

## Thursday, February 27

7:30 – 8:25 AM	<b>Registration and Continental Breakfast (provided)</b>	
8:25 AM	<b>Welcome and Opening Remarks</b>	
	<b>HEALTHCARE</b>	<b>GENERAL COMPLIANCE</b>
8:30 – 9:30 AM	<b>Handling Self-Reports with The Alphabet Soup of Regulators</b> <b>Brian Flood</b> , Partner, Husch Blackwell LLP This is a session for health care practitioners, health care compliance professionals or legal practitioners. We will cover: <ul style="list-style-type: none"> <li>• The basics of a Self-Report under federal and state rules,</li> <li>• The requirements to make a due diligence inquiry, analyze for a repayment, make self-referral or all the above under the 60 Day Rule,</li> <li>• How to make tactical and strategic decisions to meet the legal requirements and</li> <li>• How to handle frequent business challenges that come with a review that may lead to a Self-Report</li> </ul>	<b>Training Programs - One size does not fit all!</b> <b>Ute Kragl</b> , CCEP, Compliance Officer, Department of Defense <ul style="list-style-type: none"> <li>• Building an interactive and repeatable training program for the workforce.</li> <li>• Interpret laws, regulations, and policies in layman's terms, telling them the risks and consequences, and instilling an incident reporting culture.</li> <li>• Conduct trend analysis and add to awareness updates.</li> </ul>
9:30 – 9:45 AM	<b>Networking Break</b>	
9:45 – 10:45 AM	<b>Key Challenges in Cybersecurity</b> <b>Mark Boutwell</b> , Intelligence Analysis Technical Director, DoD <ul style="list-style-type: none"> <li>• Investment in cybersecurity by an organization's leadership is crucial to acquiring the necessary resources to implement and sustain a robust program.</li> <li>• Communication between the applicable organizational programs like cybersecurity, compliance, and training is critical to deliberate planning, implementation, and sustainment.</li> <li>• A training program that arms the workforce with the required knowledge and experience for today's cyber threats.</li> </ul>	<b>Contract Compliance Overview</b> <b>Sheryl Vacca</b> , SVP/Chief Risk Officer, Providence St Joseph Health <ul style="list-style-type: none"> <li>• Understand the elements needed related to a contract compliance program</li> <li>• Identify 3 key priority areas for the compliance professional in the contracting process</li> <li>• Develop a monitor tool for the contract management process</li> </ul>
10:45 – 11:00 AM	<b>Networking Break</b>	
11:00 AM – 12:00 PM	<b>Cybersecurity and Safeguarding Healthcare Records</b> <b>Andrea Baker</b> , IT Manager, Risk Advisory Services, BDO USA LLP. <ul style="list-style-type: none"> <li>• Today's Threat Landscape</li> <li>• IT Security Maturity Assessment</li> <li>• Cybersecurity and its Impact on Healthcare</li> </ul>	<b>Tips for Conducting Interviews During Internal Investigations</b> <b>Brian Flood</b> , Partner, Husch Blackwell LLP <ul style="list-style-type: none"> <li>• Strategies for gathering information: Should you use the carrot or stick?</li> <li>• Tips for protecting the privilege, including how "overlabelling" can backfire.</li> <li>• Why some of the classic tips about interviewing may not be the most effective.</li> </ul>
12:00 – 1:00 PM	<b>Lunch (provided)</b>	
1:00 – 2:00 PM	<b>Federal Regulations for Research with Alaska Native People</b> <b>Terry J. M. Powell</b> , Alaska Area IRB at ANTHC <ul style="list-style-type: none"> <li>• 2018 Final Rule</li> <li>• What Changed and What Stayed the Same</li> <li>• Overview of Research Review with Alaska Tribal Health Organizations</li> </ul>	<b>Managing Compliance Risk: Audit and Monitoring Strategies</b> <b>Sharon Hartzel</b> , Director, Health Care Consulting Group, Moss Adams LLP <ul style="list-style-type: none"> <li>• Strategies to consider when designing, conducting and reporting out on an audit</li> <li>• Considerations for potential corrective action plans and how to drive results without creating additional risk</li> <li>• Ongoing monitoring tips and suggested areas of focus</li> </ul>
2:00 – 2:15 PM	<b>Networking Break</b>	
2:15 – 3:15 PM	<b>The Art of Benchmarking for Risk: How to Target your Outliers without needing a Math Degree</b> <b>Jared Krawczyk</b> , Director, Nektar Analytics <ul style="list-style-type: none"> <li>• Explore benchmarking techniques needed to assess the risk of a physician's coding patterns (E/Ms, Modifiers, Productivity, Procedural Billing)</li> <li>• Demonstrate how to organize the analysis results into one comprehensive physician compliance scorecard</li> <li>• Discuss how to apply the benchmarking results for the entire medical group into a singular prioritized audit plan. (Providing actual example audit plans)</li> </ul>	<b>Fraud, Bribery, Anti-Corruption</b> <b>Robert Mroz</b> , Managing Director, Forensic Investigation & Litigation Services, BDO USA LLP. <b>Steven Kuzma</b> , Senior Managing Director, Forensic Investigation & Litigation Services, BDO USA LLP <ul style="list-style-type: none"> <li>• GDPR's impact on Fraud, Bribery and Anti-Corruption issues</li> <li>• Focused Risk Assessments</li> <li>• DOJ's re-evaluation of corporate compliance programs</li> <li>• Case studies</li> </ul>
3:15 – 3:30 PM	<b>Networking Break</b>	
3:30 – 4:30 PM	<b>Updates from OCR in HIPAA Privacy, Security, and Breach Enforcement</b> <b>Danielle Archuleta</b> , SEOS, U.S. Department of Health and Human Services <ul style="list-style-type: none"> <li>• HHS/OCR Updates and Highlights</li> <li>• Recent Enforcement Activity</li> <li>• Focus areas for HIPAA compliance</li> </ul>	<b>Future Risk: Why Compliance Won't be Good Enough and What to Do About It</b> <b>Howard Mannella</b> , Managing Principal, Alternative Resiliency Services Corp <ul style="list-style-type: none"> <li>• Understand the changing nature of Risk, from blockchain to machine learning/AI to innovative political terror</li> <li>• Understand WHY Compliance will not be enough to protect your organizations</li> <li>• Take away practical tips and practices to immediately start moving from Compliance to Risk Reduction</li> </ul>

**Friday, February 28**

8:30 – 9:30 AM

**Continental Breakfast (provided)**
**HEALTHCARE**
**GENERAL COMPLIANCE**

9:30 – 10:30 AM

**Clinical Trial Billing - A Tour through the Rules (and how to get your systems to do it for you)**

**Wendy Portier**, Independent Consultant, Kelly Willenberg and Associates  
**Cynthia Lawson**, Consultant, Kelly Willenberg and Associates

- Understand how to apply the CMS clinical trial billing rules
- Conduct a mock review of hospital and professional claims using a coverage analysis
- Using systems to help streamline processes

**Is Your Compliance Program Effective?**

**Debbie Troklus**, Managing Director, Aegis Compliance & Ethics Center LLP  
**Sheryl Vacca**, SVP/Chief Risk Officer, Providence St Joseph Health

- Discussion of measurements to be used to monitor effectiveness
- Discussion of the DOJ/HCCA/OIG effectiveness documents
- Interactive discussion conduct compliance effectiveness assessments

10:30 – 10:45 AM

**Networking Break**

10:45 – 11:45 AM

**A Practical Approach to Risk Assessment and How it Ties to Compliance Effectiveness**

**Betsy Wade**, Corporate Compliance Officer, Signature Healthcare Consulting Services, LLC

- Understand how Risk Assessment, Monitoring and Auditing, Compliance Work Plans and Reporting drive Compliance Effectiveness
- Learn how to quantify and track results to demonstrate Compliance Effectiveness to your Compliance Committee and Board
- Tools and Resources to help you assess your organization's Compliance Effectiveness and measure results

**Internal Investigations: Updating Your Approach to Investigating and Responding to Allegations of Wrongdoing to Achieve Better Outcomes**

**Kevin Feldis**, Partner, Perkins Coie LLP

- Planning ahead for responding to allegations; limiting and defining the scope of investigations
- Protecting your brand/company reputation; minimizing legal risks, protecting privileges and preparing to defend against claims
- Remediating and moving forward

11:45 AM – 12:45 PM

**Lunch (provided)**

12:45 – 1:45 PM

**Boards Oversight Role: The Right Questions to Ask**

**Debbie Troklus**, Managing Director, Aegis Compliance & Ethics Center LLP

- Understanding the Boards role in the compliance program
- Designing board education
- Questions that Board Members should be asking of the Compliance Officer.

**Rightsizing Compliance**

**Miranda Strong**, Associate General Counsel, Chief Ethics and Compliance Officer, Bering Straits Native Corporation

**Renée Wardlaw**, Senior Director of Corporate Compliance & Associate General Counsel, Bristol Bay Native Corporation

- In light of compliance program best practices and the Department of Justice 2019 Guidance, discussion including live polling, tools, and examples tailoring compliance programs to companies resources and risks.
- Focusing on design, implementation, and incident response.

1:45 – 2:00 PM

**Networking Break**

2:00 – 3:00 PM

**Building an Effective Investigative Team**

**Mike Sandulak**, Director of Investigations, Providence St. Joseph Health  
**Stephanie Tasker**, Regional Compliance Director, Providence St. Joseph Health

- Evaluation: Identifying goals, assessing the current program, leveraging internal resources, and defining roles/responsibilities and scope of work. Ensuring investigations are valuable (consistent, high quality), variable (adaptable), and visible
- Investigation program elements: Building the team (identifying the right investigators and partners, and developing the right knowledge and skills), assembling the critical tools for your investigation's program, and developing metrics to monitor progress including real life application scenarios.
- Communicating for success and sustainability: Delivering well-written and complete reports, demonstrating an effective investigations process to reporters and stakeholders, and obtaining buy-in from senior leaders, stakeholders, and your team

**Cloud Computing and Mobility**

**Mark Boutwell**, Intelligence Analysis Technical Director, Department of Defense

- The advances in software development often out pace cybersecurity, compliance, and training programs.
- The convergence of cloud computing and mobility has introduced privacy and security challenges, which may result in strategic surprise.
- A robust training program has consistently been identified as a key element in preparing the workforce in responding to the related privacy and security challenges.

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 Privacy | Cloud Security

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**TOTAL \$** \_\_\_\_\_

**Contact Information**

Mr  Mrs  Ms  Dr

Member/Account ID (if known) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_

Job Title \_\_\_\_\_

Organization (Name of Employer) \_\_\_\_\_

Street Address \_\_\_\_\_

City/Town \_\_\_\_\_ State/Province \_\_\_\_\_

Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_

Email (required) \_\_\_\_\_

**Dietary Needs Request**

- Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan
- Other \_\_\_\_\_

**Payment**

Online registration at [hcca-info.org/regionals](http://hcca-info.org/regionals)

Mail this form to HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358

Fax this form to 952.988.0146

Email this form to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

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Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder Name \_\_\_\_\_

Cardholder Signature \_\_\_\_\_

**HOTEL/CONFERENCE LOCATION:**

**Sheraton Anchorage Hotel & Spa**

401 E 6th Avenue, Anchorage, AK 99501 | 907-276-8700

A block of rooms has been reserved for the nights of Wednesday, February 26 and Thursday, February 27 at a reduced rate of \$110.00 per night plus taxes (currently 12%). Reservations must be received by Saturday, February 1, 2020. Any reservation received after this date will be accepted on a space and rate available basis. To make your reservation, please call Sheraton Reservations at 800-325-3535 and identify yourself as being with the SCCE & HCCA Regional Conference. Complimentary internet access is included in your room rate. Parking is \$15/day.

**NOTICE:** Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

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Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). To see the most up-to-date CEU information go to HCCA's website, [hcca-info.org/all-conferences-home-page](http://hcca-info.org/all-conferences-home-page). Select your conference, and then select the "Continuing Education" option on the left hand menu.

**AAPC:** This program has the prior approval of the AAPC for 10.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

**ACHE:** The Health Care Compliance Association is authorized to award 10.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**AHIMA:** This program has been approved for a total of 10.0 continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 12.0 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance—Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional—Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional—International (CCEP-I)<sup>®</sup>.

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