

# Compliance Hot Topics

HIPAA Compliance Issues that need addressing, from the perspective of implementers



Presented by Tim Barrett and Henry Overton, for  
Health Care Compliance Association

1

## About Tim Barrett



### **CEO, Radiology Associates**

Tim Barrett currently serves as the CEO of Radiology Associates, LLC, a 21-physician hospital-based radiology practice. Tim's professional experience includes financial management, tax preparation, operations, environmental health & safety, risk management, human resource, and business development spanning nearly 40 years.

Tim received both his Bachelor of Science degree in Accounting and Associates of Science degree in Computer Science from Southeastern Louisiana University. He is a licensed Certified Public Accountant, a member of the American Institute of CPA's, Society of Louisiana CPA's, Society for Human Resource Management, RBMA, and MGMA.

2

# About Henry Overton



## President, Co-Founder, Turn Key Solutions, LLC

Henry Overton is president and co-founder of Turn Key Solutions, LLC, a Louisiana CyberSecurity and IT Support Firm. Over 50% of Turn Key Solutions' clientele are Healthcare organizations across the US.

Henry received his Bachelor's of Science from LSU. Cybersecurity, HIPAA Compliance, and Disaster preparedness are core services that Henry focuses on providing all of Turn Key Solutions' clients.

3

## The Big Things We Want To Cover

- The new "Work From Home" Compliance threats
- The old compliance standards few are doing correctly
- Hard times & hard decisions



4

## Slide 4

---

**TB1** This may be the bulk of the session in that most of the notes I made during our call relate to this: \*brining your own devices \* password management \* use of non-business class appliances/devices at home, etc.

Tim Barrett, 4/2/2020

## Quick Poll -

How many of your workforce are working from home now?

(a) Under 6%

(b) 6-25%

(c) 26-50%

(d) 51-75%

(e) 76-100%

5

## The new “Work From Home” Compliance threats:

**Hackers** still exist & are growing stronger-

- ☐ Residential grade routers & public wifi are still hackers’ playgrounds
- ☐ Phishing scams are even more effective when enterprise-grade protections are missing
- ☐ Malware is still spreading more rapidly
- ☐ The “New” tools are being heavily targeted –  
*Zoombombing, VPN cracking, and mobile device app store breaches are just some recent examples*

6

# The new “Work From Home” Compliance threats

Spending on security & compliance is being **questioned**

- ☐ Controls are being bypassed by working from home & on untested, unmanaged equipment (BYOD)
- ☐ PHI is being accessed & transmitted in new ways due to March OCR reduction of standards for telehealth, including:
  - Skype for Business / Microsoft Teams
  - Updox
  - VSee
  - Zoom for Healthcare
  - Doxy.me
  - Google G Suite Hangouts Meet
  - Cisco Webex Meetings / Webex Teams
  - Amazon Chime
  - GoToMeeting
  - Spruce Health Care Messenger
- ☐ If there was ever a time to focus **more** on security & compliance, now is it!

7

# The new “Work From Home” Compliance threats

**FOCUS** on Security & Compliance are in danger of being cut

- ☐ Organizations fearing revenue threats are looking where to cut expenses
- ☐ Regular Compliance and Security review meetings and activities are easily pushed to the side with the new “Covid 19 Workplace”
- ☐ As security is weakened by being dispersed, a huge opportunity is created for hackers.

8

# The new “Work From Home” Compliance threats

Leading **Solutions** include:

- ☐ Recommitting to Security & Compliance reviews
- ☐ CyberSecurity training & testing should be redoubled (even for Providers!)
- ☐ Enterprise grade VPN, firewall, antivirus, etc for remote & newly highly mobile workers.
- ☐ Heightened, or at least **consistent**, level of security precautions – don’t let your guard down!

9

Quick Poll - Have  
you have updated  
and shared with  
your team a  
HIPAA or security  
policy in the last 6  
months?

- (a) Yes
- (b) No
- (c) Who’s asking?

10



The old compliance standards  
few are doing correctly:

#### Policy Standards

- ☐ Do you have a full stack of policies for HIPAA and CyberSecurity?
- ☐ When was each policy last reviewed?
- ☐ Do your staff know where the policies are?

11

The old compliance standards few are  
doing correctly:

**Security Standards** - Little yet critical things are falling by the wayside

- ☐ Mobile Device Management – personal & company devices that access ePHI must be tracked, inventoried, secured
  - Can you scrub your teams' phones when they get a new one?*
  - Can you "Find My Phone" when they're lost?*
  - Can you verify there's a PIN / password on the devices?*
- ☐ Passwords must be strong(er)
- ☐ Passwords are being stored all over the place – cell phones, browsers, and personally managed password managers

12

# The old compliance standards few are doing correctly

## Team & Vendor Management

- ☐ Who's your HIPAA Compliance Officer?

*Are they regularly trained?*

- ☐ Who's your significant partners & vendors?

*Information Technology:*

Are they providing guidance?

Are you fully aware of their security controls? What are their insurance policies?

*Other Vendors:*

Do you know their security measures? What are their insurance policies?

13



## Hard Decisions

- ☐ Hard times often lead to hard decisions.
- ☐ Are you contemplating spending reductions to your compliance plan?

14



## Question & Answers



Are you contemplating spending reductions to your compliance plan?



Are you contemplating changes to your security & support?



What other questions do you have?

15

## Thank you!

For followup questions:

Henry Overton, Turn Key Solutions, LLC  
225-751-4444  
[henry@TKS.LA](mailto:henry@TKS.LA)



Tim Barrett, Radiology Associates  
(225) 757-0552  
[tbarrett@lakeradiology.com](mailto:tbarrett@lakeradiology.com)



16