

Board Audit Committee Compliance Conference

February 18-19, 2019 | Scottsdale, AZ

THE SCOTTSDALE RESORT AT MCCORMICK RANCH



SPONSORSHIP

PROSPECTUS

This conference is designed for board members and senior level leaders of corporations and not-for-profit healthcare institutions.

corporatecompliance.org/audit | hcca-info.org/audit



Attendee profile

What's New for 2019?

This year's Board Audit Committee Compliance Conference combines the efforts of the Society of Corporate Compliance and Ethics (SCCE) and the Health Care Compliance Association (HCCA). The combined conference features:

- Two full days of learning sessions and networking opportunities.
- Two session tracks: general compliance and non-profit healthcare. Attendees can follow one track or switch between the two.
- A new conference location at The Scottsdale Resort at McCormick Ranch.

Who Will Attend?

This conference is ideal for board members and senior-level leaders who are seeking the knowledge they need to better oversee compliance programs at their organizations.

Past attendees have included:

- Board chairs
- Board officers
- Board members
- Trustees
- Audit & compliance committee members
- Presidents/CEOs
- Chief compliance officers
- Healthcare senior internal auditors
- Senior directors of finance
- General counsel

What will attendees learn?

- How to fulfill fiduciary obligations as board members and senior-level leaders
- How to help improve board performance
- The latest on regulatory risk and compliance obligations
- Tips for successful oversight of financial reporting
- How to conduct internal audits



Sponsorship overview

Increase your brand awareness
among this highly relevant audience
with a sponsorship package

Sponsorship..... \$5,000

- Company logo displayed inside the conference brochure
- Company logo on conference tote bag –OR–
Company logo on attendee lanyards –OR–
Company logo and website address on notepads OR–
Company logo on pens
- Marketing flyer in conference tote bag
- Company logo on conference event web page
- Web address and link to online logo and company description
on conference event web page
- Company logo on signage throughout the conference
and at the reception
- One complimentary conference registration
- Post-conference attendee list for a one-time postal mailing with a
signature on the List Use Agreement

Hotel & conference location

The Scottsdale Resort at McCormick Ranch

7700 E McCormick Parkway, Scottsdale, AZ 85258

Reservations 800-540-0727

Main 480-991-9000

Fax 480-596-7422

<https://www.destinationhotels.com/scottsdale-resort>

A reduced rate of \$235.00 per night plus taxes (currently 13.92%, subject to change) for single/double occupancy has been arranged for this program. This rate includes wireless internet in guest rooms and meeting space, access to fitness center, daily USA Today, and Valet & Self-Parking. To book your reservation, call 1.800.540.0727 and request the SCCE or HCCA group rate.

The cutoff date for the group rate is Friday, January 25, 2019 or once the group room block is full, whichever comes first. The group rate is available 3 days pre and post conference. All reservations must be guaranteed by a major credit card.

SCCE /HCCA does not call or contact our attendees to book hotel room reservations. If you receive a call or email from a hotel booking service requesting that you book your hotel reservation for the SCCE /HCCA conference through them, please note that the organization is not affiliated with or contracted by SCCE /HCCA

Get to know us

About SCCE

SCCE currently has 7,100+ members and more than 4,400 individuals actively holding one or more of the Compliance Certification Board (CCB)[®] corporate compliance certifications. Certification exams are offered on-site following the Higher Education Compliance Conference.

SCCE's mission

SCCE exists to champion ethical practice and compliance standards in all organizations and to provide the necessary resources for compliance professionals and others who share these principles.

SCCE's vision

The vision of SCCE is to be the preeminent compliance and ethics association promoting lasting success and integrity of organizations worldwide.

Major functions

1. To promote quality compliance programs—their introduction, development, and maintenance
2. To provide a forum for interaction and information exchange to enable our members to provide high-quality compliance programs
3. To create high quality educational opportunities for those involved with compliance

Services

- SCCE's monthly magazine for members, Compliance & Ethics Professional
- Corporate Compliance Weekly News (CCWN), SCCE's weekly email newsletter of current events in compliance
- Compliance job postings
- Educational products: books, videos, and other training materials
- International, national, and regional educational conferences
- Basic Compliance & Ethics Academies offered globally
- SCCEnet[®], the online social network for compliance & ethics professionals
- Web conferences covering hot topics, for learning without leaving your desk
- The Compliance & Ethics Blog—your industry resource for compliance & ethics news
- Member discounts
- And more!

Learn more and join today
corporatecompliance.org/membership

About HCCA

HCCA currently has 12,100+ members and more than 7,000 individuals actively holding one or more of the Compliance Certification Board (CCB)[®] healthcare compliance certifications. Certification exams are offered on-site following the Research Compliance Conference.

HCCA's mission

HCCA exists to champion ethical practice and compliance standards, and to provide the necessary resources for healthcare compliance professionals and others who share these principles.

HCCA's vision

HCCA's vision is to be the preeminent healthcare compliance association, promoting the lasting success and integrity of healthcare organizations across the country.

Major functions

1. To promote quality compliance programs in healthcare—their introduction, development, and maintenance.
2. To provide a forum for interaction and information exchange to enable our members to provide high-quality compliance programs.
3. To create high-quality educational opportunities for those involved with compliance in the healthcare industry.

Services

- HCCA's monthly magazine for members, Compliance Today
- Compliance Weekly News (CWN), HCCA's weekly email newsletter
- Compliance job postings
- Educational products: books, videos, and other training materials
- National and regional educational conferences around the country
- Basic Compliance Academies offered across the U.S.
- HCCAnet[®], the online social network for healthcare compliance professionals
- Web conferences covering hot topics, for learning without leaving your desk
- The Compliance & Ethics Blog—your industry resource for compliance & ethics news
- Member discounts
- And more!

Learn more and join today
hcca-info.org/membership

Sponsor terms and conditions

As a condition of being a Sponsor at the SCCE/HCCA's Board Audit Committee Compliance Conference (the "Conference"), all Sponsors agree to the following Sponsor Terms and Conditions ("Terms and Conditions"). By submitting sponsorship application, Sponsors agree to abide by these Terms and Conditions, and any other rules and regulations set forth for the Conference, including any special requirements that are imposed by the facility at which the Conference will be held ("Facility"). Sponsor agrees and understands that these Terms and Conditions are contractual in nature, and become part of the binding and legally enforceable agreement between the Sponsor and SCCE/HCCA relating to Sponsors attendance and exhibiting activities at the Conference.

1. Eligibility to Exhibit. Sponsor's products and services must be relevant to the compliance profession. Sponsors must be in good financial standing with SCCE/HCCA. SCCE/HCCA has the right to refuse or terminate sponsorship agreement if products/services, sponsor behavior or displays are not appropriate and compatible with the general character or quality of the Conference and cause undue interference with the effective operations of SCCE/HCCA.

2. Sponsor-hosted functions. Only Sponsors may host functions at the Conference. Functions may not be scheduled at times that conflict with conference sessions or receptions.

3. Cancellation

- **Conference Cancellation Policy.** The parties agree that if the Conference is cancelled in whole or in part, or its scheduled opening is cancelled or delayed, due to fire, explosion, strike, freight embargo, act of God, act of public enemy, act of war, war, act of terrorism, civil disturbance, act of any government, de jure or de facto, or governmental declaration or regulation, epidemic or other event over which SCCE/HCCA has no control, then the Exhibitor contract may be immediately amended or cancelled by SCCE/HCCA, and the Sponsor hereby waives any and all claims against SCCE/HCCA for damages, reimbursement, refunds, or compensation.
- **Cancellation by a Sponsor.** A 50% deposit is required for all sponsorships. Balance must be paid in full and received by SCCE/HCCA by January 18, 2019, and 100% payment is due on all applications after January 18, 2019. Cancellation fee for sponsorships is the 50% deposit if cancelled before January 18, 2019. No refunds will be made after this date. Deposits are non-refundable.

4. Registration and Housing. Registration information will be available on the SCCE/HCCA website. Sponsors are encouraged to book their hotel rooms through the SCCE/HCCA's room block at the Conference venue.

5. Meeting rooms. To request meeting room space at the Conference, please contact SCCE/HCCA.

6. Security. Although security services may be furnished, neither SCCE/HCCA nor the Facility will be responsible for damage to, loss, or theft of property belonging to any Sponsor, their agents, employees, business invitees, visitors, or guests.

7. Indemnification. Sponsor shall indemnify, defend and hold harmless SCCE/HCCA from and against all claims, losses and liabilities and causes of action, including reasonable attorney's fees, resulting from any claim, suit, fine, penalty action or proceeding arising from, or out of, or by reason of (a) Sponsor's breach of these Terms and Conditions, (b) Sponsor's violation of any applicable law, regulation or ordinance, (c) any accident or bodily injury or other occurrences to any property, person, or persons, including the Sponsor, its agents, employees, and business invitees, which arise from, or

out of, or by reason of said Sponsor's participation at the Conference, or (d) any negligence, gross negligence or intentional misconduct by Sponsor, or employee, agent or any other third party on behalf of Sponsor in connection with the Conference. Sponsor's indemnification obligation to SCCE/HCCA shall survive the termination of these Terms and Conditions.

8. Market research and surveys. Sponsors are not permitted to conduct surveys of conference attendees during the conference.

9. Attendee information distribution. Regarding an Advance/Post Mailing List: SCCE/HCCA may, at its discretion, also offer Sponsors the opportunity to communicate about their products and services through the pre-registered attendee postal address list and post-conference attendee postal address list, either or both of which may be available for purchase. This information is only provided to qualified SCCE/HCCA Sponsors pursuant to the terms of SCCE/HCCA's Conference Attendee List One-Time Use License. Each list shall include attendee name, title, company and preferred postal mailing address as provided by the attendee. SCCE/HCCA does not release attendee email addresses. Any Advance/Post Mailing List remains the property of SCCE/HCCA, and may not be sold or distributed by Sponsor to third parties.

10. Children. Due to health and safety considerations, children under the age of 18 will not be admitted to the program or educational sessions.

11. Picture taking, videotaping/audio taping. Sponsors are not permitted to photograph, audiotape or videotape sessions, any other part of the conference without express, written approval from SCCE/HCCA.

12. Promotion During Conference. Sponsors are not allowed to place brochures, stickers, signs, pens, posters or marketing materials anywhere.

13. Trademarks. Sponsor grants SCCE/HCCA, without the right to sublicense, a nonexclusive, revocable, nontransferable, royalty-free, worldwide license to use and display Sponsor's company name and logo on SCCE/HCCA website(s), print materials, and in other marketing media solely for purposes of promoting the Event and future events, provided that such use is in accordance with Sponsor's established brand guidelines. If Sponsor elects to become a branded sponsor for any portion of the Event, the use of Sponsor's logo, marks, or artwork (collectively "Sponsor Artwork") on any Event merchandise or collateral is subject to the prior approval of SCCE/HCCA, which reserves the right to reject any Sponsor Artwork that, in SCCE/HCCA's sole discretion, fails to meet the defined specifications or general standards of quality for SCCE/HCCA events. Sponsor grants SCCE/HCCA or anyone authorized by SCCE/HCCA the right to use or publish, in print or electronic format, any photographs or video taken during the Event which contain images of Exhibitor's booth or space, including any trademarks, logos, or other images displayed in or on Sponsor's booth or space, as well as any images or likenesses of Sponsor's employees, contractors, subcontractors, or agents at the Event.

Sponsor has no right to use the name, logo or trademarks of the SCCE or HCCA as a result of being a sponsor of the conference.

Sponsorship application

SCCE and HCCA's Board Audit Committee Compliance Conference | February 18-19, 2019 | Scottsdale, AZ

Contact Information (please print)

Company Name

Address

City State Zip

Phone

Website Address

Contact Person

Contact Person's Title

Contact Person's Email

Sponsorship Opportunities

- Notepads.....\$5,000
- Tote Bags.....\$5,000
- Badge Holder Lanyards.....\$5,000
- Pens.....\$5,000

TOTAL \$ _____

Billing Information

50% deposit is required for all booth rentals and sponsorships. Balance must be paid in full by January 18, 2019. Deposits are non-refundable. Full payment is required on applications received after January 18, 2019.

TODAY'S DEPOSIT \$ _____ **(out of TOTAL \$** _____ **)**

BY MAIL: Enclose application and check payable to HCCA:

HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435
PHONE 888.580.8373 • FAX 952.988.0146

BY FAX: 952.988.0146—I authorize HCCA to charge my credit card

INVOICE

Due to PCI Compliance, please **DO NOT provide any credit card information via email**. You may email the application (without credit card information) and call HCCA at 888.580.8373 or 952.988.0141 with the credit card information.

CREDIT CARD: AmericanExpress Discover MasterCard Visa

Credit Card Account Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

Authorized Signature

This agreement shall not be binding unless it is signed by an authorized representative of the applicant's firm and is accepted and signed by SCCE/HCCA. By signing below, I hereby certify that I have read and will abide by the "Terms and conditions" in this prospectus.

Authorized Signature

Questions? Email Lori Dahmes:

lori.dahmes@corporatecompliance.org

OFFICE USE ONLY

Date received _____ By _____ AA0219