance and ethics program: Law and practice**” (page 39), you should be able to complete the following:

- Understand how compliance and ethics (C&E) programs are evaluated and weighed in government enforcement proceedings.
4. **Which of the following is True about compliance and ethics (C&E) programs and the legal system?**
- A. As long as there is proof that a C&E program exists on paper, prosecutors can generally be expected to be lenient to a company in an investigation
 - B. Because government reviews of C&E programs are essentially “pass-fail,” a “C level” program will always get a passing mark
 - C. Because of the frequent lag time between an offense and an investigation, annual reports that document the efficacy of the C&E program can be useful for defending a company
 - D. C&E policies and procedures may not be used at a criminal trial to show that the company is not guilty



COMPLIANCE CERTIFICATION BOARD

HCCA *Compliance Today* Continuing Education Form

You will receive **one** (1) CEU for answering the HCCA *Compliance Today* CEU questions correctly. After filling out this form:

Please fax to **Liz Hergert, Certification Coordinator**, at 952-988-0146

Or mail to the address below:

Liz Hergert, Certification Coordinator
Health Care Compliance Association
6500 Barrie Road, Suite 250
Minneapolis, MN 55435, United States



CONTACT INFORMATION

Mr. Mrs. Ms. Dr.

 HCCA Member ID

 Home Address

 Applicant Name

 Home City

 Place of Employment

 Home State

 Home Zip

 Work Address

 Home Phone

 Work City

 Work State

 Work Zip

 Work Phone

 Work Fax

 Work E-mail

Please check here if credit for this quiz is needed for credentials outside of those offered by HCCA/SCCE

COMPLIANCE TODAY: January 2010

Article: "Medicaid Integrity Contractors are coming: MAC, MIC, ZPIC, RAC" (page 8)

Please indicate your answers.

1. A B C D

2. A B C D

Article: "Common compliance problems in human subject research" (page 20)

Please indicate your answer.

3. A B C D

Article: "Defending your compliance and ethics program: Law and practice" (page 39)

Please indicate your answer.

4. A B C D

ATTENDANCE VERIFICATION

By signing below, I certify that I have read the HCCA *Compliance Today* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature _____

Date _____