**Corporate Compliance Report**

# (DATE) For (Quarter)

**TRAINING AND EDUCATION**

|  |  |  |
| --- | --- | --- |
| **Type** | **Completion Target** | **Actual** |
| New Hire Compliance (Month) | 100% |  |

**REPAYMENTS (Detail is included in the Compliance Report)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Resulting From** | **Number** | **Repayment Amount** | **Paid Within 60 Days** |
| Internal Audit/Monitoring  |  |  |  |
| Internal Investigation  |  |  |  |
| Government Audit |  |  |  |
| **Total** |  |  |  |

**EXCLUDED PROVIDERS**

|  |  |  |
| --- | --- | --- |
| **Type** | **Number** | **Repayment** |
| Employees |  |  |
| Physicians/Providers |  |  |
| Vendors |  |  |

**STAFFING RATIOS**

|  |  |
| --- | --- |
| **Number out of compliance** | **Number receiving citations** |
|  |  |

*\* F323 (1:J); F689 (3:D); F726 (1:G); 4 tags there are no 2567’s*

**EXPIRED LICENSES**

|  |  |
| --- | --- |
| **Expired licenses** | **Cost Report Adj.** |
|  |  |

**PAYROLL-BASED JOURNAL (PBJ) FOR MOST RECENT AVAILABLE QUARTER**

|  |  |
| --- | --- |
| **PBJ Issue** | **Number**  |
| Total Nursing Staffing Excessively Low |  |
| Total Nursing Staffing Excessively High |  |
| CNA Staffing Excessively High |  |
| Days No RN Coverage > 7 |  |
| State Staffing Requirement Not Met |  |
| Number of Buildings Dropped to 1-Star |  |

**INVESTIGATIONS BY INTAKE**

|  |  |
| --- | --- |
| **Type** | **Number** |
| Hotline |  |
| Direct to Compliance |  |

**CARELINE CALLS AND INVESTIGATIONS BY TYPE**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Issue** | **New ACP** | **New** | **Open** | **Closed** | **Unsubstantiated** | **Substantiated** | **Terminations** |
| Abuse/Neglect |  |  |  |  |  |  |  |
| Anti-kickback |  |  |  |  |  |  |  |
| Code of Ethics/Policy |  |  |  |  |  |  |  |
| Documentation |  |  |  |  |  |  |  |
| Elder Justice |  |  |  |  |  |  |  |
| False Claims |  |  |  |  |  |  |  |
| Gifts |  |  |  |  |  |  |  |
| HIPAA  |  |  |  |  |  |  |  |
| Licensure |  |  |  |  |  |  |  |
| OIG Investigations |  |  |  |  |  |  |  |
| Other |  |  |  |  |  |  |  |
| Resident Rights |  |  |  |  |  |  |  |
| Resident Charges |  |  |  |  |  |  |  |
| Non-Monetary |  |  |  |  |  |  |  |
| OIG/GSA Exclustions |  |  |  |  |  |  |  |
| STARK |  |  |  |  |  |  |  |
| **Total** |  |  |  |  |  |  |  |

**CARELINE CALLS AND INVESTIGATIONS BY ENTITY**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Entity** | **New ACP** | **New** | **Open** | **Closed** | **Unsubstantiated** | **Substantiated** | **Terminations** |
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| **Total** |  |  |  |  |  |  |  |