BOARD AND MANAGEMENT RESPONSIBILITY FOR COMPLIANCE

HCCA/SCCE Board & Audit Committee Compliance Conference February 24-25, 2020 Fort Lauderdale, FL

Felicia Heimer, Esq. Robert Michalski, CHC, CHPC, CHRC, CCE, CHIAP Daniel R. Roach, JD Katherine C. Tarvestad, JD

Contacts

Felicia Heimer, Esq., Senior Counsel Office of Counsel to the Inspector General U.S. Department of Health and Human Services

Felicia.Heimer@oig.hhs.gov

Robert Michalski, Chief Compliance Officer Baylor Scott & White Health 214-820-3569 Robert.Michalski@baylorhealth.edu

Daniel R. Roach, JD, Chief Compliance Officer Optum360 952-205-7876 daniel.roach@optum360.com

Katherine C. Tarvestad, JD, Vice President and Chief Compliance Officer, Medicare & Retirement UnitedHealthcare 952-931-5444 kate.tarvestad@uhc.com

1

Resources

- 1. 2010 Federal Sentencing Guidelines Chap. 8.B.2.
- 2. Practical Guidance for Health Care Governing Boards on Compliance Oversight
- 3. Effective Board Oversight of Ethics and Compliance Programs in Healthcare: A Board Member's Top Ten List
- 4. Top 10 Ways for Boards and CEOs to Ensure Client Ethics and Compliance Officer Effectiveness
- 5. Sample Compliance Metrics for ABC Health System

2

2