

CHC CEU Quiz for *Compliance Today*, November 2009

To receive one CEU for successfully completing the following quiz, you must answer at least three questions correctly. Only one answer per question is correct. Please indicate your answers on this form. **This quiz expires November 30, 2010. Quizzes received after the expiration date will not be accepted.**

LEARNING OBJECTIVES

After reading “**HIPAA breach notification requirements: Ensuring compliance**” (page 4), you should be able to complete the following:

- Understand the obligations of a covered entity or business associate to comply with the HIPAA breach notification provisions for protected health information (PHI).
- Outline the requirements for notifying individuals in the event of a breach of PHI under the HIPAA Privacy and Security Rules.

1. A HIPAA covered entity or business associate may NOT be subject to the breach notification requirements if

- A. the information is intentionally accessed by members of an entity’s workforce
- B. it always uses firewalls or access codes to prevent unauthorized access of PHI
- C. it regularly performs risk assessments to determine whether PHI has been accessed or acquired without authorization
- D. its PHI files are always protected by encryption or destroyed

2. Which of the following statements is True?

- A. An entity does not need to retain documentation of how it determined that an impermissible use did not constitute a breach and no harm was done
- B. An entity is not required to send a breach notification if the person is deceased by the time the breach was discovered
- C. If more than 500 people in one state are affected by a breach, the entity can issue a press release to a prominent media outlet instead of mailing individual notices
- D. A phone call is an acceptable means of alternative notification if fewer than 10 people are affected

LEARNING OBJECTIVE

After reading “**Steering clear of regulatory icebergs using data analytics**” (page 34), you should be able to complete the following:

- Discuss the basics for setting up and using data mining and data analytics to uncover potential compliance failures.
- 3. Of the following, when choosing a platform to use for data mining and data analytics, the most important consideration is whether**
- A. complex visuals can be made to represent complex relationships
 - B. the people who will actually use the system can write iterative inquiries in real time without extensive computer programming skills
 - C. a small data sample can be reliably extrapolated to represent the full data set
 - D. trends and outliers can be spotted easily and removed from the data set to avoid a skewed interpretation of the data

LEARNING OBJECTIVE

After reading “**Employee theft rises during economic downturn**” (page 45), you should be able to complete the following:

- Recommend management tools and procedures to detect and deter employee theft.
- 4. The best method to discourage employee theft is to**
- A. conduct a thorough external audit on an annual basis
 - B. hire another person in accounting to better segregate duties, such as posting checks, and cross train
 - C. make sure employees know that internal controls will be tested randomly and routinely
 - D. perform reference and background checks when all key employees are hired and randomly thereafter



COMPLIANCE CERTIFICATION BOARD

HCCA *Compliance Today* Continuing Education Form

You will receive **one** (1) CEU for answering the HCCA *Compliance Today* CEU questions correctly. After filling out this form:

Please fax to **Liz Hergert, Certification Coordinator**, at 952-988-0146

Or mail to the address below:

Liz Hergert, Certification Coordinator
Health Care Compliance Association
6500 Barrie Road, Suite 250
Minneapolis, MN 55435, United States



CONTACT INFORMATION

Mr. Mrs. Ms. Dr.

HCCA Member ID _____

Home Address _____

Applicant Name _____

Home City _____

Place of Employment _____

Home State _____

Home Zip _____

Work Address _____

Home Phone _____

Work City _____

Work State _____

Work Zip _____

Work Phone _____

Work Fax _____

Work E-mail _____

Please check here if credit for this quiz is needed for credentials outside of those offered by HCCA/SCCE

COMPLIANCE TODAY: November 2009

Article: "HIPAA breach notification requirements: Ensuring compliance" (page 4)

Please indicate your answers.

1. A B C D

2. A B C D

Article: "Steering clear of regulatory icebergs using data analytics" (page 34)

Please indicate your answer.

3. A B C D

Article: "Employee theft rises during economic downturn" (page 45)

Please indicate your answer.

4. A B C D

ATTENDANCE VERIFICATION

By signing below, I certify that I have read the HCCA *Compliance Today* articles that relate to the questions I have answered above. I further certify I will cooperate with the CCB in all administrative functions related to the accreditation of this program and its subsequent recognition as a program fulfilling candidate requirements for CCB certification.

Signature _____

Date _____