

CHC CEU Quiz for *Compliance Today*, February 2010

To receive one CEU for successfully completing the following quiz, you must answer at least three questions correctly. Only one answer per question is correct. Please indicate your answers on this form. **This quiz expires February 28, 2011. Quizzes received after the expiration date will not be accepted.**

LEARNING OBJECTIVES

After reading “**Business associate security and privacy programs: HIPAA and HITECH**” (page 22), you should be able to complete the following:

- Outline the steps to be taken by a covered entity to ensure that its business associates use appropriate safeguards to avoid privacy and security breaches.
 - Identify the signs that a BA may not be in sync with HIPAA or HITECH regulations.
1. **For a covered entity to establish that it has taken steps to ensure the security and privacy of protected health information that is entrusted to a business associate (BA), it should**
 - A. ask the BA if it has ever had a privacy breach in the past
 - B. have the BA answer a security questionnaire at least once every other year
 - C. perform an independent assessment of the BA's privacy and security measures
 - D. show that a signed BA contract is in place
 2. **If a BA fails to provide a response to a privacy and security compliance questionnaire item, it may be a sign that**
 - A. the BA does not need to comply with the topic of that question and skipped it
 - B. the BA has outsourced that function, and so does not need to worry about being in compliance for it
 - C. the person who filled out the questionnaire felt that a blank would look better than an unfavorable response
 - D. the question was not relevant to the particular BA's business model

LEARNING OBJECTIVE

After reading “**Beyond HIPAA: Rules for disclosing substance abuse treatment records**” (page 31), you should be able to complete the following:

- Discuss the circumstances when the disclosure of substance abuse treatment records is legally permitted or required under the Confidentiality Regulations.
3. **The office manager of a treatment facility that receives Medicare funding may not acknowledge that a particular person was treated for substance abuse unless he/she receives**
 - A. both a valid subpoena and a specialized court order from an appropriate court
 - B. a federal court order that does not limit the disclosure of the records to law enforcement and prosecutorial officials
 - C. a search warrant requesting the medical records of the named individual
 - D. a subpoena from a state court and a signed patient consent form

LEARNING OBJECTIVE

After reading “**Minimizing risk in financial arrangements with hospital-based specialties**” (page 44), you should be able to complete the following:

- Understand the implications of the anti-kickback and Stark laws when negotiating financial agreements between hospitals and hospital-based physician specialties.
4. **A well-written contract for a financial arrangement (i.e., a collection guarantee) between a hospital and a hospital-based specialty group should contain a clause that**
 - A. accepts the staffing model proposed by the physicians in the specialty group
 - B. sets a fixed subsidy amount to be paid annually by the hospital, so the amount of the obligation is clear for budgeting from year to year
 - C. subsidizes the income of the physicians in the specialty group to ensure their financial risks are minimal
 - D. ties the amount of financial assistance to well-defined performance parameters for the specialty group



COMPLIANCE CERTIFICATION BOARD

HCCA *Compliance Today* Continuing Education Form

You will receive **one** (1) CEU for answering the HCCA *Compliance Today* CEU questions correctly. After filling out this form:

Please fax to **Liz Hergert, Certification Coordinator**, at 952-988-0146

Or mail to the address below:

Liz Hergert, Certification Coordinator
Health Care Compliance Association
6500 Barrie Road, Suite 250
Minneapolis, MN 55435, United States



CONTACT INFORMATION

Mr. Mrs. Ms. Dr.

HCCA Member ID

Home Address

Applicant Name

Home City

Place of Employment

Home State

Home Zip

Work Address

Home Phone

Work City

Work State

Work Zip

Work Phone

Work Fax

Work E-mail

Please check here if credit for this quiz is needed for credentials outside of those offered by HCCA/SCCE

COMPLIANCE TODAY: February 2010

Article: "Business associate security and privacy programs: HIPAA and HITECH" (page 22)

Please indicate your answers.

1. A B C D

2. A B C D

Article: "Beyond HIPAA: Rules for disclosing substance abuse treatment records" (page 31)

Please indicate your answer.

3. A B C D

Article: "Minimizing risk in financial arrangements with hospital-based specialties" (page 44)

Please indicate your answer.

4. A B C D

ATTENDANCE VERIFICATION

By signing below, I certify that I have read the HCCA *Compliance Today* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature _____

Date _____