**QUARTERLY COMPLIANCE SUMMARY**

Hotline Statistics

 Total calls:

 Number anonymous:

 Number substantiated:

 Numbers with disciplinary action:

Compliance Audits

 Audit description:

 Internal or external:

 Findings:

 Corrective action taken:

Audit description:

 Internal or external:

 Findings:

 Corrective action taken:

External Audits or Investigations

 Issue description:

 Status:

 Agency involved:

 Findings:

 Repayments, settlements or fines:

Education & Training Provided

 Topic:

 Audience:

 Number attending:

Topic:

 Audience:

 Number attending:

Compliance Initiatives

 Project:

 Goal:

Progress & expected completion date:

Barriers:

Regulatory & Enforcement Update

 Description:

 Agency:

 Internal assessment:

 Activity needed: